

MSHA HANDBOOK SERIES
Transmittal Sheet

U.S. Department of Labor
Mine Safety and Health Administration

Subject

Release Number

Citation and Order Writing Handbook
for Coal Mines and Metal and Nonmetal Mines

PH02-I-7(2)

Explanation of Material Transmitted.

This handbook provides guidance to MSHA compliance personnel when issuing or reviewing citations and orders. It is intended to assist in achieving enforcement consistency.

Action Required

Revision

Audience

All Compliance Personnel in CSMH and MNMSH

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MSHA Handbook Series



U.S. Department of Labor
Mine Safety and Health Administration
Metal and Nonmetal Mine Safety and Health
Coal Mine Safety and Health
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Handbook Number PH02-I-7(2)

CITATION AND ORDER WRITING HANDBOOK FOR COAL MINES AND METAL AND NONMETAL MINES

This handbook sets forth procedures to be followed in the writing and issuing of citations and orders involving health and safety violations at metal and nonmetal or coal mines. Changes to this handbook must be authorized by the Administrator for Coal Mine Safety and Health and the Administrator for Metal and Nonmetal Mine Safety and Health. Previously issued instructions relating to this subject are superseded by this handbook. However, compliance related instructions contained in the MSHA Program Policy Manual are not superseded by this handbook.


Administrator for Coal


Administrator for Metal and Nonmetal

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DISCLAIMER

The citations and orders shown in the Health and Safety Appendices are examples for illustrative purposes only. Actual citations and orders issued for violations of the Mine Act or a mandatory health or safety standard must depict gravity and negligence determinations reflective of circumstances present at the time of issuance.

CHAPTER 1 – INTRODUCTION

I. AUTHORITY

The Federal Mine Safety and Health Act of 1977 (Mine Act), Public Law 91-173, as amended by Public Law 95-164, directs an Authorized Representative (AR) of the Secretary of Labor to issue citations and orders when he or she believes that a violation of the Mine Act or any mandatory health or safety standard, rule, order, or regulation promulgated pursuant to the Mine Act has occurred. The Mine Act also authorizes an AR to issue an order of withdrawal when an imminent danger condition exists. Sections 103, 104, and 107 of the Mine Act describe in detail the authority for issuing these citations and orders.

II. PURPOSE

This handbook is intended to provide guidance for all enforcement personnel in:

- issuing, extending, modifying, vacating, and terminating citations and orders;
- describing the conditions or practices constituting a violation or imminent danger;
- writing clear justification for extensions and modifications of citations and orders;
- adequately describing the area or equipment affected by citations or orders; and
- adequately evaluating the degree of gravity and negligence of the violation.

This handbook has been combined to reflect the uniformity of citation and order writing for both metal/nonmetal and coal. Any procedures, scenarios, and examples in this handbook can be used by all enforcement personnel, except for safeguards that are only issued in coal.

III. RESPONSIBILITY

If, upon inspection or investigation, the Secretary or an AR believes that a mine operator as defined by the Mine Act has committed a violation of a mandatory standard, he or she must issue a citation or order to the mine operator. Each citation or order must be in writing and shall describe with particularity the nature of the violation, including reference to the provision of the Mine Act, standard, rule, regulation, or order alleged to have been violated. In addition, each citation must fix a reasonable time for abatement of the violation.

IV. BACKGROUND

Mine Safety and Health Administration (MSHA) personnel must constantly strive for accuracy in writing citations and orders. A significant percentage of citations and orders have been overturned during the legal process for reasons such as:

- failure to cite the appropriate standard;
- failure to establish the existence of an imminent danger;
- assumptions or suppositions not based on facts;
- failure to order a withdrawal of miners or prohibit continued mining activities;
- failure to specifically describe the area of danger or area affected;
- issuance of a 107(a) order for control purposes when no imminent danger exists;
- illegible or confusing writing; and
- failure to properly evaluate the degree of gravity, exposure to the hazard, or the mine operator's negligence.

The description of a violation must be written in such a manner that all parties know the true nature of the situation. The descriptive narrative must include information that clearly establishes a violation and describes with particularity the nature of the violation. The proposed civil penalty prepared by the Office of Assessments is determined, in part, by information contained in the citation or order.

CHAPTER 2 - SELECTED PROVISIONS OF THE MINE ACT

I. INSPECTIONS AND INVESTIGATIONS

A. Section 103(a)

MSHA must conduct frequent inspections and investigations at coal and other mines each year for the purpose of: obtaining, utilizing, and disseminating information relating to health and safety conditions, the causes of accidents, and the causes of diseases and physical impairments originating in such mines; gathering information with respect to mandatory health and safety standards; determining whether an imminent danger exists; and determining whether mine operators have complied with mandatory health and safety standards, citations, orders, and decisions issued under the Mine Act. Additionally, MSHA must develop guidelines for additional inspections of mines.

B. Section 103(j)

Consult District Manager and/or Headquarters before issuing. In the event of a mine accident where rescue and recovery work is necessary, MSHA is authorized to take any action deemed appropriate to protect lives, including supervising and directing any mine rescue and recovery effort. The mine operator is required to take measures to prevent destruction of evidence that could assist accident investigators.

C. Section 103(k)

In the event of a mine accident MSHA may issue orders deemed appropriate to ensure the safety of any person in the mine. The operator of that mine shall obtain the approval of the MSHA representative, in consultation with state mining agency representatives (if appropriate), regarding any plan to recover any person in the mine, to recover the mine, or to return affected mining areas to normal.

II. CITATIONS, ORDERS AND NOTICES

A. Section 104(a)

If, upon inspection or investigation, the Secretary or an inspector believes that a mine operator subject to the Mine Act has violated this Act, or any mandatory health or safety standard, rule, order, or regulation promulgated pursuant to this Act, he or she shall, with reasonable promptness, issue a citation to the mine operator. Each citation shall be in writing and shall describe with particularity the nature of the violation, including a reference to the provision of the Act, standard, rule, regulation, or order alleged to have been violated. In addition, the citation shall fix a reasonable time for the abatement of the violation. The requirement for the issuance of a citation with reasonable promptness shall not be a jurisdictional prerequisite to the enforcement of any provision of this Act.

B. Section 104(b)

When an inspector finds that a violation previously cited has not been abated and that the period of time for abatement should not be further extended, he or she must issue a withdrawal order for the cited equipment or that part of the mine affected by the violation. The operator is required by such an order to remove all persons from the area affected, except those persons necessary to correct the violation as referenced in Section 104(c), until the violation is abated.

C. Section 104(d)(1) Citations

Upon MSHA's finding of a violation which could significantly and substantially contribute to the cause and effect of a safety or health hazard and is due to the mine operator's unwarrantable failure to comply, such a finding shall be included in any citation issued to the operator.

D. Sections 104(d)(1) and 104(d)(2) Orders

If another violation of a mandatory standard, whether significant and substantial or not and which is caused by the mine operator's unwarrantable failure, is found during the same or any subsequent inspection within 90 days of issuance of the 104(d)(1) citation, MSHA shall issue a withdrawal order. If an unwarrantable failure violation is observed during any subsequent inspection made after a 104(d)(1) order has been issued, a 104(d)(2) order shall be issued. These two sections provide for the issuance of withdrawal orders that are caused by the mine operator's unwarrantable failure to comply with mandatory safety and health standards.

E. Section 104(e)(1)

If MSHA has established that a mine operator has the same pattern of violations by receiving repeat or multiple significant and substantial violations of mandatory MSHA standards, the operator shall be issued a notice that such a pattern exists. Provisions are also made in this section for withdrawing all persons from the affected area if MSHA finds another significant and substantial violation during an inspection within 90 days of issuance of the notice of pattern of violations. The 104(e) withdrawal order remains in effect until MSHA finds the violation has been abated.

F. Section 104(e)(2)

Where miners have been withdrawn under Section 104(e)(1), a further withdrawal order shall be issued upon MSHA's finding of a significant and substantial violation during a subsequent inspection.

G. Section 104(e)(3)

The pattern of violations that resulted in the issuance of a notice shall be terminated if an MSHA inspection of the entire mine finds no significant and substantial violations of mandatory standards. However, a mine operator can reestablish a pattern of violation status as a result of subsequent significant and substantial violations.

H. Section 104(g)(1)

If an inspector finds that any miner has not received the mandatory health and safety training required under Section 115 of the Mine Act, the miner shall be ordered withdrawn from the mine until he or she has completed the required training.

III. PROCEDURES TO COUNTERACT DANGEROUS CONDITIONS

A. Section 107(a)

If an inspector finds an imminent danger condition or practice existing at a mine, he or she shall issue a 107(a) order withdrawing persons from the affected area or equipment from service. No one may enter the area, except those persons necessary to correct the conditions as referenced in Section 104(c), until MSHA determines that conditions and practices which caused the imminent danger no longer exist.

B. Section 107(b)

If an inspector finds that conditions exist at a mine which have not yet resulted in an imminent danger, that such conditions cannot be effectively abated using existing technology, and that an imminent danger cannot be ruled out if mining activities were to proceed, the inspector shall issue a 107(b) notice to the operator informing him or her of such conditions. A copy of this notice shall be filed with the Secretary of Labor and with the representative(s) of the miners. This section also provides for MSHA to issue notices, conduct investigations, hold public hearings, and make findings of fact (Consult District Manager and/or Headquarters before issuing).

IV. MINERS FOUND SMOKING OR CARRYING SMOKING MATERIALS IN PROHIBITED AREAS -- SECTION 110(g)

Any miner who willfully violates the mandatory safety standards relating to smoking or the carrying of smoking materials, matches, or lighters shall be subject to a civil penalty assessed by the Commission of not more than \$275 for each occurrence of such violation.

CHAPTER 3 - VIOLATION DESCRIPTION AND ISSUING FORM

I. FACTUAL INFORMATION

It is important that factual information, not conclusions, be included in each citation or order. Statements such as "additional roof support is needed" or "adequate fire protection was not provided" may be true but these descriptions do not clearly describe a violation. The former does not describe the condition of the roof or the type and amount of roof supports installed, nor identify a hazard. The latter does not indicate the nature of the fire hazard nor the degree to which protection is not provided.

The citation or order must contain facts sufficient to establish a violation of the standards under the Mine Act and any special circumstances related to the violation, such as "significant and substantial" and "unwarrantable failure" findings.

II. VIOLATION DESCRIPTION

Essential elements to be considered in a violation description are:

- A. The conditions and practices causing and constituting the violation of a specific regulation or section of the Mine Act. They must be accurately identified and described.
- B. A determination of what caused the violation by clearly describing conditions and practices. This determination is particularly significant when citing violations in conjunction with imminent danger orders and Section 104(d) citations and orders.
- C. The location or equipment where the violation or hazard exists. This element **must** be specifically identified for several reasons:
 - 1. It serves as notification to the mine operator for abatement purposes;
 - 2. It informs the miners and miners' representative(s) of the exact location of the hazard; and
 - 3. It serves as clarification for inspectors on abatement visits.
- D. Information concerning gravity and negligence. This information must be provided so an accurate determination of the proposed civil penalty can be made.
- E. Information concerning the hazard, exposure, or lack of exposure to miners. Some mandatory standards require that exposure be shown to support a citation or order.
- F. Facts relevant to the mine operator's knowledge of the violative condition or practice.

III. CITATION, CONTINUATION, SUBSEQUENT ACTION, AND ORDER FORMS

- A. MSHA Form 7000-3 shall be used for issuing citations or orders.
- B. MSHA Form 7000-3a shall be used for continuations and subsequent actions for citations and orders.
- C. Each set of forms has an original and three copies which shall be distributed as follows:
 - Original - district mine file
 - Second page - mine operator's use
 - Third page - miners' representative, if applicable
 - Fourth page - MSHA inspector
- D. Computer generated citations/orders require an original signature for each printed copy.
- E. Distribution of the computer copies will be the same as for the hand written copies.

CHAPTER 4 - CITATIONS AND ORDERS

These procedures shall be followed when completing Mine Citation/Order Form 7000-3 unless otherwise specified. The items referenced below correspond to the appropriate sections on Form 7000-3.

I. COMPLETING MINE CITATION/ORDER FORMS

A. Section I - Violation Data

1. Date - Enter the issue date by using the following format for the month, day, and year – 01/07/2004.
2. Time - Enter the time of the violation with a four-digit number based on a 24 hour clock (military time, e. g., 22:30)
3. Citation/Order Number - The seven-digit number preprinted on the 7000-3 Form – or generated by the computer.
4. Served To - Enter the first and last name of the operator (or operator's agent) and title to whom the citation or order is served.
5. Operator - Enter the official business name of the mining company as shown on the MSHA Legal Identity Report Form 2000-7 in effect at the time the violation occurred. Enter the name of the independent contractor if a contractor is being cited.
6. Mine - Enter the mine name as shown on the Legal Identity Report Form in effect at the time the violation occurred.
7. Mine ID - Enter the seven-digit MSHA mine identification (ID) number. If applicable, also enter the contractor's three or four digit MSHA ID number.
8. Condition or Practice - Provide a detailed description of the condition(s) or practice(s) which causes and constitutes a violation or an imminent danger. If more space is needed, check the "Continuation" block in the lower right hand corner of Item 8 and use a Mine Citation/Order Continuation Form 7000-3a.
- 8a. Written Notice – This block is for coal inspectors to use when a violation is cited during any inspection conducted as a result of a written complaint. Coal inspectors should not use this block for any violation other than those issued as a result of the complaint. This does not alter the type of inspection that is ongoing.

9. Violation

- A. Health, Safety, and Other – These blocks are for coal inspectors to use to mark whether the cited hazard relates to health, safety, or other (administrative). For violations of 30 CFR 75.370, coal inspectors may mark both the health and safety blocks.
- B. Section of Act - Enter the applicable section [e.g., 103(a), 104(b)] here if a section of the Mine Act has been violated, otherwise leave blank.
- C. Part/Section of Title 30 CFR - Identify the Part and Section of Title 30 CFR violated including the subparagraphs of the section violated.

Exception: Do not complete Item 9C if a 107(a) imminent danger order is cited without a violation of a mandatory health or safety standard. Refer to Section XVI in this chapter for further guidance concerning 107(a) imminent danger orders.

B. Section II - Inspector's Evaluation

10. Gravity

- A. Injury or Illness (has)(is) - Check the appropriate block based on the facts available.
- B. Injury or Illness Could Reasonably Be Expected To Be - Check the appropriate box based on the facts available.
- C. Significant and Substantial - Check the appropriate box based on the evaluations made in 10A and 10B. Refer to the "S&S" criteria in Section VI in this chapter for further guidance. Violations of the Mine Act, without an accompanying mandatory standard, shall always be designated as "non significant and substantial." For these violations, Section II, item 10A shall be evaluated as "No Likelihood", item 10B will be marked "No Lost Workdays", and 10C shall be checked "No."
- D. Number of Persons Affected - Enter the number of persons who were actually injured or became ill as a result of the hazard caused by the violation or the number of persons who could or would be affected if the anticipated event occurred.

- 11. Negligence - Enter the degree of negligence by checking one of the appropriate boxes. Refer to the "negligence" criteria in Section IX in this chapter for guidance (Section 10 "Gravity" and Section 11 "Negligence" are not to be completed for 103(j), 103(k), 107(a) and 104(b) orders.

12. Type of Action - Identify the Section of the Mine Act under which action is being taken [e.g., 104(a), 104(d)(1)].

13. Type of Issuance - The "Safeguard" block is for Coal use only. Check the "Citation," or "Order," or "Safeguard" block for the appropriate type of issuance.

14. Initial Action - Check "A" or "B" for the type of initial issuance, if appropriate, that subsequently resulted in this action. The "Safeguard" block is for Coal use only.

E. Citation/Order Number - Enter the seven-digit preprinted control number from the initial action.

F. Dated - Enter the date of the initial action.

15. Area or Equipment - This pertains only to orders of withdrawal [i.e., 104(b), 104(d), 104(e), 104(g), 107(a), 103(j) and 103(k)] and must indicate the area from which employees shall be withdrawn until the dangerous conditions and causes of those conditions have been corrected. Equipment cited must be positively identified by manufacturer, model, serial number (if known), color, and name, etc. if it is ordered removed from service.

Note: The phrase "No Area Affected" should be written in this space if this is a technical order of withdrawal [e.g., 104(b) order for failure to complete Part 50 reports].

16. Termination Due Date and Time (A and B) - Enter the date and time the mine operator is required to have the violation corrected. These blocks are left blank for orders of withdrawal.

C. Section III - Termination Action

17. Action to Terminate - Describe in detail the specific action(s) taken to correct the cited condition(s) or practice(s) which justifies termination. Do not write terms like "The condition was corrected."

18. Terminated Date and Time (A and B) - Enter the date and time the termination was issued.

D. Section IV - Automated System Data

19. Type of Inspection (Activity Code) - Enter the appropriate enforcement activity code.

20. Event Number - Enter the preprinted event number from the Inspection/Investigation Data Summary Form 4000-40 for M/NM and from the Mine Activity Data Form 2000-22 for Coal.
21. Primary or Mill – This block is for metal and nonmetal inspectors. They should enter the letter “P” if the violation occurred in the production or primary mining phase of the operation. Generally, an entry of “P” includes activities and/or equipment from the mining site up to, but not including, milling processes. Enter the letter “M” if the violation occurred in the milling phase of the operation.
- Exception:** Violations cited at free standing mills with a separate Mine ID number should have “P” entered in this space.
22. Signature - The signature of the inspector issuing the citation or order must be entered in this block.
23. AR Number - Enter the AR number of the inspector issuing the citation or order.

II. SECTION 103(a) - CITATIONS FOR DENIAL OF ENTRY

A. Denial of Entry - Established MSHA Mine ID Number

When a mine operator or his or her agent refuses to allow an inspector entry to an active mining operation having an MSHA mine ID number, the inspector must issue a Section 104(a) citation citing Section 103(a) of the Mine Act and immediately contact his or her supervisor. The inspector must either hand deliver or mail the citation to the mine operator certified mail, return receipt requested.

B. Denial of Entry - No Established MSHA Mine ID Number

The inspector must notify his or her supervisor to determine whether or not the mining operation falls under the jurisdiction of the Mine Act. If it is subsequently determined that the operator is engaged in mining or milling activities and if entry is denied to a mine that does not have an MSHA mine ID number, a mine ID number should be obtained based on the information that has been acquired.

After the mine ID number has been obtained, the field office supervisor and inspector should return to the mine, or make arrangements to meet with the mine operator, and request access under the provisions of the Mine Act.

C. Other Forms of Denial of Entry

Denial of right of entry procedures also apply when inspectors have been granted entry but are not allowed to inspect or continue an inspection or investigation. These procedures apply to any type of interference whether it be delay, harassment, or personal assault.

In all such cases, except personal threats or assault, the inspector should try to communicate with the mine operator, explaining the entry and inspection rights of MSHA under Section 103(a) of the Mine Act.

MSHA policy requires that an inspector leave the scene where an apparent violation of Section 111 of Title 18 of the United States Code is about to occur. To avoid a confrontation, inform the person(s) involved that an attack on an MSHA inspector is a Federal crime, and that they may be subject to arrest by the FBI. If the inspector believes that he/she is subject to physical harm or assault, he/she should leave the property immediately and promptly notify his/her supervisor.

D. 104(b) Orders - Denial of Entry

A Section 104(b) order of withdrawal must be issued if the operator still refuses entry after a Section 104(a) citation has been issued. Injunctive proceedings may be initiated by the District Manager if the operator does not comply with the order. Section XIX in this chapter details procedures on issuing 104(a) citations if the operator works in the face of an order of withdrawal.

Note: The inspector must contact the field office supervisor or the District Office as soon as possible prior to issuing citations of this type.

E. Paperwork for Denial of Entry

1. On a Citation, fill out the other blocks as you normally would and then:
 - a. After the violation description has been entered in Section I, item 8, the following sentence shall be added: The condition has not been designated as "significant and substantial" because the conduct violated a provision of the Mine Act rather than a mandatory safety or health standard.
 - b. Enter "103(a)" in Item 9B. Leave Item 9C blank since a standard is not violated.

- b. Complete Items 10A through 10D, Item 11, and enter "104(a)" in Item 12.

Note: Item 10C must be marked "no."

- c. Check the "Citation" block in Item 13 and leave Item 15 "Area or Equipment" blank.
 - d. In Item 16 enter the "Termination Due Date and Time." Terminate the citation if entry is permitted.

2. On an Order, fill out the other blocks as you normally would and then:
 - a. Enter "103(a)" in Item 9B and leave Item 9C blank.
 - b. Do not complete Items 10A through 10D and Item 11. In Item 12 enter "104(b)" and check the "Order" block in Item 13.
 - c. In Item 14 check the "Citation" block and enter the number of the denial of entry citation and the date it was issued.
 - d. In Item 15 enter "No Area Affected" and leave Item 16 blank. Terminate the order if entry is permitted.
3. In Item 19 enter the appropriate "Type of Inspection" MIS code for the inspection type that was intended if the inspection occurs.

III. SECTION 103(j) AND 103(k) ORDERS

After a mine accident as defined in 30 CFR Part 50.2. has occurred, an AR may assume the authority to take whatever action is necessary to protect the health, safety, and life of any person under Section 103(k).

A 103(j) or 103(k) order **should not** be solely issued to preserve accident evidence since the mine operator is required to take this action under 30 CFR Part 50.12.

If the operator does not preserve accident evidence, it is appropriate to issue a 104(a) citation citing Part 50.12. This 104(a) citation should not be issued unless pertinent accident evidence has been removed, changed or destroyed.

DO NOT ISSUE A 103(j) ORDER UNTIL CONSULTATION WITH THE DISTRICT MANAGER AND/OR HEADQUARTERS.

A. Accident and Rescue/Recovery Procedures

The inspector will generally use Section 103(k) to protect the safety of any person in the mine when a mine condition exists as a result of an accident that threatens the safety of miners. The 103(k) order does not preclude the issuance of a Section 107(a) order if an imminent danger situation is found. It is imperative that discretion and good judgment be exercised by the inspector when using the broad authority provided by the Mine Act.

In instances where an accident has resulted in the death or serious injury to a miner, and the inspector believes that the hazardous condition(s) or practice(s) causing that accident is likely to exist elsewhere at the mine, the Section 103(k) order shall include all such areas of the mine. In some instances it will be obvious that the conditions are

peculiar to the accident site, and, therefore, the Section 103(k) order would not apply to areas other than the accident site.

The 103(k) order should remain in effect until a systematic evaluation of the conditions and safety practices is conducted and a determination is made that hazards similar to those which caused or contributed to the accident have been eliminated. The evaluation can be made prior to the accident investigation or concurrent with it. After this evaluation and determination has been made, the Section 103(k) order may be modified to permit an area of the mine to resume operations, or terminated, provided that such action will not pose a hazard to the miners.

B. Differences Between Section 103(j), 103(k) and Section 107(a) Orders

Section 107(a) orders contain an exception to the requirement to withdraw persons from the affected area(s) under Section 104(c).

Section 104(c) states that certain persons are not required to be withdrawn or prohibited from entering any mine area. This refers to persons who, in the judgment of the mine operator or the inspector, are necessary to eliminate the conditions described in the order.

Sections 103(j) and 103(k) contain no exceptions; if the inspector believes it is necessary to protect the life or safety of any person, even those persons mentioned in Section 104(c) may be prohibited from entry into a mine area. This action would be noted in the narrative of the 103(j) or 103(k) order.

The issuance of a Section 103(k) order does not preclude the issuance of a Section 107(a) order should an imminent danger situation be found. Additionally, a 103(k) order will not be used as a substitute for, or in place of, a 107(a) imminent danger order.

C. Paperwork for 103(j) and 103(k) Orders

1. Section I of Form 7000-3: fill out the other blocks as you normally would and then:

Item 8 - describe in detail what has occurred and what the mine operator is required to do.

Item 9 - leave blank.

2. Section II of Form 7000-3:

Items 10 and 11 - leave blank.

Item 12 - enter "103(j)" or "103(k)" whichever is applicable.

Item 13 - check the "Order" box.

Item 14 - leave blank.

Item 15 - provide a detailed description of the area or equipment affected.

Items 16, 17, and 18 - leave blank.

3. Section IV of Form 7000-3:

Item 19 - Enter appropriate activity code.

IV. SECTION 104(a) CITATIONS

This section is the primary tool for obtaining compliance with the Mine Act, mandatory health or safety standards, rules, orders, or regulations.

A Section 104(a) citation must establish:

- a violation of a standard, regulation, or section of the Mine Act;
- the degree of hazard that exists;
- the degree of exposure to the hazard; and
- the degree of negligence by the mine operator.

The time fixed for abatement of a violation shall be determined, whenever practical, after a discussion with the mine operator or the operator's agent.

V. GRAVITY CRITERIA

The inspector's evaluation of gravity relative to the citation or order requires a weighing of factors in three general areas:

- the likelihood of an occurrence of the injury or illness against which the standard is directed;
- the gravity of the injury or illness if it has occurred or were to occur; and
- the number of persons affected if the event or injury occurred or were to occur.
The number of persons affected is the number of persons who would be expected to be injured if an accident or overexposure occurred as a result of the violation.

Note: Do not write "or more" **or** "1 or 2."

The degree of hazard and exposure documented will determine the significant and substantial (S&S) rating in Section II of the Mine Citation/Order Form.

VI. "S&S" CRITERIA

By checking "Yes" in Item 10C (Significant and Substantial or "S&S"), the inspector has indicated that based upon the particular facts surrounding the violation there exists a reasonable likelihood the hazard contributed to will result in an injury or illness of a reasonably serious nature.

Violations of the Mine Act, without an accompanying mandatory standard, shall always be designated as "non significant and substantial." For these violations, Section II, item 10A shall be evaluated as "No likelihood", item 10B will be marked "No Lost Workdays", and item 10C shall be checked "No." Further, these violations shall have the following sentence added to the body of the violation description in Section I, item 8: The condition has not been designated as "significant and substantial" because the conduct violated a provision of the Mine Act rather than a mandatory safety or health standard.

In determining whether conditions created by a violation could significantly and substantially contribute to the cause and effect of a mine safety or health hazard, inspectors must determine:

- whether there is an underlying violation of a mandatory health or safety standard;
- whether there is a discrete safety or health hazard -- that is, a measure of danger to safety or health -- contributed to by the violation;
- whether there is a reasonable likelihood that the hazard contributed to will result in an injury or illness; and
- whether there is a reasonable likelihood that the injury or illness in question will be of a reasonably serious nature.

All of these determinations must be made before a violation can be designated as "significant and substantial."

The Federal Mine Safety and Health Review Commission has further determined that "...the relevant time frame for determining whether a reasonable likelihood of injury exists includes both the time that a violative condition existed prior to the citation and the time that it would have existed if normal mining operations had continued." **The violation would be evaluated as "S&S" if miners were not in the area when the violation was observed, but they had been, would be, or could be if normal mining operations were to continue, and the other "S&S" criteria were met.**

The Federal Mine Safety and Health Review Commission has also held that in evaluating the reasonable likelihood of a fire, ignition, or explosion, one must examine whether a “confluence of factors” is present based on the particular facts surrounding the violation.

The following three scenarios can apply to MNM or Coal standards:

Scenario: (Referencing 56/57.14107 or 77.400) Persons were working next to the stacking conveyor while it was in motion. They were reasonably likely to come in contact with the unguarded moving machine parts of the #2 self-cleaning tail pulley. (Reasonably Likely; Permanently Disabling; **"S&S" - Yes**)

Scenario: (Referencing 56/57.14107 or 77.400) A guard was not provided on the #2 self-cleaning tail pulley of the stacking conveyor. Persons did not work or travel in the area on any shift while the machinery was running. The conveyor was turned off and locked out when maintenance was performed. (Unlikely; Permanently Disabling; **"S&S" - No**)

Scenario: (Referencing 56/57.14107 or 77.400) A guard is not provided on the #4 self-cleaning tail pulley adjacent to the walkway. Persons are not in the area and the tail pulley is not in operation at this time. However, persons had been in the area and the equipment had been operating on the previous shift with the guard off. The tail pulley is not out of service, however, and will operate when night shift operations begin later today. Personnel travel on the walkway next to the energized tail pulley during the night shift. (Reasonably Likely; Permanently Disabling; **"S&S" - Yes**)

A statement that "the machinery is not operating at this time" or "persons are not in the area now" is not a sufficient reason for evaluating a violation as "non-S&S." In some instances, such a statement could indicate that there is not a violation.

Following are examples of incorrectly cited or improperly evaluated citations:

Scenario: (Referencing 56.14107) The #2 conveyor motor V-belt drive was not guarded and persons were not in the area at the time. (Unlikely; Permanently Disabling; **"S&S" - No**)

This evaluation is incorrect and is based on the reasoning that persons are not in the area at the time the violation was observed. There must be documented evidence, based on past, present, and continued normal mining operations, that persons had not or would not be in the area. The violation can properly be evaluated as "non-S&S" once that determination has been made.

This citation should be modified to include information relating to the lack of exposure to the hazard, based on past, present, or continued normal mining operations, to justify the "non-S&S" evaluation.

Citations and/or orders will be issued for all violations found on equipment or machinery unless the equipment or machinery is not operating and tagged out of service prior to being inspected by MSHA.

Scenario: A loader is observed parked and not operating at the time of inspection. It does not have the required seat belts installed. The inspector determines that the machine is used, when needed, as a spare and **is not** out of service. The inspector does not issue a citation for the lack of seat belts. The rationale used by the inspector is that the loader is not operating at the time he or she observed the violation.

Correct Action:

This reasoning is not correct - a violation was observed on a machine that could be started and used at any time subjecting miners to possible injury or death. A citation shall be issued for all violations of this type.

Certain conditions may require the citing of violations on out-of-service or damaged equipment or machinery. See Chapter 7, Section XII, in this handbook for a discussion on equipment removed from service.

Note: The investigation may determine that the accident was caused by defective machinery or equipment which was damaged, and thus rendered out of service, by the accident. Because an investigation might determine that violations existed on the machinery or equipment prior to being damaged, citations or orders (as appropriate) would be issued.

A violation must remain "S&S" if it is properly evaluated as "S&S" at the time it was observed. The mine operator or contractor might withdraw equipment, or personnel, or immediately proceed to correct the violation. However, **none** of these actions would alter the citation's "S&S" evaluation.

The violation **shall not** be evaluated as "S&S" when any of the following blocks "No Likelihood," "Unlikely," or "No Lost Workdays" are checked in Item 10 of the Mine Citation/Order Form.

The "Occurred" block can only be checked when an injury or illness actually occurs. Gravity evaluations, for violations where an injury or illness has not occurred, are based on the probability of the occurrence of the injury or illness and on the expected results if the injury or illness was to occur.

Evaluations of violations where an injury or illness occurs and the results are **less** than expected shall be based on the expected rather than the actual results.

Scenario: A miner is trapped in a bin for an hour, but emerges with minor injuries. Assuming a violation is observed that directly relates to the entrapment, it would at least be evaluated as "Reasonably Likely" and "Fatal" rather than "Occurred" and "No Lost Workdays."

Evaluations of violations where an event occurs and the results are equal to or more than expected shall be based on the actual, rather than the expected, results. These violations would be evaluated as "Occurred" along with the actual injury.

Scenario: A miner is hit in the head with a small rock and later dies as a result of the injury. Assuming a violation is observed that directly relates to the miner being injured, the violation would be marked "Occurred" and "Fatal."

VII. "NON-S&S" CRITERIA

When the inspector decides to cite the operator for a violation of a section of the Mine Act, or a violation of regulations at Part 40, 41, 43, 44, 45, or 50, the inspector will make a gravity determination as normal but the violation will be considered non-S&S.

Scenario: The mine operator did not notify the nearest District Office before starting operations of the approximate or actual date the mine operation would commence.

In this situation, the citation would be evaluated with "Unlikely" marked in Item 10A; "No Lost Workdays," in Item 10B; and the "No" box in Item 10C.

While recordkeeping violations do not meet significant and substantial criteria, there may be nonrecordkeeping violations that are also not significant and substantial. These violations are ones where there is no likelihood of injury, unlikely injury, or the injuries are not reasonably serious.

VIII. MODIFYING CITATIONS TO "NON-S&S"

An "S&S" citation may be reduced to "non-S&S" only if:

- the "S&S" determination was made in error;
- evidence is presented to the inspector or other MSHA officials justifying a modification to "non-S&S";
- the determination of "S&S" is modified on the recommendation of the Office of the Solicitor; or
- the determination of "S&S" is modified by an Administrative Law Judge.

A modification from "S&S" to "non-S&S" **must give the reason for modification**. A violation has to meet the minimum criteria of "Reasonably Likely" and "Lost Workdays" or "Restricted Duty" to be evaluated as "S&S." Therefore, to make the citation or order "non-S&S," at least one of these requirements must be reduced to a lower evaluation. The modification must also state that the "S&S" block in Item 10C is changed to "No."

IX. NEGLIGENCE CRITERIA

Negligence has been defined as the failure to exercise the degree of care demanded by the circumstances. Thus, the greater the danger that is known or is reasonably anticipated, the greater the degree of care required by the mine operator. The facts as documented must support the degree of negligence checked on the Mine Citation/Order Form.

Negligence for unwarrantable failure violations has been defined as aggravated conduct constituting more than ordinary negligence. See Section XIII of this chapter on 104(d) for more information on determining unwarrantable failure violations.

Degrees of negligence are defined in 30 CFR 100.3(d) as:

No Negligence	The operator exercised diligence and could not have known of the violative condition or practice.
Low Negligence	The operator knew or had reason to know of the violative condition or practice but there are considerable mitigating circumstances.
Moderate Negligence	The operator knew or had reason to know of the violative condition or practice but there are mitigating circumstances.
High Negligence	The operator knew or had reason to know of the violative condition or practice and there are no mitigating circumstances.
Reckless Disregard	The operator displayed conduct which exhibits the absence of even the slightest degree of care.

X. MITIGATING CIRCUMSTANCES

Mitigating circumstances, as addressed in 30 CFR 100.3(d), may include but are not limited to "action(s) which an operator has taken to prevent, correct, or limit exposure to mine hazards." The mine operator or contractor might withdraw equipment, personnel and/or immediately proceed to correct the violation but **none** of those actions alters the negligence evaluation made by the inspector when the violation was cited.

XI. NOTICE TO PROVIDE SAFEGUARDS

Section 314(b) of the Mine Act is specific to coal only and is intended for use in regards to haulage and hoisting related hazards that are identified at a specific mine.

When preparing for an inspection, an inspector must review the safeguard summary sheet in the uniform mine file so that he/she knows what safeguards have been previously issued for the mine. The inspector should also be familiar with the requirements for each safeguard.

When an inspector identifies a hazard specific to the mine and similar to those already identified in 30 CFR, Subpart O, Sections 75.1403-2 through 75.1403-11, he/she will issue a notice to provide safeguards to the mine operator if one has not been previously issued. Refer to Appendix A, page 58.

In those cases where the provisions of a safeguard notice are found to be violated at a mine, a citation or order will be issued as appropriate. The safeguard originally issued will be referenced in the initial action block on the citation and order form (7000-3).

XII. SECTION 104(b) ORDERS

The inspector shall review the circumstances when the time fixed for a citation's abatement has expired. In determining whether to issue a Section 104(b) order, the inspector must determine whether there is a reasonable basis for extending the abatement date. If an extension of time is not justified and the cited condition or practice is not abated, the inspector must issue a Section 104(b) order of withdrawal. Upon abatement of the condition or practice cited in the original citation, the order can be terminated.

Chapter 6 in this handbook details the action to be taken if the mine operator files for a petition for modification or appeals the violation with the Federal Mine Safety and Health Review Commission.

A 104(b) order will not be issued when other orders of withdrawal are not complied with. See Section XIX in this chapter regarding mine operators who work in violation of an order of withdrawal.

A. Paperwork for Section 104(b) Orders

1. Section I of Form 7000-3: fill out the other blocks as you normally would and then:
 - Complete Item 9B with the appropriate section of the Mine Act if a Section of the Mine Act [e.g., Sections 103(j) or 103(k)] or Part 50 is violated.
 - If appropriate, complete Item 9C with the mandatory standard violated.
 - Do not complete Items 10A through D or Item 11.
 - **Do not** modify the initial citation to make the negligence or gravity higher than was marked on the initial citation.
2. Enter 104(b) in Item 12 and check the "Order" block in Item 13.
3. Check the "Citation" block in Item 14A; enter the initial citation number in Item 14E and the date of citation issuance in Item 14F.

4. Complete Item 15 "Area or Equipment" with the area or equipment affected by the order. Enter the phrase "No Area Affected" if this is a technical violation.
5. Do not complete item 16A or B.
6. A 104(b) order does not require the completion of a Special Assessment Review Form or a Possible Knowing/Willful Violation Review Form.
7. Terminate the 104(b) order when the out of compliance condition(s) is/are corrected.

XIII. SECTION 104(d) CITATIONS AND ORDERS

A. Criteria for Issuing a 104(d)(1) Citation

A 104(d)(1) citation shall be issued if:

1. there is a violation of a mandatory health or safety standard;
2. the violation significantly and substantially contributes to the cause and effect of a mine safety or health hazard; and
3. there is an unwarrantable failure of the mine operator or contractor to comply with the standard.

Note: A violation of a Section of the Mine Act cannot be issued as a 104(d) citation or a 104(d) order even if the negligence evaluation is determined to be "high" or "reckless disregard." Also, a violation of other than mandatory health or safety standards (e.g., Part 40, 41, 43, 44, 45, or 50) cannot be issued as a 104(d) citation or order even if the negligence evaluation is "high" or "reckless disregard."

A violation is caused by an unwarrantable failure if it is determined that the mine operator or contractor has engaged in aggravated conduct constituting more than ordinary negligence.

B. Determining "Aggravated Conduct"

Factors an inspector should evaluate when determining "aggravated conduct" include one or more of the following:

1. the violative condition or practice was obvious or extensive;
2. the violative condition or practice had existed for a period of time;

3. similar violations have been issued at the mine or to the contractor in the recent past;
4. an agent of the operator or contractor had conducted an examination or had been in the area, or was aware of the existence of the condition;
5. the violative condition or practice had been reported to the operator or contractor who then allowed it to exist, without correcting or adequately addressing the problem, for a period of time;
6. the individual who committed or allowed the condition or practice to exist was a supervisor or an agent of the operator or contractor;
7. reasonable efforts were not made by the mine operator or contractor to correct the violative condition or practice; and
8. other factors, not enumerated above, resulted in a negligence evaluation by the inspector of "high" or "reckless disregard."

Only one 104(d)(1) citation can be issued during a 90-day period, or for as long as the mine operator or contractor remains under the 104(d) series. The inspector who issues the 104(d)(1) citation must keep other MSHA inspectors at the mine informed that the operator or contractor is under the 104(d) series to avoid the issuance of two 104(d)(1) citations to the operator or contractor. It is imperative that the 104(d) tracking system be updated and kept current.

Note: The mine operator or contractor remains on the 104(d) citation series until 90 days pass since the issuance of the original 104(d)(1) citation.

If another unwarrantable failure violation is observed during the same inspection, or any other type of inspection being conducted at the same time, or any other inspection within 90 days of the issuance of the unwarrantable failure citation, a 104(d)(1) order must be issued. Inspectors will continue to issue 104(d)(1) orders for any unwarrantable failure violations observed during these inspections.

C. Criteria for 104(d) Orders

Section 104(d) orders are required to meet the following criteria:

1. there is a violation (either "S&S" or "non-S&S") of a mandatory health or safety standard which was caused by the mine operator's or contractor's unwarrantable failure to comply;
2. the first 104(d)(1) order must be issued within 90 days of the issuance of a 104(d)(1) citation; and

3. 104(d)(2) orders follow the issuance of a 104(d)(1) order and must be issued on a subsequent inspection. All 104(d)(2) orders are to refer to the original 104(d)(1) order.

Note: Section 104(d) orders do not have to be issued for a violation of the same health or safety standard as the 104(d)(1) citation. The violation causing the issuance of a 104(d)(1) or (d)(2) order does not have to be evaluated as "S&S." The only requirement for a 104(d)(1) or (d)(2) order is that the safety or health violation was caused or contributed to by the operator's or contractor's aggravated conduct.

Unwarrantable failure violations observed on a subsequent inspection will be 104(d)(2) orders if two conditions are met:

- the operator or contractor is on the 104(d) series; and
- a 104(d)(1) order has previously been issued.

Section 104(d) orders will be issued until a "clean" inspection is made of the **entire mining operation**, through any combination of enforcement related inspections, and no unwarrantable failure violations are observed. **The mine operator or contractor remains on the 104(d) order series until this clean inspection occurs and no further unwarrantable failure violations are observed.**

Note: The 90 day time frame established for 104(d) citations does not apply to 104(d) orders.

If a 104(d)(1) or (d)(2) order is issued at a large mine and it is not possible to cover the entire mine during the same inspection, the areas inspected will be documented until the mine is inspected in its entirety. Examples of the 104(d) series are:

Scenario 1: The inspector issues a 104(d)(1) citation. Other unwarrantable failure violations are not found on that inspection or any other inspection within 90 days. Other violations are cited but they are not unwarrantable failures. The operator is removed from the 104(d) series.

Scenario 2: The inspector issues a 104(d)(1) citation on a regular inspection. Another unwarrantable failure is found during the same inspection and a 104(d)(1) order is issued. Another inspector returns to the property several days later and conducts a subsequent inspection of the entire operation. Unwarrantable failure violations are found during the inspection and 104(d)(2) orders are issued.

Scenario 3: The inspector issues a 104(d)(1) citation. Other unwarrantable failure violations are not found during the inspection. An inspector goes back to the property within 90 days. Another unwarrantable failure violation is found and a

104(d)(1) order is issued. Several other unwarrantable failure violations are cited during the same inspection - all are 104(d)(1) orders.

Scenario 4: The inspector issues a 104(d)(1) citation. Another inspector returns to the property within 90 days on a subsequent inspection to check on compliance of an outstanding citation and finds no unwarrantable failure violations. The mine operator remains on the 104(d) series for the remainder of the 90 days (assuming that other unwarrantable violations are not found and cited during that time).

Scenario 5: The inspector issues a 104(d)(1) citation and a 104(d)(1) order during the same inspection. Another inspector returns to the property on a subsequent inspection, finds an unwarrantable failure violation and issues a 104(d)(2) order. Several days later, an inspection is made of the entire mine and unwarrantable failure violations are not found during that inspection. The operator is removed from the 104(d) series because of this "clean" inspection.

Scenario 6: The mine operator is issued a 104(d)(1) citation. Ninety days pass and other unwarrantable failure violations are not found. An inspector returns to the mine on the 91st day and finds an unwarrantable failure violation. The unwarrantable sequence starts over with the issuance of a Section 104(d)(1) citation.

Scenario 7: An operator is issued a 104(d)(1) citation and a 104(d)(1) order. On a subsequent inspection the operator is issued a 104(d)(2) order. Two weeks later a complete inspection of the entire mine is conducted and unwarrantable failure violations are not cited. An inspector returns to the property after this clean inspection and finds an unwarrantable failure violation. The unwarrantable series is started anew with the issuance of a 104(d)(1) citation.

Scenario 8: The inspector issues a 104(d)(1) citation. Other unwarrantable failure violations are not found during the inspection. An inspector goes back to the property within 90 days. An imminent danger with an unwarrantable failure violation is found, a 107(a) order is issued, and a 104(d)(1) order is issued in conjunction with the 107(a). Several other unwarrantable failure violations are cited during this same inspection; all are 104(d)(1) orders.

D. Paperwork for Section 104(d) Citation/Orders

1. **Section 104(d)(1) Citation:** Item 8 of the Mine Citation/Order Form shall include the following statement in the violation narrative: "This violation is an unwarrantable failure to comply with a mandatory standard." The remainder of the form is completed similarly to a 104(a) citation except that "104(d)(1)" is written in Item 12.

Item 8 of the Mine Citation/Order Form shall also include the factors that show how the operator engaged in aggravated conduct. **The violation must be**

evaluated as "S&S," the "Yes" block checked, and the negligence evaluation marked at least "High."

Note: A Section 104(b) withdrawal order will be issued, not a 104(d) order of withdrawal, if the operator or contractor fails to abate or correct a condition cited under a 104(d)(1) citation.

2. **Section 104(d)(1) Order:** Item 8 of the Mine Citation/Order Form shall include the factors that show how the operator engaged in aggravated conduct and also the following statement in the appropriate place within the violation narrative: "

This violation is an unwarrantable failure to comply with a mandatory standard." Enter "104(d)(1)" in Item 12 and check the "Order" block in Item 13. **The order can be evaluated as "S&S" or "non-S&S."** Complete Item 14 by checking the "Citation" block and Items 14E and F with the number of the 104(d)(1) citation and the date it was issued.

3. **Section 104(d)(2) Order:** The procedure is the same as for the 104(d)(1) order except in Item 14 E & F, check the "Order" block; in Item 14E refer to the **first** 104(d)(1) order; and in Item 14F enter the date the first 104(d)(1) order was issued. **The order can be evaluated as "S&S" or "non-S&S."**

Note: All 104(d)(2) orders must refer to the **first** 104(d)(1) order issued.

4. **Section 104(d)(1) and 104(d)(2) Orders:** Item 15 "Area or Equipment" is completed with information relating to what is ordered withdrawn. Enter the phrase "No Area Affected" if the 104(d) order is a technical violation and evaluated as "non-S&S."
5. Initiate both a Possible Knowing/Willful Violation Review Form and a Special Assessment Review Form for each 104(d)(1) citation **and** each "S&S" 104(d) order issued. Initiate **only** a Special Assessment Review Form for 104(d) orders that are evaluated as "non-S&S."
6. Prepare and send to the District Office a packet that includes: the original Possible Knowing/Willful Violation Review Form; a copy of the Legal Identity Report; a copy of the relevant general field notes; a copy of the citation/order notes; appropriate photographs; a copy of relevant citation(s) or order(s); and a copy of all modifications. **(Each photograph should be identified by the citation or order number and affixed to a separate inspection field note. A short, descriptive, and legible narrative should be written underneath each photograph.)** This packet shall be mailed to the District Office **in a timely manner**. Any exceptions to this policy shall be preapproved by the field office supervisor with concurrence from the District Office.

XIV. SECTION 104(e) PATTERN OF VIOLATIONS

Section 104(e) of the Mine Act provides for severe sanctions against mine operators who have a pattern of violations of mandatory health and safety standards that could significantly and substantially contribute to the cause and effect of the health and safety hazards.

On October 1, 1990, regulations identifying mine operators who met the criteria for pattern of violations as outlined in 30 CFR Part 104 became effective. These regulations include procedures for initial screening of mines that may be developing a pattern of violations; criteria for determining whether a pattern of violations exists at a mine; procedures for issuance of potential pattern notice and final pattern notice; and procedures for termination of a Notice of Pattern of Violations.

If, upon any inspection within 90 days after the issuance of a Notice of Pattern of Violation, an inspector finds any "S&S" violation of a mandatory health or safety standard, the inspector shall issue a 104(e)(1) withdrawal order. The withdrawal order shall remain in effect until MSHA determines that the violation has been abated. Additional 104(e)(1) withdrawal orders will be issued if any further violations of an "S&S" nature are also observed during the course of the same inspection.

If a 104(e)(1) withdrawal order has been issued, a 104(e)(2) withdrawal order will be issued when an inspector finds an "S&S" violation of a mandatory health or safety standard upon any subsequent inspection if the operator has been placed on the 104(e) series.

The mine operator remains on the 104(e) series until:

- No withdrawal order has been issued within 90 days of the issuance of the 104(e) order; or
- An inspection of the entire mine occurs and no "S&S" violations are found.

A. Paperwork for Section 104(e) Pattern Notice/Order

1. Notice of Pattern of Violation: The Notice is issued by the District Manager on an MSHA Form 7000-31 and not on a Mine Citation/Order Form 7000-3.
2. Section 104(e) Orders: Issue 104(e) orders on Mine Citation/Order Form 7000-3 with the following statement written at the end of the narrative in Item 8: "A Written Notice of Pattern of Violations, number xxxxxxxx, was issued on xx/xx/xx."
 - a. Leave items 9A and 9B blank. Complete Item 9C with the mandatory standard violated.

- b. Complete Items 10A through D and Item 11 based on the determination made at the time the violation was observed.
- c. In Item 12, enter "104(e)(1)" or "(2)" and in Item 13 check the "Order" box.
- d. For 104(e)(1) orders, leave Item 14 blank.
- e. For 104(e)(2) orders, check the "Order" box in Item 14B. Write the number from the 104(e)(1) order in Item 14E and its date of issue in Item 14F.
- f. In Item 15 "Area or Equipment" describe the area or equipment affected.
- g. Item 16 "Termination Due Date and Time" is left blank.
- h. Termination of the Notice of Pattern of Violations will be done on a Mine Citation/Order Continuation Form using the preprinted number from the Notice of Pattern of Violations Form.

XV. SECTION 104(g)(1) ORDERS

Section 104(g)(1) of the Mine Act provides for the withdrawal of untrained miners from a mine or mill until they have received the training required by Section 115 of the Mine Act. The purpose of a Section 104(g)(1) order is to eliminate the hazard that untrained or inadequately trained miners pose to themselves and to other miners. Section 104(g)(1) orders shall be evaluated for "S&S" using the criteria in Section VI of this Chapter.

Underground Mines (Part 48). Sections 48.5, .6, .7, .8, and .11 are the only standards which may be cited under 104(g)(1) for untrained miners.

Surface Mines and Surface Areas of Underground Mines (Part 48). Sections 48.25, .26, .27, .28, and .31 are the only sections that may be cited under 104(g)(1) for untrained miners.

Surface Mines (Part 46). Sections 46.5, .6, .7, .8, and .11 are the only sections that may be cited under 104(g)(1) for untrained miners.

Citations shall **not** be issued in lieu of Section 104(g)(1) orders **unless** the miner cannot be trained because he or she is no longer employed at the mine, was permanently disabled, or was fatally injured.

When miners have received training but there are violations involving training plans, cooperative training programs, records of training, compensation for training, etc., Section 104(g)(1) orders of withdrawal should not be issued. Issuances of 104(a) or 104(d) actions, in these situations, are appropriate.

Section 104(g)(1) orders shall be written for the following types of untrained miners:

- new miners;
- experienced or newly-hired experienced miners;
- miners assigned to perform a task for which they have had no previous experience;
- miners whose annual refresher training is not up-to-date; and
- miners or applicable persons working on mine property who have not been given hazard training.

A. Guidelines for Determining the Number and Type of Citations or Orders to Issue for Part 46 and Part 48 Training Violations

1. Violations Involving One Miner:

If one miner is involved, but two or more sections of Part 46 or Part 48 have been violated, one Section 104(g)(1) order will be issued citing the appropriate section(s).

Scenario: One underground miner was found not task trained and had also missed annual refresher training. One Section 104(g)(1) order would be issued.

2. Violations Involving More Than One Miner:

- a. When more than one untrained miner is withdrawn from a mine, a single 104(g)(1) order is appropriate provided the Part 46 or Part 48 training violation is the same for all the miners.
- b. Where multiple miners are involved and different violations of the training requirements have occurred for each miner, one or more 104(g)(1) orders of withdrawal would be issued, depending on the circumstances.

Scenario: Eight underground miners did not have the requisite safety training (three did not receive new miner training; two were not task trained; three missed annual refresher training). Three separate 104(g)(1) orders would be issued: one citing 30 CFR 48.5; one citing 30 CFR 48.7; and one citing 30 CFR 48.8. The relevant miners' names will also be listed in Item 15 "Area or Equipment" on each violation.

- c. When more than one miner is involved in violation of the same standard, the total number of miners withdrawn will be entered in Item 10D "Number of

Persons Affected" on Form 7000-3. Each miner's name will also be listed in Item 15 "Area or Equipment."

- d. A 104(g)(1) order including more than one miner may be modified to allow individual miners to return to work as soon as each miner completes the training specified in the order.

3. 104(g)(1) Violations Involving Independent Contractors:

A Section 104(g)(1) order would be issued to the direct employer of any miner who has not received the required training. If there is uncertainty as to employer, the order should be issued to the operator with the greatest physical presence at the mine. Any discrepancies arising after the miner is withdrawn can be resolved through subsequent modification actions.

4. Citations Issued With Section 104(g)(1) Orders:

Citations shall not be issued in addition to 104(g)(1) orders for the same violation except in instances of overlapping compliance responsibility between contractors and mine operators. This means there may be circumstances where it is appropriate to issue citations or orders to both the independent contractor and the production operator.

Scenario: A miner is the employee of an independent contractor and the production operator had agreed to provide the miner training in accordance with the mine's MSHA approved training plan. The miner has not received the required training. A 104(g)(1) order would be issued to the independent contractor and, as appropriate, a 104(a) citation, or a 104(d)(1) citation, or a 104(d)(1) order, or a 104(d)(2) order would be issued to the production operator.

B. Paperwork for Section 104(g)(1) Orders

1. Write the violation narrative in Item 8. For a single employee and multiple training violations, follow the first narrative by noting the second cited standard then add a second narrative.ⁱ If more space is needed, use a Mine Citation/Order Continuation Form. Include separate evaluations for gravity and negligence for each standard violated. Insert the following statement at the end of the violation narrative in Item 8:

"The Federal Mine Safety and Health Act of 1977 declares that untrained miner(s) are a hazard to themselves and others."

2. In Item 9C, enter the first standard cited.

ⁱ See examples of 104(g)(1) orders in the appendix.

3. "S&S" evaluations should be based on the criteria found in Section VI of this Chapter.
4. Enter 104(g)(1) in Item 12.
5. In Item 13 check the "Order" block.
6. In Item 15 "Area or Equipment" indicate the person(s) to be withdrawn. Use a Mine Citation/Order Continuation Form if more room is required to list all the miners.
7. Items 16A and B are not completed.

XVI. SECTION 107(a) IMMINENT DANGER ORDERS

Imminent danger is defined in the Mine Act as "the **existence** of any condition or practice in a coal or other mine which could reasonably be expected to cause death or serious physical harm before such condition or practice can be abated." **An inspector cannot issue a Section 107(a) order for "control purposes" in the absence of an imminent danger.** The Mine Act and all legal decisions clearly spell out the need for the existence of an imminent danger to justify the issuance of a 107(a) order. The courts have noted that an imminent danger exists only when the hazardous condition has a reasonable potential to cause death or serious injury within a short period of time.

An imminent danger order cannot be issued for an accident which has already occurred **unless** the imminence still exists. Immediate physical exposure to the imminent danger does not have to be witnessed by the inspector to issue a 107(a) order.

Because the purpose of Section 107(a) orders is to immediately remove miners from exposure to serious hazards and to prevent miners from entering such hazardous areas, an imminent danger must be **impending** at the time an order is issued. Therefore, when an imminent danger is observed, the inspector must, as soon as possible and at the time the imminent danger is being observed, either issue a written or an oral Section 107(a) order. An oral order should be documented in writing as soon as practical.

If an oral Section 107(a) order is issued, it should be stated in precise terms such as: "I am issuing you a Section 107(a) imminent danger order." At the least, the inspector must use the words "imminent danger" or "107(a)" at the time the oral order is issued.

A written order, issued after an oral Section 107(a) order, must clearly state that it is confirming an oral imminent danger order and identify:

- the individual to whom the oral order was issued;
- the time and date the oral order was issued;

- the location at which the oral order was issued; and
- the reason the oral order was issued. (This reason should be presented in the standard manner developed for the issuance of Section 107(a) orders.)

Imminent danger orders shall contain a detailed description of the conditions or practices which cause and constitute the imminent danger and a description of the area of the mine from which persons must be withdrawn and prohibited from entering. Only those persons described in Section 104(c) of the Mine Act may enter the affected area while the order is in place.

An imminent danger withdrawal order usually involves one or more violations of a mandatory health or safety standard. All contributing violations are to be addressed as separate citations or orders referring back to the 107(a) Imminent Danger Order.

A. Paperwork for 107(a) Orders - Mandatory Standard Not Violated

1. In Item 8 describe the condition(s) or practice(s) which contributed to the imminent danger situation. Add the following statement at the end of the Item 8 narrative: "This condition is an imminent danger." Also include a statement concerning when, where, why, and to whom the verbal order was issued. If additional space is needed, a Mine Citation/Order Continuation Form 7000-3a must be used.
2. Leave items 9A, 9B, 9C, 10, and 11 blank.
3. In Item 12 enter "107(a)".
4. In Item 13 check the "Order" block.
5. Leave Item 14 blank.
6. In Item 15 describe the "Area or Equipment" affected.
7. In Item 16 "Termination Due Date and Time" are left blank.
8. Terminate the order when the condition(s) or practice(s) that created the imminent danger is abated.
9. Completion of a Special Assessment Review Form and a Possible Knowing/Willful Violation Review Form is not required for imminent danger orders issued with no violations of mandatory standards.

B. Paperwork when a 107(a) Order is Issued and Mandatory Standard(s) Violated

1. Complete Item 8 as indicated above and include the statement, "Citation No. xxxxxxx is being issued in conjunction with this order." Describe the condition(s) or practice(s) which created the imminent danger situation. Add the following statement in the Item 8 narrative: "This condition is an imminent danger." Also include a statement concerning when, why, where, and to whom the verbal order was issued. A Mine Citation/Order Continuation Form 7000-3a is used if additional space is needed. When one or more violations create an imminent danger, each violation must be described in Item 8. Complete a Form 7000-3 for each violation. For each additional Form 7000-3, indicate in Item 8 that the violation was a contributing factor in the issuance of the imminent danger order and that an abatement time is not set for 104(a) citations and 104(d)(1) citations.
2. Leave items 9A, 9B, 9C, 10 and 11 blank.
3. In Item 12 enter "107(a)."
4. In Item 13 check the "Order" block.
5. Leave Item 14 blank for all 104(a) and 104(d) citations issued in conjunction with 107(a) orders of withdrawal. However, Item 14 must be completed for all 104(d) orders issued in conjunction with 107(a) orders of withdrawal by checking Item 14A or 14B, entering either the initial 104(d) citation or 104(d) order number (as appropriate) in Item 14 E, and entering the date in Item 14F.
6. In Item 15 describe the "Area or Equipment" affected.
7. In Item 16 "Termination Due Date and Time" is not entered.
8. Terminate the imminent danger order when the condition(s) or practice(s) that created the violation is abated, but never before all citations issued in conjunction with the imminent danger have been abated.
9. A Special Assessment Review Form must be completed for all 104(a) citations and 104(d) citations and orders issued in conjunction with a 107(a) imminent danger order with an evaluation of at least "Moderate" negligence. A Possible Knowing/Willful Violation Review Form must be completed for 104(a) citations and 104(d) citations and orders issued in conjunction with a 107(a) imminent danger order regardless of the negligence evaluation.

XVII. SECTION 107(b)(1) NOTICES

Section 107(b) provides unique enforcement procedures and requirements to contend with the unusual circumstances of dangerous conditions that cannot be effectively abated through the use of existing technology. Accordingly, inspectors and district officials

shall not issue orders or take any action under Section 107(b) before consulting with the Administrator for Metal and Nonmetal or the Administrator for Coal Mine Health and Safety.

Further, Section 107(b) orders are not to be modified, terminated, or vacated by inspectors or district officials without strict coordination with the Administrator for Metal and Nonmetal or the Administrator for Coal Mine Health and Safety.

XVIII. SECTION 110(g) NOTICES

A miner shall be issued a notice under Section 110(g) of the Mine Act if he or she is found willfully violating any of the mandatory safety standards relating to smoking or the carrying of smoking materials, matches, or lighters. Mandatory standards applicable to smoking are: 56/57.4100, 57.5041, 56/57.6904, 56/57.7805, 57.22101, 57.22102, 57.22105, 75.1702, and 77.1711.

To find a **willful** violation of the safety standard relating to smoking, the inspector must have evidence that the miner actually smoked in an underground coal mine or smoked in a restricted area in an underground metal or nonmetal mine or surface area. The restricted area on the surface must also be well posted as being a non-smoking area. In order for a miner to be found to have willfully violated the regulations regarding the carrying of smoking materials, the inspector must have proof that the miner actually knew he or she was carrying the smoking materials into a restricted area.

If the miner who was smoking or carrying materials in restricted areas is an agent, such as a foreman or other supervisor, the miner should not be subject to a Section 110(g) penalty but instead should be subject to a referral under Section 110(c) or Section 110(d).

The inspector should make every reasonable effort to document the miner's past training regarding the materials, obtain and note the miner's address and rate of pay so the nature of the proposed civil penalty can be accurately assessed and mailed to him or her since the civil penalty for this notice is assessed to the miner and not the mine operator or contractor.

The mine operator or contractor shall be issued a citation and a possible imminent danger order (depending on the circumstances observed) whenever a miner is found to be smoking or found carrying smoking materials, matches, or lighters in prohibited areas.

Scenario: A miner is observed smoking a cigarette in an underground explosives magazine. There are six open cases of dynamite within three feet of the miner. A 110(g) notice shall be issued to the miner. Also, a 104(a) citation and a 107(a) order shall be issued to the mine operator or contractor for a violation of 57.6904 or 75.1702.

A. Paperwork for 110(g) Notice

1. Item 4 of the Mine Citation/Order form is completed with the miner's name. Items 5, 6, and 7 are completed with the operator's name, the mine name, and the Mine ID number.
2. Item 8 is completed with a description of the observed violation. The following statement shall be included at the end of that description:

"This is notification to the individual that he or she will receive a civil penalty for willfully violating a mandatory safety standard relating to smoking or the carrying of smoking materials, matches, or lighters."

3. Item 9B is completed with "110(g)".
4. Item 9C is completed with one of the standards violated: 56/57.4100, 57.5041, 56/57.6904, 56/57.7805, 57.22101, 57.22102, 57.22105, 75.1702, and 77.1711.
5. Complete Section II, items 10A through D based on the determination made at the time the violation was observed. Section II, item 11 will always be completed with at least "high" negligence checked.
6. Item 16 is not completed.
7. One copy of the completed notice is given to the miner.
8. A copy of the notice will be mailed to the MSHA Office of Assessments in Wilkes-Barre, Pennsylvania. A cover letter shall be attached explaining the circumstances and any mitigating factors relating to its issuance. The letter shall also provide the miner's address so that the proposed civil penalty can be processed.

XIX. MINE OPERATOR IN VIOLATION OF AN ORDER OF WITHDRAWAL

A separate 104(a) citation shall be issued, in all instances, for failure to comply with each order violated citing the applicable section [104(b), 104(d)(1), 104(d)(2), 104(e)(1), 104(e)(2), 104(g)(1), 107(a), 103(j) or 103(k)] when an inspector encounters a mine operator working in violation of an order of withdrawal. In citing this violation, the inspector should explain to the mine operator what MSHA's legal rights are under the Mine Act and the penalty for continuing to operate in the face of a withdrawal order.

Note: A 104(a) citation for operating in the face of an order shall not be issued when an order of withdrawal is issued and the "Area or Equipment" in Item 15 is noted as "No Area Affected" because the mine operator was notified that an "Area or Equipment" was not required to be withdrawn. If appropriate, injunctive action

can be initiated by the District Manager if the mine operator continues to operate in the face of a withdrawal order.

The inspector should contact the field office supervisor or the District Office as soon as possible if an operator is found violating any withdrawal order. Additionally, while these violations are rare, civil penalties assessed to mine operators can be substantial; therefore, the inspector must document in detail the activity and statements regarding all the circumstances concerning the issuance of this citation.

Since this type of 104(a) citation stands alone for assessment purposes, it will be separately evaluated for gravity and negligence. Violations of the Mine Act shall always be designated as “non significant and substantial” (non S&S).

Accompanying citations for mandatory safety standards may be designated as S&S depending on the circumstances or conditions observed. The following guidance applies to those evaluations:

Negligence evaluation - shall be based on existing conditions and circumstances at the time of its issuance and **does not** have to reflect the same negligence as the preceding order of withdrawal since circumstances may have changed since the order's issuance. Evaluations for negligence, however, are usually “high” or “reckless disregard” because the mine operator was previously issued an order of withdrawal.

Gravity evaluation - shall be designated as “non significant and substantial” as it is a violation of a section of the Mine Act without an accompanying mandatory standard. Further, this type of violation can not be cited as an unwarrantable failure because a violation of a mandatory standard did not occur.

A Possible Knowing/Willful Violation Review Form will be completed for all citations issued for operating in the face of a withdrawal order. A Special Assessment Review Form will also be completed if the citation has an evaluation of at least "High" negligence.

A. Paperwork for Working in Violation of a Withdrawal Order

1. In Item 9B of the Mine Citation/Order Form enter the Section of the Mine Act violated; this entry will be the same as the type of order originally issued.

Example: If a 104(b) order is not complied with, enter "104(b)" in Item 9B. Enter "107(a)" in Item 9B if the operator is working in violation of a 107(a) order of withdrawal. Enter 104(d)(1), 104(d)(2), 104(g)(1), 104(e)(1), 104(e)(2), 103(j), or 103(k) in Item 9B if the operator is working in violation of one of these orders.

2. Item 9C is left blank.

3. Items 10 and 11 are completed with gravity and negligence evaluations made dependent on the circumstances present at the time of observation. Violations of the Mine Act shall always be designated as “non significant and substantial” (non S&S).

Accompanying citations for mandatory safety standards may be designated as S&S depending on the circumstances or conditions observed.

4. In Item 14 check the "Order" block, enter the order number and the date it was issued.
5. In Items 16A and B enter the "Termination Due Date" and "Time."
6. Terminate the citation when personnel are withdrawn as required. Terminate the order when the original condition or practice is abated.
7. Prepare and send to the District Office a packet that includes:
 - a. the original Possible Knowing/Willful Violation Review Form;
 - b. a copy of the Legal Identity Report;
 - c. a copy of the relevant general field notes;
 - d. a copy of the citation/order notes;
 - e. appropriate photographs;
 - f. a copy of relevant citation(s) or order(s); and
 - g. a copy of all modifications.

Each photograph should be identified by the citation or order number and affixed to a separate inspection field note. A short, descriptive, and legible narrative should be written under each photograph.

This packet shall be mailed to the District Office **in a timely manner**. Any exceptions to this policy shall be preapproved by the field office supervisor with concurrence from the District Office.

CHAPTER 5 - HEALTH CRITERIA (M/NM)

I. DOCUMENTATION OF HEALTH VIOLATIONS

Generally, when health sample results indicate that there has been an overexposure to dust, chemical contaminants, etc., the following information will be documented by the inspector:

- type of contaminant
- amount of exposure as compared to what is allowed
- error factor used
- length of the miner's exposure
- personal protective equipment program (or lack of) implemented by the operator
- type of (or lack of) personal protective equipment worn when the overexposure occurred
- training provided to the miner in the use of the personal protective equipment (if appropriate)
- controls used and their condition
- controls available

II. OVEREXPOSURE TO CONTAMINANTS HAVING CEILING DESIGNATIONS

When there is an overexposure to a contaminant that has a ceiling designation it is appropriate to issue a 104(a) citation with an abatement time reflecting the time needed to remove miners. Miners shall not reenter the area until the hazardous condition(s) is corrected except for those miners who are working to establish the necessary engineering controls. These miners must wear appropriate personal protective equipment. A 104(b) order shall be issued withdrawing all exposed miners from the contaminated atmosphere if the condition(s) is not corrected within the abatement time. In this case, standards 56/57.5001(c) and 56/57.5005 should be considered as one standard and should be entered in Item 9C of the Mine Citation/Order Form (e.g., 56.5001(c)/.5005).

The above situation warrants a 107(a) imminent danger order only if the concentration(s) measured and the work condition(s) cause the situation to be immediately dangerous to the miners exposed.

III. IMMINENT DANGER SITUATIONS INVOLVING CHEMICAL HAZARDS

A. IDLH Levels

MSHA considers the atmosphere "immediately harmful to life" whenever a contaminant's Immediate Danger to Life or Health (IDLH) limit is exceeded. The IDLH limits for various contaminants can be found in the "National Institute for Occupational Safety and Health (NIOSH) Pocket Guide to Chemical Hazards." However, imminent danger situations can exist below IDLH levels due to length of exposure, work activity, or time to escape. The following procedures are to be implemented whenever a contaminant's IDLH limit, plus the sampling factor, is exceeded:

1. A 107(a) order of withdrawal with contributing citations and/or orders should be issued noting the contaminant levels and the conditions constituting the imminent danger situation.
2. The order of withdrawal shall require that all miners be immediately withdrawn from the contaminated atmosphere. The order should note that only those miners wearing appropriate personal protective equipment, and who are working on establishing engineering controls, will be permitted to reenter the affected area.
3. Additionally, as required under 56/57.5005(c), at least one other person with backup equipment and rescue capability shall be present before any unprotected miner may reenter an atmosphere immediately harmful to life.
4. See Chapter 4, Section XVI of this handbook concerning the issuance of an imminent danger order with contributing citations and orders.

B. Other Situations

In some cases an imminent danger may exist even though a contaminant's IDLH limit is not exceeded. Continual exposure at high levels and through adsorption through all routes of exposure can result in similar harm to miners. The issuance of an imminent danger order may be warranted if dizziness, headaches, slowed reflexes, lack of coordination, or other serious symptoms are caused by exposure, including direct contact, along with consideration of the work environment, and serious physical harm or death may occur. The following procedures are to be implemented whenever there is exposure that may cause serious physical harm or death:

1. A 107(a) order of withdrawal with contributing citations and/or orders should be issued noting the contaminant levels and the conditions constituting the imminent danger situation.
2. The order of withdrawal shall require that miners be immediately withdrawn from the contaminated atmosphere. It should be noted on the order that only those

miners wearing appropriate personal protective equipment, and who are working to establish engineering controls, will be permitted to reenter the affected area.

3. Additionally, as required under 56/57.5005(c), at least one other person with backup equipment and rescue capability shall be present before any miner enters an atmosphere immediately harmful to life.
4. See Chapter 4, Section XVI of this handbook concerning the issuance of an imminent danger order with contributing citations and orders.

Scenario: A miner has been exposed to 700 parts per million (ppm) of carbon monoxide. He has been drilling in the same area for the last four hours. The miner complains of dizziness, headache, nausea, and uncoordination and exhibits severe mental confusion. The miner's partner indicates that he also has similar symptoms. Further investigation reveals that the drill has fallen on both miners three times within the last hour due to their lack of concentration and inability to coordinate drilling activities. Ventilation in the area is not adequate due to holes and blockages observed in the vent tubing providing air into the area.

Scenario: A cyanide pipe in a mill broke and is spraying the solution everywhere. The exposed solution has flowed through the mill and has contacted some acidic material. HCN levels are measured at the doorway at 35 ppm. A worker has gone in the plant with barriers to control the flow but is only wearing regular shoes and work clothes. Since the miner would absorb the whole dose and absorption through the skin, handling the solution without personal protective equipment could also be an imminent danger situation.

Because of the high exposure to a contaminant, the length of exposure time, the noted symptoms of the miners, the safety hazards associated with incorrectly operating equipment, and the hazardous workplace environment, an imminent danger situation exists. Assuming that an evaluation of ordinary negligence was made, the inspector would issue a 107(a) imminent danger order and withdraw the two miners from their workplace.

IV. NEGLIGENCE AND GRAVITY EVALUATIONS OF HEALTH VIOLATIONS

The following instructions apply to Section II of the 7000-3, Mine Citation/Order Form, unless otherwise specified.

A. Negligence

The degree of negligence is dependent on what the mine operator did **before** MSHA collected the samples that established the violation. Factors to be considered by the inspector include items such as:

1. whether the mine operator knew or had been told of the high contaminant level(s);
2. condition of engineering controls;
3. had engineering control systems been provided;
4. whether the operator provided appropriate personal protection, whether it was fit-tested (if appropriate), whether personnel were trained in its use (if appropriate), and whether it was worn, properly maintained, cleaned.

B. Gravity

1. **Likelihood:** Consider "Reasonably Likely" or "Highly Likely" if respiratory or hearing protection was not provided; if the respiratory or hearing protection was not adequately maintained; if the individual was not respirator fit-tested; if the person was not trained in the use of the respirator; or if the protection was not suitable for the hazard. Also consider "Reasonably Likely" or "Highly Likely" if the respiratory or hearing protection program had deficiencies which caused the personal protection to be inadequate to ensure protection of the miner.

Note: With regard to nuisance particulates and silver metal overexposures between 0.01 mg/m^3 and 0.1 mg/m^3 operators must use engineering controls to reduce exposure to the permissible limit and comply with the respiratory protection requirements of standard 56/57.5005. However, the .5001(a)/.5005 citation for overexposure to nuisance particulates and to silver metal in the above concentration range is not "S & S." Overexposures to soluble compounds of silver, such as silver nitrate, above 0.01 mg/m^3 should be considered "S & S" if adequate protection was not worn.

If appropriate respiratory protection was being properly worn, was appropriate to the hazard, the worker was respirator fit-tested, and the company had an acceptable respiratory protection program, the occurrence would be marked "Unlikely".

2. **Severity:** Overexposure to airborne contaminants and physical agents should be marked according to the severity of the disease they produce.

Examples: Silica causes silicosis which is permanently disabling and sometimes fatal; radon daughters can cause lung cancer which can be fatal; and noise causes hearing loss and would normally be marked permanently disabling.

3. **Number of Persons Affected:** For most situations, indicate the number of workers sampled. There are situations, however, where more workers should be entered in Item 10D of the Mine Citation/Order Form. If other workers perform the same

job on different shifts or if several workers rotate during the same shift and perform the identical job, they should be included in this box.

Example: A day-shift crusher operator is overexposed to respirable silica-bearing dust. Two other miners operate the crusher on the evening shift. Item 10D would have "3" entered.

C. Significant and Substantial Violations

The **"Yes"** block in Item 10C must be marked if it is reasonably likely that one or more persons will or could suffer at least "Lost Workdays" or "Restricted Duty" as a result of exposure to the contaminant.

The **"No"** block in Item 10C is to be marked if it is not reasonably likely that one or more persons will or could suffer at least "Lost Workdays" or "Restricted Duty" as a result of exposure to the contaminant.

Note: Since all overexposure limits have a likelihood of health impairment, this evaluation is dependent on the use of adequate personal protective equipment.

V. PAPERWORK FOR AIRBORNE CONTAMINANT VIOLATIONS

Fill out the citation form as usual except as follows:

- A. "Date and Time of Issue" is the date and time the citation was issued.
- B. In Item 8 include the following information: the Threshold Limit Value (TLV®) or the exposure limit; the error factor; the contaminant; the concentration; the job title of the person sampled; the equipment and area where the person was working; and the believed reason for the overexposure. Always include information as to whether or not the person was wearing approved respiratory protection with an adequate program. Include a statement noting the sampling date.
- C. When there is an overexposure to airborne contaminants (except asbestos), standards 56/57.5001(a) and 56/57.5005 should be considered as one standard. Enter the standard in Item 9C using the following format: 56.5001(a)/56.5005. When the overexposure is to asbestos, use 56/57.5001(b) and 56/57.5005 as one standard [e.g., 56.5001(b)/.5005 or 57.5001(b)/.5005].
- D. Standard 56/57.5005 can be written alone **only** where MSHA requires a respiratory protection program for compliance and the mine operator fails to follow the program's requirements.

Standard 56/57.5005 can be cited without resampling if:

1. the mine operator is observed operating without complying with the respiratory protection program mandated by an outstanding citation for dust overexposure; or
 2. the operator was allowed to operate when all feasible engineering or administrative controls were implemented and a respiratory protection program was required for compliance.
- E. The citation is "S&S" if a respirator is not being worn; if the person sampled or involved has not been properly fit-tested with the respirator model being worn; if the respirator was not properly maintained; or if the respirator was not selected in accordance with American National Standards Institute (ANSI) Z88.2-1969, Practices for Respiratory Protectionⁱⁱ. Additionally, there may be other circumstances where the citation should be evaluated as "S&S." The inspector should review ANSI requirements for compliance and the circumstances of each case to determine if a citation should be evaluated as "S&S."

Note: With regard to nuisance particulates and silver metal overexposures between 0.01 mg/m³ and 0.1 mg/m³, operators must use engineering or administrative controls to reduce exposure to the permissible limit and comply with the respiratory protection requirements of standard 56/57.5005. However, the .5001(a)/.5005 citation for overexposure to nuisance particulates and to silver metal in the above concentration range is not "S & S." Overexposures to soluble compounds of silver, such as silver nitrate, above 0.01 mg/m³ should be considered "S & S" if adequate protection was not worn.

- F. If approved protection is not being worn, the initial termination due date will be the time necessary for the operator to provide appropriate respirators and develop a respiratory protection program in accordance with ANSI Z88.2-1969.

Also include a statement in the citation that once a respiratory protection program is implemented, in accordance with ANSI Z88.2-1969, the citation will be extended to allow the mine operator time to implement engineering or administrative controls.

- G. State in the extension what items are required to be accomplished by the abatement due date. When an extension is issued because progress has been made toward termination of a health violation, but MSHA resampling has not been done, enter the current date in Item 12 of Form 7000-3a.
- H. If resampling has been done, and you are awaiting results, there is no need to issue an extension. When results are received, take appropriate action.
- I. When an extension is required because MSHA sampling indicates that additional work needs to be completed by the mine operator, and it is not an issue of noncompliance, the inspector will issue an appropriate extension. Enter the date and time the extension was issued in Item 12 of Form 7000-3a.

ⁱⁱ See MSHA's Health Inspection Handbook for more information on ANSI requirements.

When resampling results indicate compliance, enter the date and time the termination was issued in Item 12 of Form 7000-3a.

VI. ISSUING ORDERS OF WITHDRAWAL FOR AIRBORNE CONTAMINANT VIOLATIONS

- A. Resampling must be done to determine if an overexposure still exists before issuing a 104(b) order. Do not issue an extension while you are awaiting the sampling results. However, an expedited analysis can be requested from the lab.
- B. Section 104(b) order must be issued if the operator or contractor fails to provide appropriate respiratory protection; or if the employee is not wearing an appropriate respirator; or if the operator has not implemented an appropriate respiratory protection program within the abatement time, and further extension of the abatement time is not warranted.

Once an appropriate respiratory protection program is implemented, the 104(b) order can be modified to allow work to continue until feasible engineering or administrative controls are implemented.

Note: If the operator or contractor can install feasible engineering or administrative controls more quickly than acquiring the respiratory protection equipment, the quicker means of abatement should be required.

- C. A 104(b) order must also be issued if the operator or contractor fails to implement feasible engineering or administrative controls within the established abatement time, and further extension is not warranted.

The citation can be terminated if the resampling results demonstrate that the operator is now in compliance. A 104(b) order must be issued if the results demonstrate that the operator is not in compliance and further extension of the abatement time is not warranted. The order should be dated the day resampling occurred.

- D. When an inspector issues a Section 104(b) order of withdrawal, the condition or practice statement in Item 8 must duplicate the statement as it was written in the original citation.

The statement should also explain, in detail, the reasons for the operator's failure to abate the citation, and what must be done to achieve abatement of the condition or practice that caused issuance of the citation. It should be noted in the 104(b) order, if applicable, that the failure to abate the violation involved a deficiency in the use of personal protective equipment (e.g., respirators) which was required as an interim protective measure pending the implementation of feasible engineering or administrative controls.

Once issued, the 104(b) order replaces the citation as the enforcement instrument. The abatement language in the order should provide sufficient details of the abatement requirements to allow the operator as much latitude as possible in achieving abatement.

Note: If the order was issued for failure to provide respiratory protection, when respiratory protection is provided, modify the order to allow the installation of controls. Do not terminate the order until overexposures have been brought into compliance.

- E. When an operator continues production in violation of a 104(b) order or neglects to abide by the conditions under which the 104(b) order was modified, the inspector must issue a 104(a) citation to the operator for a violation of Section 104(b) of the Mine Act and require, as an abatement condition, that a respiratory protection program be instituted for compliance. See Chapter 4, Section XIX, of this handbook for procedures to follow when an operator works in the face of a withdrawal order.

VII. GENERIC CHEMICAL CONTAMINANT OR DUST CITATION

“The (job description) (location description) was exposed to a (shift or time weighted average) of (contaminant) on (sampling date). This exceeded the Threshold Limit Value (TLV®) times the error factor of (enter factor)ⁱⁱⁱ. The analytical results were received and the citation was issued on (date citation was issued).”

Include the possible sources of the contaminant and any obvious deficiencies of the control systems; the type and condition of any personal protective equipment; and, if a respirator was used, whether or not a respirator program consistent with ANSI Z88.2-1969 was in place. When a respiratory protection program is nonexistent or deficient, state that the abatement time will be extended, if necessary, after a respiratory protection program consistent with ANSI Z88.2-1969 is in place so as to allow a reasonable period for the installation of controls.

VIII. COMPLIANCE OR NONCOMPLIANCE DETERMINATION FOR NOISE VIOLATIONS

Information and guidance on the issuance of noise citations/orders may be found in the M/NM Mine Health Inspection Procedures Handbook, Noise Chapter, and in related Procedure Instruction Letters (PIL's) and Procedure Instruction Bulletins (PIB's).

ⁱⁱⁱ See MSHA's Health Inspection Handbook for contaminant sampling and analysis procedures.

CHAPTER 6 - HEALTH CRITERIA (COAL)

Information and guidance on the issuance of health citations and orders at coal mines may be found in the Coal Mine Health Inspection Procedures Manual and related Procedure Instruction Letters (PILs). Appendix C of this document contains examples of coal mine health citations and orders.

CHAPTER 7 - GENERAL INFORMATION

I. CITATION/ORDER BOOKS / IPAL CITATION NUMBERS - ACCOUNTABILITY

Citation books are accountable government property. When citation books are issued to an inspector, the inspector shall sign and date the white cover sheet and return it to the field office supervisor. The forms are accounted for when the inspector marks the appropriate codes on the yellow cardboard sheet. The inspector shall sign and date the yellow sheet when the book is empty and return it to the supervisor. The supervisor will attach the yellow and white sheets together and forward the forms to the District Office. Inspectors are also accountable for violation numbers issued through the IPAL system. Upon receipt of the violation numbers, the inspector must sign and date to acknowledge receipt of these numbers.

II. ISSUING CITATIONS AND ORDERS

It is not mandatory to give the mine operator the citation or order form at the time the violation is observed. The operator or his designee, however, shall be told that there is a violation and a thorough discussion will ensue as to exposure, negligence, severity, and time permitted for abatement. Citations and orders shall be issued to the mine operator or his or her agent with reasonable promptness.

Note: Some citations or orders, because of the required analysis or other mitigating factors, might be cited and delivered to the mine operator at a time after the violation has occurred. Examples include, but are not limited to: certain Part 50 violations, certain health violations, violations cited as a result of accident investigations, and violations cited as a result of reviewing accident forms.

III. COMPLIANCE ASSISTANCE VISIT (CAV)/REOPENING INSPECTION FOLLOW-UP

If an inspector observes a violation that is not abated and which was noted on a previous CAV inspection, a 104(a) citation will be issued without referencing the CAV notice in the narrative or negligence evaluation section of the citation.

Inspectors should remember that a 104(a) citation is not the only action that can be taken for unabated CAV notices. Since the CAV inspection, the hazard observed during that inspection may have worsened or miners' exposure to the hazard might have increased. If an imminent danger situation now exists, a Section 107(a) withdrawal order should be issued with **no reference** made to the CAV notice in the body or negligence evaluation section of the order.

If an inspector finds a violation that is not abated at the completion of the Reopening Inspection, a 104(b) order will be issued. The inspector statement accompanying any

citation or order of withdrawal issued during the course of a reopening inspection should reflect that the inspection was made before reopening the mine.

IV. FIELD NOTES

For MNM, the forms provided to document inspectors' observations during enforcement activities are MSHA Forms 4000-49E (Citation/Order Documentation) and 4000-49F (General Field Notes), both 8½" x 11" in size, or Forms 4000-49G (Citation/Order Documentation), 4000-49H (General Field Notes), both 5" x 8" in size, and 4000-31 (Health Field Notes). For Coal, the forms provided to document inspectors' observations during enforcement activities are MSHA Form 7000 series. **Inspectors are not to take notes on other paper and copy them to these forms unless directed otherwise.**

V. CONTRACTOR CITATIONS AND MSHA ID NUMBERS

Unless a contractor is cited, has a reportable accident, or is engaged in one of the nine types of construction or services listed in the MSHA Program Policy Manual (Volume III), an MSHA contractor ID number is not necessary. Some mine operators, however, require contractors to get numbers as a precondition for contract bidding.

MSHA will provide an ID number for any contractor who requests one, but they need not apply except for the reasons specified above.

A contractor without an MSHA ID number can be cited using the mine operator's mine ID number until a contractor number is issued. A modification can then be written to include the new contractor number and to change the company name to the contractor's name when that number is obtained.

Note: The contractor's name entered on the citation must be exactly as it was when the MSHA Contractor ID number was assigned.

Contractor citations cannot be put into the MSHA computer system until the contractor is linked to the mine ID number. The Contractor Form 4000-39 must be submitted to link that contractor number with the mine ID number.

VI. CITATIONS OR ORDERS - ACCIDENT INVESTIGATIONS

The appropriate inspection code will be entered in Item 19 of the Mine Citation/Order Form during an accident investigation. During the investigation, this code is to be used on all citations and orders issued that are directly related to the accident. The inspector will initiate a separate event and use that event number if citations or orders are issued which are not directly related to the accident. If a regular inspection is not ongoing at the mine at the time of the accident, a new event will be initiated.

Note: The unrelated citations or orders should not be included with the accident report.

VII. TERMINATION TIMES FOR CITATIONS

The inspector shall give primary consideration to the health and safety of miners in establishing abatement times. The termination time for a citation must be specific and provide a reasonable time for the operator to abate the conditions or circumstances which caused issuance of the citation. Citation abatement times **shall not** be established for the convenience of the mine operator, the inspector, because the mine operator has filed an appeal with the Federal Mine Safety and Health Review Commission, or because of a filing for a Petition for Modification. The inspector shall give primary consideration to the health and safety of miners in establishing abatement times.

VIII. EXTENDING/TERMINATING CITATIONS AT AN MSHA OFFICE

Most citations and orders require an on-site inspection to verify conditions before they can be terminated. Some citations and orders, such as those relating to dust, may be terminated without conducting an on-site inspection. A field office supervisor should approve any citations or orders that are terminated without conducting an on-site inspection. A "new" event number should not be initiated when a citation or order is extended, terminated, or vacated from an MSHA office. The appropriate enforcement activity code should be used.

IX. EXTENDING CITATIONS - OPERATOR HAS APPEALED A CITATION OR ORDER

The filing of an appeal of a citation or order by a mine operator or contractor with the Federal Mine Safety and Health Review Commission (Commission) or the filing of a petition for modification does not relieve the operator or contractor of the responsibility to comply with the cited mandatory standard and these are not a basis to extend the citation.

The extension of any citation **is** appropriate if primary consideration has been given to whether a safety or health hazard exists or may exist to the miners. An extension of time for the operator or contractor to comply may be appropriate if the hazard can be eliminated with an interim measure which ensures protection of miners.

X. MODIFICATIONS TO CITATIONS/ORDERS/SUBSEQUENT ACTIONS

The original Mine Citation/Order Form 7000-3 and 7000-3a and all copies must be exactly alike. Any items written or entered in error on the form must be modified using a Mine Citation/Order Continuation Form 7000-3a. **Inspectors will not make changes on MSHA copies nor ask mine operators to make the same changes on their copies.**

Example: If a subsequent extension action is being changed, state: "The extension dated mm/dd/yy is being modified." If a subsequent termination action is being modified, state: "The termination dated mm/dd/yy is being modified." If a modification is being changed, state: "The modification dated mm/dd/yy is being corrected." If a Mine Citation/Order Form is being modified or corrected, state: "Citation (or Order) No. xxxxxxx is being modified in Section xx, Item xx, to (the corrected action should be entered)."

XI. TERMINATING CITATIONS AND ORDERS

- A. Citations and orders will be terminated on either the Mine Citation/Order Form or a Continuation Form. The termination of a citation or order must be written on a Mine Citation/Order Continuation Form and given to the mine operator or contractor.

Example: Four citations/orders were written and issued to the mine operator on Monday. Three days later all violative conditions were corrected. The four citations/orders shall be individually terminated on a Mine Citation/Order Continuation Form 7000-3a.

- B. Citations and orders shall be terminated when a mine is classified by MSHA as permanently abandoned and sealed, or if it moves to a location some distance from the original site (e.g., a portable crusher). Citations and orders shall also be terminated when out of compliance equipment is permanently "removed from service," is permanently retired from service, or is permanently removed from the mine site.

The following procedures shall apply when citations and orders are terminated in the above situations:

1. Mine operation status is changed to "permanently abandoned."

The inspector shall make every reasonable attempt to determine if the operator complied with the cited standards.

- a. If the operator did comply then:

Terminate any outstanding citations or orders and hand deliver or mail the operator the terminations. Write on the termination(s) that the violative condition(s) or practice(s) was corrected.

- b. If it is determined that the operator did not correct the violative condition(s) or practice (s) before the mine was abandoned then:

Terminate any outstanding citations or orders and hand deliver or mail the operator the terminations. Write the following on each termination:

"The basis for termination of this citation (or order) is the classification of the mine as permanently abandoned. The violative condition(s) or practice(s) was not corrected. The operator is required to comply with the provisions of the cited standard before resuming activities at this location or at another mine.

2. Operation or equipment moves to a new location away from the initial mine site.
 - a. If the inspector determines that the operator did comply prior to moving then:

Terminate all outstanding citations or orders and hand deliver or mail the operator all terminations. Note on the termination(s) that the basis for termination is that the violative condition was corrected.

- b. If the inspector determines that the operator did not comply prior to moving then:

Terminate all outstanding citations or orders and hand deliver or mail the operator all terminations. Write the following statement on each termination:

"The basis for termination of this citation (or order) is the moving of mining operations (or equipment). The violative condition(s) or practice(s) was not corrected prior to moving. The operator is required to comply with the provisions of the standard before resuming any activities at another mine. Failure to correct the cited condition(s) or practice(s) prior to resuming these activities will be considered by MSHA to be aggravated conduct constituting more than ordinary negligence."

XII. EQUIPMENT REMOVED FROM SERVICE

"Removed from service" does not mean parking a piece of equipment (e.g., front-end loader, truck) or a mining unit (e.g., portable crusher, screening unit) so that it can be restarted and easily placed back into service in the same out-of-compliance condition which caused issuance of the original citation(s) or order(s). Rather, "removed from service" refers to the action(s) taken by the mine operator or contractor to permanently incapacitate or render inoperable the equipment and eliminate the violation. The termination should note the actions and/or statements made by the mine operator, or his or her representative, relating to the equipment's "removal from service."

Scenario: A loader is cited for not having an audible back-up alarm installed. The mine operator (or contractor) takes the tires off the loader, places it on blocks, removes the battery, and welds the doors closed. Any of these actions could qualify the equipment as being "removed from service" and justify termination of any outstanding citation(s) or order(s).

XIII. MINE OWNERSHIP CHANGES WITH OUTSTANDING CITATIONS OR ORDERS

When ownership of a mine changes after a citation(s) or order(s) is issued, the termination should be issued to the operator of the property at the time of termination. The operator of the mine at the time a citation is issued is the entity who will be assessed the civil penalty even though the mine no longer belongs to that operator.

In all cases, do not modify the outstanding citation(s) to change mine ownership.

When a change in mine operator occurs after a citation is issued but before it is terminated and justification is not found to extend the citation, a Section 104(b) order of withdrawal can be issued to the new mine operator.

Unless conditions that were noted on the original citation have deteriorated and now pose an imminent danger to miners, the new mine operator should generally be given the same amount of time to correct the violative condition as was given the previous mine operator.

Example: On July 1, Jane Doe Sand and Gravel is issued a citation for an unguarded conveyor head pulley and given one week to abate the condition. On July 7, Tom Brown Crushing purchases the mine and begins managing the operation the same day. On July 10, an MSHA inspector returns to check on the outstanding guarding citation and found that a guard had not been installed on the head pulley.

Based on the circumstances noted above:

- an extension would be issued if the inspector found and documented mitigating circumstances (e.g., the new mine operator was unaware of the violation). The extension would be issued to "Tom Brown Crushing" noting in Section II narrative of form 7000-3a that Tom Brown Crushing purchased the operation on July 7 and was the new mine operator; or
- a Section 104(b) order of withdrawal would be issued if the inspector found and documented that there were no mitigating circumstances to issue an extension (e.g., the new mine operator was aware of the violation but chose not to abate the cited condition). The 104(b) order would be issued to "Tom Brown Crushing" noting in the Item 8 narrative that Tom Brown Crushing purchased the operation on July 7 and was the new mine operator.

XIV. VACATING CITATIONS/ORDERS

Vacated citations and orders must be included with the inspection report as they are part of the inspection record. If the inspector is still at the mine, he or she will not reclaim the pink and yellow copies and mark the citation or order "Void." Instead, those copies shall be left with the mine operator and the inspector shall issue a "Vacate" action on Form 7000-3a. The inspector shall state the specific reason for vacating the citation or order on that form. Copies of all vacated citations and orders shall be forwarded to the District Manager separate from the inspection report.

Vacating an imminent danger order must be authorized in writing by the appropriate District Manager. A copy of that approval shall be attached to the mine inspection report.

XV. VOIDING CITATIONS/ORDERS

A voided citation or order is one which was written on the Mine Citation/Order Form and an error was discovered before it was presented to the mine operator or contractor.

The inspector will mark "Void" on the original citation or order form and all copies. The circumstances which caused the voidance will be noted on all forms. These voided forms will not be included with the inspection report but will be kept with the citation book until it is completed. The inspector should attach the voided forms to the book cover and return it to the field office supervisor when the book is finished.

When using the IPAL system, a citation or order that is issued in error must be vacated or modified by using Form 7000-3a.

XVI. STANDARD SUBSECTIONS - CITATIONS/ORDERS

It is necessary to specify the standard **AND** the appropriate subsection when a violation is cited.

Examples: Citations for failure to guard a piece of equipment should be issued under 56/57.14107(a) **and not** 56/57.14107; violations for failure to maintain an audible warning device on self-propelled equipment should be cited as 56/57.14132(a) **and not** 56/57.14132.

XVII. PART 41 - LEGAL IDENTITY

Each mine operator is required to file notification of legal identity and every change thereto on an MSHA Legal Identity Report Form 2000-7 with the appropriate MSHA office.

Inspectors will check for any change in the mine operator, mine name, company officers, parent company, and the address of record during every inspection. An updated Legal Identity Report Form must be submitted by the mine operator within 30 days if changes have occurred. When applicable, a citation will be issued to the mine operator when corporate, company, partnership, or any other changes have been made without MSHA being notified as required under 30 CFR Part 41.

The operator and the mine name on a Mine Citation/Order Form and Continuation Form must be the same as on the Legal Identity Report Form in effect at the time a citation or order is issued.

XVIII. PART 44 - PETITIONS FOR MODIFICATION

Title 30 CFR Part 44.4(c) reads in part: "... Orders granting petitions for modification may contain special terms and conditions to assure adequate protection to miners. The modification, together with any conditions, shall have the same effect as a mandatory standard."

During each regular inspection the inspector shall:

- review the mine bulletin board where all granted petitions, or a notice of their availability, are required to be posted under 30 CFR Part 44.9; and
- determine that a summary is posted; a copy of the full decision must be kept at the affected mine office and made available to the miners. This is in accordance with 30 CFR 44.5(b).
- observe and note the terms and conditions contained in the decision and order section of the granted petition.

When a violation of one or more of the conditions specified in the granted petitions is found, the inspector shall:

- cite the safety standard for which the petition for modification was granted;
- include the docket number of the granted petition for modification in Item 8 of the Mine Citation/Order Form;
- note the specific condition which was violated in the narrative of the Mine/Citation Order Form; and
- notify his (or her) supervisor after citing this violation.

This citation may initiate action to revoke the granted petition depending on the circumstances present at the time of issuance.

XIX. PART 49 - MINE RESCUE TEAMS

The availability of mine rescue teams for underground mining operations, the training of those teams, the status of mine rescue stations, and the mine operator's emergency notification plans shall be reviewed and documented during every regular inspection. Citations or orders may be issued as appropriate regarding compliance with Part 49.

XX. PART 50 - ACCIDENT, INJURY AND EMPLOYMENT REPORTING VIOLATIONS

An evaluation of mine operator compliance with reporting requirements under 30 CFR Part 50 shall be made during every regular inspection.

A single citation for each Part 50 audit or inspection shall be issued for failure to maintain records citing 30 CFR 50.40. Part 50 violations are always evaluated as "non-S&S"; however, they are often evaluated as high negligence.

Inspectors shall issue a citation for **each separate instance** of:

- failure to immediately notify MSHA of an accident (50.10);
- failure to investigate an accident or occupational injury (50.11);
- alteration of an accident site (50.12);
- failure to report an accident, injury, or illness (50.20); and
- failure to report quarterly employment (50.30).

Inspectors shall issue only one citation where mine operators have:

- failed to maintain quarterly employment or accident investigation reports (50.40); and
- failed to allow an MSHA representative to inspect and copy information related to accidents, injuries, or illnesses (50.41).

A separate citation shall also be issued for each instance of late reporting and for each instance of inaccurate reporting under 50.20 or 50.30.

XXI. POSSIBLE KNOWING/WILLFUL VIOLATION REVIEW FORM (7000-20)

A. Inspectors will initiate a Possible Knowing/Willful Violation Review Form for:

- 107(a) orders with 104(a) and 104(d) citations;
- 107(a) orders with 104(d) orders;
- 104(d) citations and orders which are "S&S" with an evaluation of at least "high" for negligence; and
- citations issued for working in violation of an order.

B. The District Office shall be sent a packet that includes:

1. the original Possible Knowing/ Willful Violation Review Form;
2. a copy of the Legal Identity Report;
3. a copy of the relevant general field notes;
4. a copy of the citation/order notes;

5. appropriate photographs; a copy of the relevant citation(s) or order(s); and
6. a copy of all modifications.

(Each photograph should be identified by the citation or order number and affixed to a separate inspection field note. A short, descriptive, and legible narrative should be written under each photograph.)

This packet shall be mailed to the District Office in a timely manner following the date the citation(s) or order(s) was issued. Any exceptions to this policy shall be preapproved by the field office supervisor with concurrence from the District Office.

XXII. SPECIAL ASSESSMENT REVIEW FORM (7000-32)

After review of any citation or order, the District Manager has full discretion to recommend or not recommend a special assessment. If the District Manager determines that a special assessment is appropriate, a completed and signed Special Assessment Review Form (MSHA Form 7000-32) will be submitted to the Director of Assessments.

Those forms, on completion, will describe the facts and circumstances that warrant a special assessment. Copies of inspector notes, conference worksheets, sketches or photographs, relevant portions of plans, accident reports or memoranda, or any other information which may assist the Office of Assessments in determining an appropriate civil penalty should be included.

The Office of Assessments will review each recommendation for special assessment and will make the final decision, conferring with MSHA Safety and Health staff as necessary. Recommendations containing insufficient documentation to support a special assessment will be returned to the District Manager with a clear explanation of the deficiency.

The following categories of citations or orders will be reviewed by inspection personnel for special assessment.

1. All Citations and Orders Issued During an Investigation of a Fatality or Serious Injury

A special assessment review is mandatory for citations or orders that are determined to have caused or contributed to the cause of the fatality or serious injury.

2. Unwarrantable Failure to Comply with Mandatory Health or Safety Standards

A special assessment review is mandatory for all unwarrantable failure citations or orders.

3. Operation of a Mine in Violation of an Order of Withdrawal

A special assessment review is mandatory for all such citations.

4. Failure to Permit an Authorized Representative of the Secretary to Perform an Inspection or Investigation (Denial of Right of Entry)

A special assessment review is mandatory for all such citations or orders.

5. Citations or Orders for Which Individuals are Personally Liable Under Section 110(c) of the Mine Act

Special assessments are mandatory for all these citations or orders.

6. Imminent Danger

A special assessment review is mandatory for all contributing citations or orders.

7. Extraordinarily High Degree of Negligence OR Extraordinarily High Gravity OR Other Unique Aggravating Circumstances

Appendix A

Safety Violations

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Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)	3. Citation/Order Number
	0	7	0	0 8 0 0	4 4 1 0 1 6 1
4. Served To FRED BIRCH, OWNER				5. Operator F. B. MINING COMPANY	
6. Mine F. B. MINE				7. Mine ID 5 6 - 0 9 9 9 - (contractor)	
8. Condition or Practice				8a. Written Notice (103g) <input type="checkbox"/>	

Mr. Fred Birch, owner of the F. B. Mining Company, refused to allow an authorized representative to enter the mine. Mr. Birch stated that the MSHA inspector could not enter his mine to conduct any inspection without a search warrant. Mr. Birch was advised that a search warrant was not necessary and that refusal to allow the inspection was a violation of the provisions of Section 103(a) of the Mine Act. This condition has not been designated as "significant and substantial" because the conduct violated a provision of the Mine Act rather than a mandatory safety or health standard.

NOTE: Section I, Item 9B, is completed with 103(a)

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
		1 0 3 - a	

Section II -- Inspector's Evaluation

10. Gravity:			
A. Injury or Illness (has) (is): No Likelihood <input checked="" type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input checked="" type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>			
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		D. Number of Persons Affected 0 0 0	
11. Negligence (check one)			
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input checked="" type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action		13. Type of Issuance (check one)	
1 0 4 - a - , - -		Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action		E. Citation/Order Number	
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>			
15. Area or Equipment			

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
		0	7	0	0 8 3 0

Section III -- Termination Action

17. Action to Terminate					
18. Terminated					
A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)	

Section IV -- Automated System Data

19. Type of Inspection (activity code)	20. Event Number	21. Primary or Mill
E 1 5	0 0 7 3 5 0 0	
22. Signature JOHN SMITH		23. AR Number 0 1 9 9 9

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - DENIAL OF RIGHT OF ENTRY

U.S. Department of Labor
Mine Safety and Health Administration

MSHA Form 7000-3 Mar 85 (Revised)

Appendix A

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	0	1	0	8	3
4. Served To	FRED BIRCH, OWNER			5. Operator	F. B. MINING COMPANY		
6. Mine	F. B. MINE			7. Mine ID	5 6 - 0 9 9 9 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

Mr. Fred Birch, owner and operator of the F. B. Mining Company, continued to deny an authorized representative of the Secretary the right of entry into the F.B. Mine for the purpose of conducting an inspection of the mine in accordance with the requirements of Section 103(a) of the Federal Mine Safety and Health Act of 1977.

NOTE: Section I, Item 9B, is completed with 103(a). Items 10 and 11 are not completed. Item 15 is completed with the phrase "No Area Affected."

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	1	0	3	-	a	C. Part/Section of Title 30 CFR	
--------------	------------------------	-------------------	---	---	---	---	---	---------------------------------	--

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>							
	B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>							
	C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input type="checkbox"/>				D. Number of Persons Affected			
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>							
12. Type of Action	1	0	4	-	b	-		13. Type of Issuance (check one)
								Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>
14. Initial Action	A. Citation <input checked="" type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order number							
	4 4 1 0 1 6 1 F. Dated Mo 0 Da 7 Yr 0							
15. Area or Equipment	NO AREA AFFECTED							

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate					
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	2	7	20. Event Number	0	0	7	3	5	0	0	21. Primary or Mill
22. Signature	JOHN SMITH											
	23. AR Number 0 1 9 9 9											

MSHA Form 7000-3 Mar 85 (Revised)

104(b) ORDER - DENIAL OF RIGHT OF ENTRY

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)	3. Citation/Order Number
	0	7	0	1	0
	1	0	1	0	1
				0	8
				0	0
4. Served To	5. Operator				
Joe Doe, Co-owner				Big Lick Coal Company	
6. Mine	7. Mine ID				
Big Lick No. 1				6 4 - 0 7 1 5 3 -	
				(contractor)	
8. Condition or Practice	8a. Written Notice (103g)				

Joe Doe, partner and mine foreman, continued to deny Paul Jones, an authorized representative of the Secretary, the right of entry into the Big Lick No. 1 Mine for the purpose of conducting an inspection of the mine in accordance with the requirements of Section 103(a) of the Act.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
		1 0 3 - a	

Section II -- Inspector's Evaluation

10. Gravity:			
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>			
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input type="checkbox"/>		D. Number of Persons Affected	
11. Negligence (check one)			
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action		13. Type of Issuance (check one)	
1 0 4 - b - , - -		Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action		E. Citation/Order Number	
A. Citation <input checked="" type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>		4 4 1 0 0 0 1	
F. Dated		Mo Da Yr	
		0 7 0 1 0 1	
15. Area or Equipment			
No area affected.			
16. Termination Due			
A. Date		B. Time (24 Hr. Clock)	
Mo Da Yr			

Section III -- Termination Action

17. Action to Terminate			
18. Terminated			
A. Date		B. Time (24 Hr Clock)	
Mo Da Yr			

Section IV -- Automated System Data

19. Type of Inspection (activity code)	20. Event Number	21. Primary or Mill
E 0 1	0 8 0 8 0 8 0	
22. Signature		23. AR Number
Paul Jones		2 9 3 2 0

MSHA Form 7000-3 Mar 85 (Revised)

104(b) ORDER - DENIAL OF RIGHT OF ENTRY

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo	Da	Yr	3. Citation/Order Number														
4. Served To John Smith, Assistant Foreman						5. Operator J and S Coal Company, Inc.														
6. Mine No. 1						7. Mine ID 4 4 - 0 3 5 3 6 - (contractor)														

Section II -- Justification for Action

Purpose - This inspection was conducted to investigate a complaint dated 7/22/01 by a representative of the miners at this mine alleging that dangerous loose coal, coal dust, and float coal dust have accumulated throughout the 2 south belt entry.

Negative Findings -The entire 2 south belt entry was examined and accumulations of loose coal, coal dust or float coal dust were not found.

This example reflects that only one hazard was involved in the 103(g) (1) inspection. However, in those instances where two or more hazards are alleged to exist in a request for a 103(g)(1) inspection, each of the alleged hazards investigated must be addressed by issuing a citation(s) or order(s) or provide a written notification that the hazard did not exist.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)				C. Vacated <input type="checkbox"/>	D. Terminated <input type="checkbox"/>	E. Modified <input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	0	3	10. Event Number	0	8	8	8	0	1				
11. Signature James Lee					AR Number 2 0 7 7 7		12. Date		Mo	Da	Yr	13. Time (24 Hr. Clock) 1 0 3 0		

MSHA Form 7000-3a, Mar 85 (Revised)

103(g) INSPECTOR - PURPOSE AND FINDINGS
NOTICE OF NEGATIVE FINDINGS

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	0	2	0	1	4
4. Served To	J.R. JOHNSON, SAFETY DIRECTOR			5. Operator	ABC MINING COMPANY		
6. Mine	ABC MINE AND MILL			7. Mine ID	7 5 - 0 9 1 3 3 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

A mine fire occurred at the 2315 level near the power substation. Seven miners are trapped behind a barricade erected on the No. 7 Gangway. This entrapment was confirmed by the mine foreman in a telephone conversation with one of the trapped miners. Contact with the trapped miners was lost just before 0930 hours. All persons are prohibited from entering the mine with the exception of a 6-person rescue team which must coordinate with the Fresh Air Base to determine if the fire area can be entered safely. A 6-person backup mine rescue team must be at the Fresh Air Base while the other team is pursuing the rescue effort.

NOTE: The Gravity and Negligence Sections are not completed.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act		C. Part/Section of Title 30 CFR	
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Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>					
	B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>					
	C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input type="checkbox"/>				D. Number of Persons Affected	
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>					
12. Type of Action	1 0 3 - j - , - -				13. Type of Issuance (check one) Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action	A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>				E. Citation/Order Number	
15. Area or Equipment	ENTIRE MINE					

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
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Section III -- Termination Action

17. Action to Terminate						
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)	

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	9	20. Event Number	0	0	7	3	5	1	1	21. Primary or Mill			
22. Signature	JOHN SMITH										23. AR Number	0	1	9	9

MSHA Form 7000-3 Mar 85 (Revised)

103 (j) ORDER - CONTROL OF
RESCUE AND RECOVERY OPERATIONS

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)	3. Citation/Order Number
	0	7	2	0	4
	5	0	1	8	4
				0	1
				0	0
				0	6

4. Served To	5. Operator
John Smith, Assistant Foreman	J and S Coal Company, Inc.
6. Mine	7. Mine ID
No. 1	4 4 0 3 5 3 6 -
8. Condition or Practice	8a. Written Notice (103g)

A mine fire has occurred outby the mouth of 2 south section. Seven workers are behind a barricade erected about 1,000 feet outby the working section. This was established before losing contact with the section foreman at approximately 3:18 a.m. Air samples collected at the main fan show 35 ppm of carbon monoxide. The following persons are permitted to remain in or to enter the mine. Three foremen with a 6-person work crew for the fire area (2 south); and an 11-person investigating committee composed of representatives of the company, UMWA, State Department of Mines, and MSHA.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is):	No Likelihood	Unlikely	Reasonably Likely	Highly Likely	Occurred
	B. Injury or Illness could reasonably be expected to be:	No Lost Workdays	Lost Workdays or Restricted Duty	Permanently Disabling	Fatal	
	C. Significant and Substantial (See Reverse):	Yes	No	D. Number of Persons Affected		
11. Negligence (check one)	A. None	B. Low	C. Moderate	D. High	E. Reckless Disregard	
12. Type of Action	1	0	3	-	j	-
13. Type of Issuance (check one)	Citation	Order	Safeguard			
14. Initial Action	A. Citation	B. Order	C. Safeguard	D. Written Notice	E. Citation/Order Number	F. Dated

15. Area or Equipment

Entire Mine

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate					
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	20. Event Number	21. Primary or Mill
E 0 1	0 8 8 8 8 0 8	
22. Signature	23. AR Number	
James Lee	2 0 7 7 7	

MSHA Form 7000-3 Mar 85 (Revised)

103 (j) ORDER - CONTROL OF
RESCUE AND RECOVERY OPERATIONS

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 2	Da 5	Yr 0	1	3. Citation/Order Number	4	4	1	0	0	0	6	-	0	1
4. Served To John Smith, Assistant Foreman									5. Operator J and S Coal Company, Inc.										
6. Mine No. 1									7. Mine ID 4 4 0 3 5 3 6 - (contractor)										

Section II -- Justification for Action

Order No. 4410006 dated 7/25/01 is hereby modified to permit rehabilitation of the 2 south section according to the agreed upon plan dated 7/25.
All items listed on this plan must be strictly adhered to.

See Continuation Form

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input type="checkbox"/>	E. Modified	<input checked="" type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	0	6	10. Event Number	0	8	8	8	0	8					
11. Signature James Lee	AR Number				12. Date	Mo	Da	Yr	13. Time (24 Hr. Clock)						
	2	0	7	7		0	8	0	5	0	1	0	9	0	0

MSHA Form 7000-3a, Mar 85 (Revised)

103(j) ORDER - MODIFICATION

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 2	5	0	1	3. Citation/Order Number	4	4	1	0	0	0	6	-	0	2
4. Served To John Smith, Assistant Foreman									5. Operator J and S Coal Company, Inc.										
6. Mine No. 1									7. Mine ID 4 4 0 3 5 3 6 - (contractor)										

Section II -- Justification for Action

Ventilation has been restored to the No. 2 south section. An examination of the mine was made and samples of the mine atmosphere did not reveal the presence of carbon monoxide.

See Continuation Form

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input checked="" type="checkbox"/>	E. Modified	<input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	0	1	10. Event Number	0	8	8	8	8	0	9			
11. Signature James Lee					AR Number		12. Date		Mo	Da	Yr	13. Time (24 Hr. Clock)		
					2 0 7 7 7				0	8	0	5	0	1
												0	9	0

MSHA Form 7000-3a, Mar 85 (Revised)

103(j) ORDER - TERMINATION

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	0	0	0	4	4
4. Served To	JAMES JOHNSON, MINE SUPERINTENDENT			5. Operator	J.H. MINING COMPANY, INC.		
6. Mine	JACKSON HOLE MINE			7. Mine ID	56 - 00013 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

A fatal accident occurred at this operation on July 2, 2001, when two miners were attempting to blast oversized ore at the No. 4 draw point area. This order is issued to assure the safety of all persons at this operation. It prohibits all activity at the No. 4 draw point area until MSHA has determined that it is safe to resume normal mining operations in the area. The mine operator shall obtain prior approval from an authorized representative for all actions to recover and/or restore operations to the affected area.

NOTE: The gravity and negligence sections are not completed nor is a termination due date established.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
	B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>			
	C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input type="checkbox"/>		D. Number of Persons Affected	
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action	103 - k - , - -		13. Type of Issuance (check one) Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action	A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>		E. Citation/Order Number	
15. Area or Equipment	NO. 4 DRAW POINT AREA			

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate					
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	6	20. Event Number	0	0	7	3	5	1	2	21. Primary or Mill				
22. Signature	JOHN SMITH											23. AR Number	0	1	9	9

MSHA Form 7000-3 Mar 85 (Revised)

103(k) ORDER - CONTROL AND
CONSULTATION DURING RECOVERY OPERATIONS

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 0	2	0	1	3. Citation/Order Number	4	4	1	0	1	6	4	-	0	1
4. Served To JAMES JOHNSON, MINE SUPERINTENDENT									5. Operator J.H. MINING COMPANY, INC.										
6. Mine JACKSON HOLE MINE									7. Mine ID 5 6 - 0 0 0 1 3 - (contractor)										

Section II -- Justification for Action

Order #4410164 is terminated. Conditions that contributed to the accident have been corrected and normal mining operations can resume.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input checked="" type="checkbox"/>	E. Modified	<input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	0	6	10. Event Number	0	0	7	3	5	1	2		
11. Signature JOHN SMITH					AR Number		12. Date		Mo	Da	Yr	13. Time (24 Hr. Clock)	
					0 1 9 9 9				0	7	0	3	0 1
												0 8 1 5	

MSHA Form 7000-3a, Mar 85 (Revised)

103(k) ORDER - TERMINATION

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)	3. Citation/Order Number
	0	8	1	0	4
	1	0	0	8	4
	0	1	0	0	1
				0	0
				0	7

4. Served To	5. Operator
John Smith, Mine Foreman	J and S Coal Company, Inc.
6. Mine	7. Mine ID
No. 1	4 4 - 0 3 5 3 6 - (contractor)
8. Condition or Practice	8a. Written Notice (103g)

The mine has experienced a fatal track haulage accident along the 10 left haulway. This Order is issued to ensure the safety of any person in the coal mine until an examination or investigation is made to determine that the 10 left haulway is safe. Only those persons selected from company officials, state officials, the miners' representative and other persons who are deemed by MSHA to have information relevant to the investigation may enter or remain in the affected area.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is):	No Likelihood	Unlikely	Reasonably Likely	Highly Likely	Occurred
	B. Injury or Illness could reasonably be expected to be:	No Lost Workdays	Lost Workdays or Restricted Duty	Permanently Disabling	Fatal	
	C. Significant and Substantial (See Reverse):	Yes	No	D. Number of Persons Affected		
11. Negligence (check one)	A. None	B. Low	C. Moderate	D. High	E. Reckless Disregard	
12. Type of Action	1	0	3	-	k	-
13. Type of Issuance (check one)	Citation	Order	Safeguard			
14. Initial Action	A. Citation	B. Order	C. Safeguard	D. Written Notice	E. Citation/Order number	F. Dated

15. Area or Equipment

Entire 10 left track haulway and equipment involved in the accident.

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate

The 10 left track haulway and the equipment involved in the fatal haulage accident has been examined and it has been determined that it is safe to resume normal mining operations in the affected area.

18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)
	0	8	1	0	1

Section IV -- Automated System Data

19. Type of Inspection (activity code)	20. Event Number	21. Primary or Mill
E	0	
0	0	
6	8	
	8	
	8	
	1	
	1	
22. Signature	23. AR Number	
James Lee	2	
	0	
	7	
	7	
	7	

MSHA Form 7000-3 Mar 85 (Revised)

103(k) ORDER - TERMINATION

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	0	6	0	1	4
4. Served To	SAMUEL ADAMS, LEVEL FOREMAN			5. Operator	ABLE MINING, INC.		
6. Mine	THE DOUBLE M MINE			7. Mine ID	57 - 06789 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

Signs warning against smoking and open flames were not posted in the underground shop where flammable solvents were being used. The Safety Director stated that signs had been posted a few days ago. Employees had been instructed not to smoke in areas where there were flammable liquids. Several large multi-purpose fire extinguishers were in the area which reduced the chances of a fire spreading.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			57 - 4101

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is):	No Likelihood <input type="checkbox"/>	Unlikely <input checked="" type="checkbox"/>	Reasonably Likely <input type="checkbox"/>	Highly Likely <input type="checkbox"/>	Occurred <input type="checkbox"/>
	B. Injury or Illness could reasonably be expected to be:	No Lost Workdays <input checked="" type="checkbox"/>	Lost Workdays or Restricted Duty <input type="checkbox"/>	Permanently Disabling <input type="checkbox"/>	Fatal <input type="checkbox"/>	
	C. Significant and Substantial (See Reverse):	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	D. Number of Persons Affected	005	
11. Negligence (check one)	A. None <input type="checkbox"/>	B. Low <input type="checkbox"/>	C. Moderate <input checked="" type="checkbox"/>	D. High <input type="checkbox"/>	E. Reckless Disregard <input type="checkbox"/>	
12. Type of Action	104 - a - , - -			13. Type of Issuance (check one)	Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action	A. Citation <input type="checkbox"/>	B. Order <input type="checkbox"/>	C. Safeguard <input type="checkbox"/>	D. Written Notice <input type="checkbox"/>	E. Citation/Order Number	F. Dated Mo Da Yr
15. Area or Equipment						

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
	0	7	0	6	0

Section III -- Termination Action

17. Action to Terminate	TWO "NO SMOKING" SIGNS WERE POSTED IN APPROPRIATE AREAS OF THE SHOP.
-------------------------	--

18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)
	0	7	0	6	0

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	0	3	4	5	6	7	8	21. Primary or Mill					
22. Signature	BILL WILLIAMS											23. AR Number	0	2	5	5	5

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - "NON-S&S"
FAILURE TO POST WARNING SIGNS

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)	3. Citation/Order Number
	07	20	01	0845	441003
4. Served To John Smith, Assistant Foreman				5. Operator J and S Coal Company, Inc.	
6. Mine No. 1				7. Mine ID 44-03536- (contractor)	
8. Condition or Practice				8a. Written Notice (103g) <input type="checkbox"/>	

Energized insulated power wires were not passing through insulated bushings where they entered a power control switchbox on the Labour water pump at the northeast pumping station. The wires were resting against the metal frame of the switchbox, and there was no apparent damage to the insulation.

(The wrong section number has been used on purpose in this example. See Example 5 for modification.)

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
		-	75.514

Section II -- Inspector's Evaluation

10. Gravity:			
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input checked="" type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input checked="" type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>			
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		D. Number of Persons Affected 001	
11. Negligence (check one)			
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action		13. Type of Issuance (check one)	
104-a-		Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action		D. Written Notice	
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/>		E. Citation/Order number	
15. Area or Equipment			

16. Termination Due	A. Date	B. Time (24 Hr. Clock)
	072101	0800

Section III -- Termination Action

17. Action to Terminate			
18. Terminated			
A. Date	B. Time (24 Hr Clock)		

Section IV -- Automated System Data

19. Type of Inspection (activity code)	20. Event Number	21. Primary or Mill
E01	088800	
22. Signature James Lee		23. AR Number 20777

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - "NON-S&S"

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 20	01	3. Citation/Order Number	4	4	1	0	0	0	3	-	0	1
4. Served To John Smith, Assistant Foreman						5. Operator J and S Coal Company, Inc.											
6. Mine No. 1						7. Mine ID 44-03536- (contractor)											

Section II -- Justification for Action

The energized power wires entering a power control switchbox on the Labour water pump at the northeast pumping station have not been provided with insulated bushings. A power failure resulted in the mine being idle. Therefore, additional time has been requested and granted.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo 0	Da 7	Yr 20	01	B. Time (24 Hr. Clock)	0	8	0	0	C. Vacated <input type="checkbox"/>	D. Terminated <input type="checkbox"/>	E. Modified <input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	0	1	10. Event Number	0	8	8	8	8	0	0			
11. Signature James Lee	AR Number				12. Date	Mo 0	Da 7	Yr 20	01	13. Time (24 Hr. Clock)	0	8	3	0

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - EXTENSION

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 2	0	1	3. Citation/Order Number	4	4	1	0	0	0	3	-	0	2
4. Served To John Smith, Assistant Foreman								5. Operator J and S Coal Company, Inc.										
6. Mine No. 1								7. Mine ID 4 4 - 0 3 5 3 6 - (contractor)										

Section II -- Justification for Action

The power wires were entered through insulated bushings into the power control switches on the Labour water pump at the northeast pumping station.

See Continuation Form

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input checked="" type="checkbox"/>	E. Modified	<input type="checkbox"/>
----------------	---------	----	----	----	------------------------	------------	--------------------------	---------------	-------------------------------------	-------------	--------------------------

Section IV -- Inspection Data

9. Type of Inspection	E	0	1	10. Event Number	0	8	8	8	8	0	0		
11. Signature James Lee					AR Number		12. Date		Mo	Da	Yr	13. Time (24 Hr. Clock)	
					2		0		7	2	3	0	
					7		7						
											0		
											8		
											0		
											0		

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - TERMINATION

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 2	0	1	3. Citation/Order Number	4	4	1	0	0	0	3	-	0	3
4. Served To John Smith, Assistant Foreman								5. Operator J and S Coal Company, Inc.										
6. Mine No. 1								7. Mine ID 4 4 - 0 3 5 3 6 - (contractor)										

Section II -- Justification for Action

Citation No. 4410003, issued for a violation of 30 CFR 75.514 is modified in Item 9 C to show the correct section number 30 CFR 75.515.

(Modification to correct wrongly cited section number of the regulations.)

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input type="checkbox"/>	E. Modified	<input checked="" type="checkbox"/>
----------------	---------	----	----	----	------------------------	------------	--------------------------	---------------	--------------------------	-------------	-------------------------------------

Section IV -- Inspection Data

9. Type of Inspection	E	0	1	10. Event Number	0	8	8	8	8	0	0		
11. Signature James Lee					AR Number		12. Date		Mo	Da	Yr	13. Time (24 Hr. Clock)	
					2		0		7	2	3	1 0 0 0	

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - MODIFICATION

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	0	6	0	1	4
4. Served To	SAMUEL ADAMS, LEVEL FOREMAN			5. Operator	MUDDY MINING, INC.		
6. Mine	THE DOUBLE M MINE			7. Mine ID	5 7 - 0 6 7 8 9 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

A written record of workplace examinations was not available for review by MSHA. Examinations had been conducted every shift of each working place and appropriate action had been taken to correct hazardous conditions. However, the operator had failed to record those examinations.

NOTE: Do not issue this citation in conjunction with, or in addition to, a citation for failing to conduct the workplace examination required under 56/57.18002(a).

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			5 7 . 1 8 0 0 2 b

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is):	No Likelihood <input checked="" type="checkbox"/>	Unlikely <input type="checkbox"/>	Reasonably Likely <input type="checkbox"/>	Highly Likely <input type="checkbox"/>	Occurred <input type="checkbox"/>
	B. Injury or Illness could reasonably be expected to be:	No Lost Workdays <input checked="" type="checkbox"/>	Lost Workdays or Restricted Duty <input type="checkbox"/>	Permanently Disabling <input type="checkbox"/>	Fatal <input type="checkbox"/>	
	C. Significant and Substantial (See Reverse):	Yes <input type="checkbox"/>	No <input checked="" type="checkbox"/>	D. Number of Persons Affected	0 0 0	
11. Negligence (check one)	A. None <input type="checkbox"/>	B. Low <input type="checkbox"/>	C. Moderate <input checked="" type="checkbox"/>	D. High <input type="checkbox"/>	E. Reckless Disregard <input type="checkbox"/>	
12. Type of Action	1 0 4 - a - , - -			13. Type of Issuance (check one)	Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action	A. Citation <input type="checkbox"/>	B. Order <input type="checkbox"/>	C. Safeguard <input type="checkbox"/>	D. Written Notice <input type="checkbox"/>	E. Citation/Order Number	F. Dated Mo Da Yr
15. Area or Equipment						

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
	0	7	0	6	0
	1	6	0	0	

Section III -- Termination Action

17. Action to Terminate	A RECORD OF EXAMINATIONS WAS NOW BEING KEPT AND WAS AVAILABLE FOR REVIEW BY MSHA.
-------------------------	---

18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)
	0	7	0	6	0
	1	5	3	5	

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	0	3	4	5	6	7	8	21. Primary or Mill					
22. Signature	BILL WILLIAMS											23. AR Number	0	2	5	5	5

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - NON-"S&S" - FAILURE
TO RECORD WORKPLACE EXAMINATIONS

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	0	8	0	1	4 4 1 0 1 7 0
4. Served To				5. Operator			
LEFTY JONES, SAFETY DIRECTOR				WHEAT MINING COMPANY			
6. Mine				7. Mine ID			
WMC MINE AND MILL				5 6 - 0 0 3 3 3 - (contractor)			
8. Condition or Practice				8a. Written Notice (103g)			

The cab window of the front-end loader was cracked on the driver's side creating a line of vision problem for the operator.
The loader was being operated in the stockpile area. According to the operator, the loader does not work in any other areas of the mine.
Other traffic or employees were not in the immediate vicinity. The windshield cracks extend two feet vertically by two feet horizontally, with numerous smaller cracks radiating from the larger cracks.

Equipment: 988 Caterpillar front-end loader, #10, Serial Number 234567

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
		-	5 6 - 1 4 1 0 3 b

Section II -- Inspector's Evaluation

10. Gravity:			
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input checked="" type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input checked="" type="checkbox"/> Fatal <input type="checkbox"/>			
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		D. Number of Persons Affected	
		0 0 1	
11. Negligence (check one)			
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action		13. Type of Issuance (check one)	
1 0 4 - a - , - -		Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action		E. Citation/Order Number	
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>			
15. Area or Equipment			

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
	0	7	2	2	0
	1				1 6 0 0

Section III -- Termination Action

17. Action to Terminate					
18. Terminated					
A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)	

Section IV -- Automated System Data

19. Type of Inspection (activity code)	20. Event Number	21. Primary or Mill
E 0 1	0 4 5 6 7 8 9	
22. Signature		23. AR Number
PAULA FIELDS		0 3 1 1 1

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - "NON-S&S"
CRACKED WINDSHIELD

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 0	3. Citation/Order Number	4	4	1	0	1	7	0	-	0	1
4. Served To LEFTY JONES, SAFETY DIRECTOR					5. Operator WHEAT MINING COMPANY											
6. Mine WMC MINE AND MILL					7. Mine ID 5 6 - 0 0 3 3 3 - (contractor)											

Section II -- Justification for Action

The loader was removed from the mine site and the violation is terminated. Prior to its removal, the loader's windshield had not been replaced by the operator. The mine operator was notified that, prior to resuming mining activities at another site, he is required to comply with the cited standard. If the operator does not comply, MSHA will consider his actions to be aggravated conduct constituting more than ordinary negligence.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input checked="" type="checkbox"/>	E. Modified	<input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	1	5	10. Event Number	0	3	5	7	9	1	0								
11. Signature	JOHN SMITH				AR Number	0	1	9	9	9	12. Date	Mo	Da	Yr	13. Time (24 Hr. Clock)	0	8	3	0

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - TERMINATION
EQUIPMENT REMOVED FROM SERVICE

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	1	0	1	0	8
4. Served To	JANE SMITHSON, OWNER			5. Operator	BEDROCK MINING CORPORATION		
6. Mine	BEDROCK MINE			7. Mine ID	5 6 - 0 7 8 9 1 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

The notice of pattern of violations dated June 13, 2001, was not posted on the mine bulletin board. The board was removed for repairs on June 16, 2001, and all documents on the board were relocated to the mine office. The board was repaired on June 26, but the documents were not reposted. This condition has not been designated as "significant and substantial" because the conduct violated a provision of the Mine Act rather than a mandatory safety or health standard.

NOTE: Section I, Item 9B, is completed with 109(a).

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	1	0	9	-	a	C. Part/Section of Title 30 CFR										
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Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is): No Likelihood <input checked="" type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>																						
	B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input checked="" type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>																						
	C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>				D. Number of Persons Affected				0	0	0												
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>																						
12. Type of Action	1	0	4	-	a	-	,	-	-	13. Type of Issuance (check one)	Citation	<input checked="" type="checkbox"/>	Order	<input type="checkbox"/>	Safeguard	<input type="checkbox"/>							
14. Initial Action	A. Citation <input type="checkbox"/>			B. Order <input type="checkbox"/>			C. Safeguard <input type="checkbox"/>			D. Written Notice <input type="checkbox"/>			E. Citation/Order number			F. Dated			Mo	Da	Yr		
15. Area or Equipment																							

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	
	0	7	1	1	0	1
					1	6

Section III -- Termination Action

17. Action to Terminate	THE NOTICE WAS REPOSTED ON THE MINE BULLETIN BOARD.	
-------------------------	---	--

18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)	
	0	7	1	1	0	1
					1	4

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	0	9	8	7	6	5	4	21. Primary or Mill					
22. Signature	JOHN SMITH											23. AR Number	0	1	9	9	9

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - "NON-S&S" - NOT POSTING
THE NOTICE OF PATTERN OF VIOLATIONS

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data																																																																																									
1. Date		Mo	Da	Yr	2. Time (24 Hr. Clock)					3. Citation/Order Number																																																																															
		0	7	0	3	0	1	0					8					4					4					1					0					1					6					5																																									
4. Served To RICHARD MORE										5. Operator CB ENTERPRISES																																																																															
6. Mine CRUSHED AND BROKEN MINE										7. Mine ID 5 6 - 0 3 1 1 2 - (contractor)																																																																															
8. Condition or Practice										8a. Written Notice (103g) <input type="checkbox"/>																																																																															
<p>The self-cleaning tail pulley of the No. 2 conveyor belt was not guarded. The conveyor was not operating at the time of the inspection but had operated the day before. The guard was lying on the ground. Several feet of dust had accumulated around the guard indicating that it had not been in place for an extended period of time. Employees were required to work in this area when the conveyor was operating. The unguarded conveyor tail pulley was within two feet of an active workplace and was visible to anyone in the area. Miners would be seriously injured if they came in contact with the unguarded pulley.</p>																																																																																									
See Continuation Form (MSHA Form 7000-3a) <input type="checkbox"/>																																																																																									
9. Violation		A. Health Safety Other		B. Section of Act		C. Part/Section of Title 30 CFR					5					6					1					4					1					1					2					b																																											
Section II -- Inspector's Evaluation																																																																																									
10. Gravity:																																																																																									
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>																																																																																									
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input checked="" type="checkbox"/> Fatal <input type="checkbox"/>																																																																																									
C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> D. Number of Persons Affected 0 0 1																																																																																									
11. Negligence (check one)																																																																																									
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>																																																																																									
12. Type of Action										13. Type of Issuance (check one)																																																																															
1 0 4 - a - , - -										Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>																																																																															
14. Initial Action										D. Written Notice										E. Citation/Order Number										F. Dated										Mo										Da										Yr																													
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/>																																																																																									
15. Area or Equipment																																																																																									
16. Termination Due																																																																																									
A. Date		Mo	Da	Yr	B. Time (24 Hr. Clock)					1					2					0					0																																																																
		0	7	0	3	0	1	1					2					0					0					0																																																													
Section III -- Termination Action																																																																																									
17. Action to Terminate																																																																																									
THE GUARD WAS REINSTALLED ON THE TAIL PULLEY.																																																																																									
18. Terminated																																																																																									
A. Date		Mo	Da	Yr	B. Time (24 Hr Clock)					1					3					3					0																																																																
		0	7	0	3	0	1	1					3					3					0					0																																																													
Section IV -- Automated System Data																																																																																									
19. Type of Inspection (activity code)		E		0		1		20. Event Number					0					0					8					9					6					6					9					21. Primary or Mill																																									
22. Signature										23. AR Number																																																																															
JANE JONES										0										2					1					3					6																																																						

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - "S&S" - FAILURE
TO REPLACE GUARD

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data																			
1. Date		Mo	Da	Yr	2. Time (24 Hr. Clock)					3. Citation/Order Number									
		0	7	0	3	0	1	1 1 0 0					4 4 1 0 1 6 6						
4. Served To RICHARD MORE										5. Operator CB ENTERPRISES									
6. Mine CRUSHED AND BROKEN MINE										7. Mine ID 5 6 - 0 3 1 1 2 - (contractor)									
8. Condition or Practice										8a. Written Notice (103g) <input type="checkbox"/>									
<p>A competent person designated by the mine operator was not examining each working place at least once every shift for conditions which could adversely affect safety or health. This was evidenced by the two citations issued this inspection for failure to install machinery guards, one citation issued for failure to repair broken emergency stop cords on conveyors, and one citation issued for failure to replace electrical motor junction box covers.</p>																			
<p>NOTE: Do not issue a second citation for failing to record the examination [56/57.18002(b)] if a citation is issued, as in this case, for failing to conduct the examination.</p>																			
See Continuation Form (MSHA Form 7000-3a) <input type="checkbox"/>																			
9. Violation		A. Health Safety Other		B. Section of Act		C. Part/Section of Title 30 CFR													
						5 6 - 1 8 0 0 2 a													
Section II -- Inspector's Evaluation																			
10. Gravity:																			
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>																			
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input checked="" type="checkbox"/> Fatal <input type="checkbox"/>																			
C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>										D. Number of Persons Affected 0 0 1									
11. Negligence (check one)																			
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>																			
12. Type of Action										13. Type of Issuance (check one)									
1 0 4 - a - , - -										Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>									
14. Initial Action										E. Citation/Order Number									
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>										F. Dated Mo Da Yr									
15. Area or Equipment																			
16. Termination Due																			
A. Date		Mo	Da	Yr	B. Time (24 Hr. Clock)														
		0	7	0	4	0	1	0 8 0 0											
Section III -- Termination Action																			
17. Action to Terminate																			
18. Terminated																			
A. Date		Mo	Da	Yr	B. Time (24 Hr Clock)														
Section IV -- Automated System Data																			
19. Type of Inspection (activity code)		E 0 1		20. Event Number					0 0 8 9 6 6 9					21. Primary or Mill					
22. Signature JANE JONES										23. AR Number 0 2 1 3 6									

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - "S&S" - FAILURE
TO CONDUCT WORKPLACE EXAMINATIONS

Mine Citation/Order

U.S. Department of Labor
 Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	0	6	0	1	4 4 1 0 1 6 9
4. Served To				5. Operator			
SAMUEL ADAMS, LEVEL FOREMAN				MUDDY MINING, INC.			
6. Mine				7. Mine ID			
THE DOUBLE M MINE				5 7 - 0 6 7 8 9 - (contractor)			
8. Condition or Practice				8a. Written Notice (103g)			

A loose slab of rock was located in the back at 24 Baker Turnout on the 2075 level. The slab, measuring approximately two feet by two feet, was located one foot from the right rib, and was estimated to weigh 200 pounds. Rail haulage and miners on foot were in the area regularly. A serious injury would occur if this slab were to fall and hit a miner.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			5 7 . 3 2 0 0

Section II -- Inspector's Evaluation

10. Gravity:			
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input checked="" type="checkbox"/>			
C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		D. Number of Persons Affected	
		0 0 1	
11. Negligence (check one)			
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action		13. Type of Issuance (check one)	
1 0 4 - a - , - -		Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action		E. Citation/Order number	
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>			
15. Area or Equipment			

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
	0	7	0	6	0
	1	2	0	0	

Section III -- Termination Action

17. Action to Terminate
THE SLAB WAS BARRED DOWN.

18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)
	0	7	0	6	0
	1	1	1	5	

Section IV -- Automated System Data

19. Type of Inspection (activity code)	20. Event Number	21. Primary or Mill
E 0 1	0 3 4 5 6 7 8	
22. Signature		23. AR Number
BILL WILLIAMS		0 2 5 5 5

MSHA Form 7000-3 Mar 85 (Revised)

 104(a) CITATION - "S&S" - PRIOR TO
 MODIFYING TO A "NON-S&S" CITATION

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 0	Da 6	Yr 0	1	3. Citation/Order Number	4	4	1	0	1	6	9	-	0	1
4. Served To SAMUEL ADAMS, LEVEL FOREMAN									5. Operator MUDDY MINING, INC.										
6. Mine THE DOUBLE M MINE									7. Mine ID 5 7 - 0 6 7 8 9 - (contractor)										

Section II -- Justification for Action

This action is to modify Citation #4410169 based on information presented at an MSHA Health and Safety Conference. Information received included: the loose slab was located one foot from the right rib and a ditch was located directly beneath the slab. Persons in this area walk approximately five feet to the side of the ditch and are not likely to be hit by the slab. Based on this information the citation is modified to "Non-S&S". Item 10A is modified to "unlikely" and Item 10C is changed to "No".

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input type="checkbox"/>	E. Modified	<input checked="" type="checkbox"/>
----------------	---------	----	----	----	------------------------	------------	--------------------------	---------------	--------------------------	-------------	-------------------------------------

Section IV -- Inspection Data

9. Type of Inspection	E	0	1	10. Event Number	0	3	4	5	6	7	8								
11. Signature	PAUL SMITH				AR Number	0	1	6	5	4	12. Date	Mo	Da	Yr	13. Time (24 Hr. Clock)	1	3	0	0

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - "S&S" -
MODIFIED TO "NON-S&S"

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data																																																									
1. Date		Mo	Da	Yr	2. Time (24 Hr. Clock)					3. Citation/Order Number																																															
		0	7	2	4	0	1	0					8					0					4					4					1					0					1					7					1				
4. Served To										5. Operator																																															
LEFTY JONES, SAFETY DIRECTOR										WHEAT MINING COMPANY																																															
6. Mine										7. Mine ID																																															
WMC MINE AND MILL										5 6 - 0 0 3 3 3 - (contractor)																																															
8. Condition or Practice										8a. Written Notice (103g)																																															
<p>No apparent effort was made to replace the cracked cab window on the driver's side of the front-end loader which was being operated in the stockpile area. The loader is hereby ordered withdrawn from service until the cab window is replaced and an MSHA inspector can observe the windshield in the loader.</p> <p>Equipment: 988 Caterpillar front-end loader, #10, Serial Number 234567</p> <p>NOTE: Section II, Items 10, 11, 16A and 16B are not completed. If equipment is ordered withdrawn from service enter the equipment's name, model, and serial number (if known) in Section II, Item 15.</p>																																																									
See Continuation Form (MSHA Form 7000-3a)																																																									
9. Violation		A. Health Safety Other		B. Section of Act		C. Part/Section of Title 30 CFR																																																			
						5 6 . 1 4 1 0 3 b																																																			
Section II -- Inspector's Evaluation																																																									
10. Gravity:																																																									
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>																																																									
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>																																																									
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input type="checkbox"/> D. Number of Persons Affected <input type="checkbox"/>																																																									
11. Negligence (check one)																																																									
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>																																																									
12. Type of Action										13. Type of Issuance (check one)																																															
1 0 4 - b - , - -										Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>																																															
14. Initial Action										E. Citation/Order number										F. Dated																																					
A. Citation <input checked="" type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>										4 4 1 0 1 7 0										Mo 0 7 Da 0 8 Yr 0 1																																					
15. Area or Equipment																																																									
CATERPILLAR FRONT-END LOADER (MODEL 988, SERIAL #234567)																																																									
16. Termination Due																																																									
A. Date		Mo	Da	Yr	B. Time (24 Hr. Clock)																																																				
Section III -- Termination Action																																																									
17. Action to Terminate																																																									
18. Terminated																																																									
A. Date		Mo	Da	Yr	B. Time (24 Hr Clock)																																																				
Section IV -- Automated System Data																																																									
19. Type of Inspection (activity code)		20. Event Number		21. Primary or Mill																																																					
E 1 5		0 3 5 7 9 1 3																																																							
22. Signature															23. AR Number																																										
JOHN SMITH															0 1 9 9 9																																										

MSHA Form 7000-3 Mar 85 (Revised)

104(b) - ORDER OF WITHDRAWAL

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data															
1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)						3. Citation/Order Number					
	0	7	2	7	0	1		0	9	0	0		4	4	1
4. Served To LEFTY JONES, SAFETY DIRECTOR					5. Operator WHEAT MINING COMPANY										
6. Mine WMC MINE AND MILL					7. Mine ID 5 6 - 0 0 3 3 3 - (contractor)										
8. Condition or Practice															
8a. Written Notice (103g) <input type="checkbox"/>															
<p>The mine operator is continuing to operate the Caterpillar 988 front-end loader even though a 104(b) order #4410171 for non-compliance was issued by MSHA on July 24, 2001. This order required the loader to be removed from service until a cracked cab window was repaired. The loader was loading trucks in the plant on July 27, 2001. The foreman stated that they had production to worry about and did not have time to shut the loader down and replace the window. This condition has not been designated as "significant and substantial" because the conduct violated a provision of the Mine Act rather than a mandatory safety or health standard.</p> <p>NOTE: Section I, Item 9B, is completed with the section of the mine act violated. Item 9C is not completed. A 104(a), not a 104(d), citation is to be issued regardless of the negligence evaluation.</p>															
See Continuation Form (MSHA Form 7000-3a) <input type="checkbox"/>															
9. Violation	A. Health Safety Other			B. Section of Act	1	0	4	-	b						
C. Part/Section of Title 30 CFR															
Section II -- Inspector's Evaluation															
10. Gravity:															
A. Injury or Illness (has) (is): No Likelihood <input checked="" type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>															
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input checked="" type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>															
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> D. Number of Persons Affected 0 0 1															
11. Negligence (check one)															
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input checked="" type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>															
12. Type of Action 1 0 4 - a - , - -															
13. Type of Issuance (check one) Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>															
14. Initial Action															
A. Citation <input type="checkbox"/> B. Order <input checked="" type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order number 4 4 1 0 1 7 1 F. Dated Mo 0 7 Da 2 4 Yr 0 1															
15. Area or Equipment															
16. Termination Due															
A. Date Mo 0 7 Da 2 7 Yr 0 1 B. Time (24 Hr. Clock) 0 9 3 0															
Section III -- Termination Action															
17. Action to Terminate															
18. Terminated															
A. Date Mo Da Yr B. Time (24 Hr Clock)															
Section IV -- Automated System Data															
19. Type of Inspection (activity code) E 1 5 20. Event Number 0 3 8 5 1 9 3 21. Primary or Mill															
22. Signature TED JOHNSON 23. AR Number 0 4 7 8 9															

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - WORKING IN VIOLATION OF A
104(b) ORDER OF WITHDRAWAL

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)	3. Citation/Order Number
	07	21	01	0830	4410004
4. Served To				5. Operator	
John Smith, Assistant Foreman				J and S Coal Company, Inc.	
6. Mine No. 1				7. Mine ID	
				44 - 03536 - (contractor)	
8. Condition or Practice				8a. Written Notice (103g)	

No apparent effort was made by the operator to install a proper bushing where the energized power wires go through the metal frame into the switches of the Labour water pump in the northeast pumping station.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			75.515

Section II -- Inspector's Evaluation

10. Gravity:	
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>	
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>	
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input type="checkbox"/>	
D. Number of Persons Affected	
11. Negligence (check one)	
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>	
12. Type of Action	
104 - b - , - -	
13. Type of Issuance (check one)	
Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action	
A. Citation <input checked="" type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>	
E. Citation/Order number	
4410003	
F. Dated	
Mo Da Yr	
07 20 01	
15. Area or Equipment	
The Labour water pump located at the northeast pumping station	

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate					
18. Terminated					
A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)	

Section IV -- Automated System Data

19. Type of Inspection (activity code)	20. Event Number	21. Primary or Mill
E 01	088800	
22. Signature		23. AR Number
James Lee		20777

MSHA Form 7000-3 Mar 85 (Revised)

104(b) ORDER - FAILURE TO ABATE

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	2	1	0	1	1
4. Served To	John Smith, Assistant Foreman			5. Operator	J and S Coal Company, Inc.		
6. Mine No. 1				7. Mine ID	4 4 - 0 3 5 3 6 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

The Labour pump was not removed from operation as required by Order of Withdrawal No. 4410004 dated 7/21/01 at 0830 hours. The Order has not been modified, vacated, or terminated. This condition has not been designated as "significant and substantial" because the conduct violated a provision of the Mine Act rather than a mandatory safety or health standard.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	1	0	4	-	b	C. Part/Section of Title 30 CFR	
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Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is): No Likelihood <input checked="" type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>							
	B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input checked="" type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>							
	C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>				D. Number of Persons Affected			
					0 0 1			
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input checked="" type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>							
12. Type of Action	1	0	4	-	a	-		13. Type of Issuance (check one)
								Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>
14. Initial Action	A. Citation <input type="checkbox"/> B. Order <input checked="" type="checkbox"/> C. Safeguard <input type="checkbox"/>			D. Written Notice <input type="checkbox"/>		E. Citation/Order Number		F. Dated
						4 4 1 0 0 0 4		Mo Da Yr
								0 7 2 1 0 1
15. Area or Equipment								

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
	0	7	2	1	0
	1	1	1	5	

Section III -- Termination Action

17. Action to Terminate

The pump was removed from service.

18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)
	0	7	2	1	0
	1	1	0	5	

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	0	8	8	8	0	0	21. Primary or Mill
22. Signature	James Lee										23. AR Number
											2
											0
											7
											7

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - WORKING IN VIOLATION OF A 104(b) ORDER OF WITHDRAWAL

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 2	1	0	1	3. Citation/Order Number	4	4	1	0	0	0	4	-	0	1
4. Served To John Smith, Assistant Foreman									5. Operator J and S Coal Company, Inc.										
6. Mine No. 1									7. Mine ID 4 4 - 0 3 5 3 6 - (contractor)										

Section II -- Justification for Action

A suitable bushing was provided and properly installed at the point where the energized power wires entered the power control switchbox on the Labour pump located at the northeast pumping station.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input checked="" type="checkbox"/>	E. Modified	<input type="checkbox"/>
----------------	---------	----	----	----	------------------------	------------	--------------------------	---------------	-------------------------------------	-------------	--------------------------

Section IV -- Inspection Data

9. Type of Inspection	E	0	1	10. Event Number	0	8	8	8	8	0	0		
11. Signature James Lee					AR Number		12. Date		Mo	Da	Yr	13. Time (24 Hr. Clock)	
					2		0		7	2	1	1 2 0 0	

MSHA Form 7000-3a, Mar 85 (Revised)

104(b) ORDER - TERMINATION

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data																		
1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number												
	0	7	0	8	0	4	4	1	0	1	7	2						
4. Served To PETER JONES, SAFETY DIRECTOR					5. Operator XYZ MINING, INC.													
6. Mine XYZ MINE					7. Mine ID 5 6 - 0 1 0 0 8 - (contractor)													
8. Condition or Practice																		
8a. Written Notice (103g) <input type="checkbox"/>																		
<p>The headpulley guard was removed and not replaced on the primary crusher discharge conveyor belt on July 6, 2001. According to a maintenance employee, Foreman Chester Coombs ordered the guard taken off because it was binding the headpulley. The unguarded pulley was three feet off ground level, was highly visible, and was turning at a high rate of speed. A laborer usually shovels spilled material onto the conveyor belt immediately adjacent to the headpulley. Leaving machinery guards off is a violation of the company's safety policy. Coombs stated he had read and was aware of this policy but that if an employee was careful an injury would not occur. Foreman Coombs engaged in aggravated conduct constituting more than ordinary negligence in that he was aware that the headpulley guard was off and that employees worked in the area when the machinery was in motion. This violation is an unwarrantable failure to comply with a mandatory standard.</p>																		
See Continuation Form (MSHA Form 7000-3a) <input type="checkbox"/>																		
9. Violation	A. Health Safety Other		B. Section of Act															
C. Part/Section of Title 30 CFR										5	6	.	1	4	1	1	2	b
Section II -- Inspector's Evaluation																		
10. Gravity:																		
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>																		
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input checked="" type="checkbox"/>																		
C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>																		
D. Number of Persons Affected 0 0 1																		
11. Negligence (check one)																		
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input checked="" type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>																		
12. Type of Action 1 0 4 - d - 1 , - -																		
13. Type of Issuance (check one)																		
Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>																		
14. Initial Action																		
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number																		
15. Area or Equipment																		
16. Termination Due																		
A. Date 0 7 0 8 0 1 B. Time (24 Hr. Clock) 1 0 0 0																		
Section III -- Termination Action																		
17. Action to Terminate																		
THE GUARD WAS REPLACED OVER THE PRIMARY CRUSHER DISCHARGE HEADPULLEY. ALSO, FOREMAN COOMBS WAS REINSTRUCTED ON COMPANY GUARDING POLICY BY THE PLANT MANAGER.																		
18. Terminated																		
A. Date 0 7 0 8 0 1 B. Time (24 Hr. Clock) 1 0 1 5																		
Section IV -- Automated System Data																		
19. Type of Inspection (activity code) E 0 1																		
20. Event Number 0 1 2 3 4 5 6																		
21. Primary or Mill																		
22. Signature																		
TED SMITH																		
23. AR Number 0 2 9 3 3																		

MSHA Form 7000-3 Mar 85 (Revised)

104(d)(1) CITATION - UNWARRANTABLE FAILURE WITH TERMINATION

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)					3. Citation/Order Number											
	0	8	1	9	0	1		1	3	3	0		4	4	1	0	0	1	5	
4. Served To																				
John Smith, Mine Foreman				J and S Coal Company, Inc.																
6. Mine No. 1				7. Mine ID																
				4 4 - 0 3 5 3 6 -																
8. Condition or Practice																	8a. Written Notice (103g)			<input type="checkbox"/>

The methane and dust control plan is not being complied with on 1 left section. Line brattice (or other approved device) was not installed for a distance of 40 feet, from the last open crosscut to the face of No. 3 entry, where the continuous miner was cutting coal. The plan requires the curtain to be maintained to within 30 feet of the point of deepest penetration of the face. The air at the face contained 0.9 per centum of methane as indicated by a permissible methane detector. The foreman, Ray Smith, was directing mining operations in the face and knew that line brattice was available on the section. Foreman Smith engaged in aggravated conduct by ordering work to be performed in a working face having no positive ventilation. The mine has had previous ignitions. This violation is an unwarrantable failure to comply with a mandatory standard.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act					C. Part/Section of Title 30 CFR	7	5	3	3	0	b	2				
						-												

Section II -- Inspector's Evaluation

10. Gravity:																		
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>																		
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input checked="" type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>																		
C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>																		
D. Number of Persons Affected																		
0 0 2																		
11. Negligence (check one)																		
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input checked="" type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>																		
12. Type of Action																		
1 0 4 - d - 1 , - -																		
13. Type of Issuance (check one)																		
Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>																		
14. Initial Action																		
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number																		
F. Dated																		
Mo Da Yr																		
15. Area or Equipment																		

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)						
		0	8	1	9	0	1	1	3	4	0

Section III -- Termination Action

17. Action to Terminate												
Line brattice was installed to within 10 feet of the face. Methane could not be detected immediately after the brattice was installed												
18. Terminated												
A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)								
	0	8	1	9	0	1	1	3	4	5		

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	1	5	20. Event Number	0	8	8	8	3	0	21. Primary or Mill				
22. Signature												23. AR Number			
James Lee												2 0 7 7 7			

MSHA Form 7000-3 Mar 85 (Revised)

104(d)(1) CITATION AND TERMINATION

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data																													
1. Date		Mo	Da	Yr	2. Time (24 Hr. Clock)					3. Citation/Order Number																			
		0	8	1	0	0	1						0	8	0	0	4					4	1	0	1	7	3		
4. Served To					5. Operator																								
LEFTY JONES, SAFETY DIRECTOR					WHEAT MINING COMPANY																								
6. Mine					7. Mine ID					(contractor)																			
WMC PORTABLE CRUSHER					5 6 - 0 1 9 7 5 -																								
8. Condition or Practice															8a. Written Notice (103g) <input type="checkbox"/>														
<p>The window of the front-end loader was cracked on the driver's side creating a line of vision problem for the operator. The loader was operating in the stockpile area. Because of the broken windshield the loader operator did not have clear vision to avoid hitting other vehicular traffic and employees in the area. The windshield cracks extended two feet vertically by two feet horizontally.</p> <p>This loader and condition was cited (#4410168) on July 8, 2001, at another mine (Mine ID #56-00333) owned by the operator. When the citation was terminated, the operator was informed in writing that the violation still existed but was being terminated because of the equipment's removal from that mine site. Further, the operator was informed that they were required to repair the broken windshield prior to working the machine at another mine site. The Safety Director stated that there wasn't time to repair the windshield at the new site because they were behind on production. The mine operator has engaged in aggravated conduct constituting more than ordinary negligence. This violation is an unwarrantable failure to comply with a mandatory standard.</p> <p>Equipment: 988 Caterpillar front-end loader (Serial #234567)</p>																													
															See Continuation Form (MSHA Form 7000-3a) <input type="checkbox"/>														
9. Violation		A. Health Safety Other		B. Section of Act		C. Part/Section of Title 30 CFR																							
						5 6 . 1 4 1 0 3 b																							
Section II -- Inspector's Evaluation																													
10. Gravity:																													
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>																													
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input checked="" type="checkbox"/>																													
C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> D. Number of Persons Affected 0 0 1																													
11. Negligence (check one)																													
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input checked="" type="checkbox"/>																													
12. Type of Action															13. Type of Issuance (check one)														
1 0 4 - d - 1 , - -															Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>														
14. Initial Action															F. Dated														
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number															Mo Da Yr														
15. Area or Equipment																													
16. Termination Due																													
A. Date		Mo	Da	Yr	B. Time (24 Hr. Clock)																								
		0	8	1	0	0	1	1 6 3 0																					
Section III -- Termination Action																													
17. Action to Terminate																													
18. Terminated																													
A. Date		Mo	Da	Yr	B. Time (24 Hr Clock)																								
Section IV -- Automated System Data																													
19. Type of Inspection (activity code)		20. Event Number		21. Primary or Mill																									
E 0 1		0 4 5 6 6 1 9																											
22. Signature																									23. AR Number				
JOHN SMITH																									0 1 9 9 9				

MSHA Form 7000-3 Mar 85 (Revised)

104(d)(1) CITATION - UNWARRANTABLE - CITING MINE OPERATOR FOR
A PREVIOUSLY TERMINATED VIOLATION

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	0		0	4	4
	9	0	1		8	1	0
					1	0	1
					0	7	4
4. Served To				5. Operator			
PETER JONES, SAFETY DIRECTOR				XYZ MINING, INC.			
6. Mine				7. Mine ID			
XYZ MINE				5 6 - 0 1 0 0 8 - (contractor)			
8. Condition or Practice				8a. Written Notice (103g)			

A six-foot section of handrail on the elevated walkway by the coarse gravel bins was missing, exposing employees daily to falling hazards. The fall to ground was estimated to be 18 feet. Mine Foreman, Chester Coombs, was walking along the walkway, his regular daily route of travel. The missing handrail had been reported to Foreman Coombs on June 27, 2001, in a written report from the plant loader operator. Coombs stated he had more important things to worry about than a missing handrail. Foreman Coombs engaged in aggravated conduct constituting more than ordinary negligence in that he knew the handrail was missing and employees were exposed to falling hazards. This violation is an unwarrantable failure to comply with a mandatory standard.

NOTE: Section II, Items 14A, 14E, and 14F are completed with the initial 104(d)(1) citation number and it's issue date.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act		C. Part/Section of Title 30 CFR	
			-	5 6 - 1 1 0 0 2	

Section II -- Inspector's Evaluation

10. Gravity:	
A. Injury or Illness (has) (is):	No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>
B. Injury or Illness could reasonably be expected to be:	No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input checked="" type="checkbox"/>
C. Significant and Substantial (See Reverse):	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Number of Persons Affected	0 0 1
11. Negligence (check one)	
A. None <input type="checkbox"/>	B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input checked="" type="checkbox"/>
12. Type of Action	
1 0 4 - d - 1 , - -	
13. Type of Issuance (check one)	
Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action	
A. Citation <input checked="" type="checkbox"/>	B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>
E. Citation/Order Number	4 4 1 0 1 6 0
F. Dated	Mo Da Yr
	0 7 0 8 0 1

15. Area or Equipment

WALKWAY AT THE NO. 7 COARSE GRAVEL BINS

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate				
18. Terminated				
A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E 0 1	20. Event Number	0 1 2 3 4 5 6	21. Primary or Mill
22. Signature				23. AR Number
TED SMITH				0 2 9 3 3

MSHA Form 7000-3 Mar 85 (Revised)

104(d)(1) ORDER - "S&S" UNWARRANTABLE FAILURE

U.S. Department of Labor
Mine Safety and Health Administration

104(d)(1) ORDER

U.S. Department of Labor
Mine Safety and Health Administration

104(d)(1) ORDER BASED ON A NOTICE TO PROVIDE SAFEGUARD(S)

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	1	0	0	4	4
4. Served To	J.R. JOHNSON, SAFETY DIRECTOR			5. Operator	ABC MINING COMPANY		
6. Mine	ABC MINE			7. Mine ID	7 5 - 0 9 1 3 3 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

The underground water truck driver did not have on his person a positive means of identification. The driver stated that he had not been issued any identification in the three months he had worked underground. The safety director stated "we just haven't issued dog tags to the new man because we've got production to think about." This mine has been issued fourteen previous violations for this standard in the last three months. Management engaged in aggravated conduct constituting more than ordinary negligence in that production was deemed more important than issuing ID tags to an employee. This violation is an unwarrantable failure to comply with a mandatory standard.

NOTE: For 104(d)(2) orders, Section II, Item 14 is completed with the initial 104(d)(1) order and not the 104(d)(1) citation. Also note that 104(D) orders can be either "S&S" or "Non-S&S".

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			5 7 . 1 1 0 5 8

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is): No Likelihood <input checked="" type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
	B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input checked="" type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>			
	C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		D. Number of Persons Affected 0 0 1	
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input checked="" type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action	1 0 4 - d - 2 , - -		13. Type of Issuance (check one) Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action	A. Citation <input type="checkbox"/> B. Order <input checked="" type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>		E. Citation/Order number	F. Dated
			4 4 1 0 1 5 9	Mo 0 7 Da 0 3 Yr 0 1
15. Area or Equipment	WATER TRUCK DRIVER - BENNY FRANKLIN			

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate					
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E 0 1	20. Event Number	0 5 6 7 8 9 0	21. Primary or Mill	
22. Signature	JANE JONES			23. AR Number	0 2 1 3 6

MSHA Form 7000-3 Mar 85 (Revised)

104(d)(2) ORDER
"NON-S&S" UNWARRANTABLE FAILURE

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data																					
1. Date		Mo	Da	Yr	2. Time (24 Hr. Clock)					3. Citation/Order Number											
		1	1	0	0 9 0 0					4 4 1 0 0 1 9											
4. Served To John Smith, Mine Foreman										5. Operator J and S Coal Company, Inc.											
6. Mine No. 1										7. Mine ID 4 4 - 0 3 5 3 6 - (contractor)											
8. Condition or Practice										8a. Written Notice (103g) <input type="checkbox"/>											
<p>No. 1 entry of 1 right section had been driven 22 to 27 feet in width for a distance of 125 feet inby spad No. 100. Additional roof support had not been installed. A maximum width of 20 feet was permitted by the approved roof control plan, which was revised 4/15/01, because of fragile roof conditions. Sam Jones is the section foreman and one of the preshift examiners for the section, and he did not record the condition. The condition has existed for approximately 5 working shifts. This section has a history of unintentional roof falls. Three citations have been issued at this mine for violations of 75.203(e) in the past 6 months. Sam Jones engaged in aggravated conduct by his failure to record and take action on a known hazard. This violation is an unwarrantable failure to comply with a mandatory standard.</p>																					
See Continuation Form (MSHA Form 7000-3a) <input type="checkbox"/>																					
9. Violation		A. Health Safety Other		B. Section of Act		C. Part/Section of Title 30 CFR					7 5 2 0 3 e										
Section II -- Inspector's Evaluation																					
10. Gravity:																					
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>																					
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input checked="" type="checkbox"/>																					
C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>																					
D. Number of Persons Affected 0 0 1																					
11. Negligence (check one)																					
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input checked="" type="checkbox"/>																					
12. Type of Action		1 0 4 - d - 2 , - -					13. Type of Issuance (check one)														
							Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>														
14. Initial Action		A. Citation <input type="checkbox"/> B. Order <input checked="" type="checkbox"/> C. Safeguard <input type="checkbox"/>					D. Written Notice <input type="checkbox"/>					E. Citation/Order number 4 4 1 0 0 1 6					F. Dated Mo 0 8 Da 3 0 Yr 0 1				
15. Area or Equipment																					
No. 1 entry of 1 right section beginning at spad No. 100 and extending inby for a distance of 125 feet																					
16. Termination Due																					
A. Date		Mo	Da	Yr	B. Time (24 Hr. Clock)																
Section III -- Termination Action																					
17. Action to Terminate																					
18. Terminated																					
A. Date		Mo	Da	Yr	B. Time (24 Hr Clock)																
Section IV -- Automated System Data																					
19. Type of Inspection (activity code)		E 0 1		20. Event Number 0 8 8 8 8 3 5					21. Primary or Mill												
22. Signature James Lee															23. AR Number 2 0 7 7 7						

MSHA Form 7000-3 Mar 85 (Revised)

104(d)(2) ORDER - SUBSEQUENT INSPECTION

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	1	2	0	1	4
4. Served To	JANE SMITHSON, OWNER			5. Operator	BEDROCK MINING COMPANY		
6. Mine	BEDROCK MINE			7. Mine ID	5 6 - 0 7 8 9 1 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

A laborer was not wearing safety glasses, goggles, face shields, or other protective devices while standing approximately ten feet from a hydraulic rock breaker. The breaker was being used to break a large quartz boulder. Chips from this breakage were being propelled directly into the area where the laborer was standing and could have easily struck his unprotected eyes.

A written Notice of Pattern of Violations, No. 8765931, was issued by MSHA on 06/13/01.

NOTE: Leave Section II, Item 14, blank for 104(e)(1) orders. Also, 104(e) orders are required to be evaluated as "S&S".

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			5 6 . 1 5 0 0 4

Section II -- Inspector's Evaluation

10. Gravity:

A. Injury or Illness (has) (is): No Likelihood ☐ Unlikely ☐ Reasonably Likely ☒ Highly Likely ☐ Occurred ☐

B. Injury or Illness could reasonably be expected to be: No Lost Workdays ☐ Lost Workdays or Restricted Duty ☐ Permanently Disabling ☒ Fatal ☐

C. Significant and Substantial (See Reverse): Yes ☒ No ☐ D. Number of Persons Affected 0 0 1

11. Negligence (check one)

A. None ☐ B. Low ☐ C. Moderate ☒ D. High ☐ E. Reckless Disregard ☐

12. Type of Action

1 0 4 - e - 1 , - -

13. Type of Issuance (check one)

Citation ☐ Order ☒ Safeguard ☐

14. Initial Action

A. Citation ☐ B. Order ☐ C. Safeguard ☐ D. Written Notice ☐ E. Citation/Order number F. Dated Mo Da Yr

15. Area or Equipment

TOM SMITH, LABORER

16. Termination Due

A. Date Mo Da Yr B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate

THE LABORER REMOVED THE SAFETY GLASSES FROM HIS POCKET AND PUT THEM ON.

18. Terminated

A. Date Mo Da Yr B. Time (24 Hr Clock) 0 7 1 2 0 1 0 9 0 5

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E 0 1	20. Event Number	0 8 7 6 5 4 3	21. Primary or Mill	
22. Signature	JANE JONES			23. AR Number	0 2 1 3 6

MSHA Form 7000-3 Mar 85 (Revised)

104(e)(1) ORDER - ISSUANCE AND TERMINATION

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	8	1	3	0	1	4
4. Served To	JANE SMITHSON, OWNER			5. Operator	BEDROCK MINING COMPANY		
6. Mine	BEDROCK MINE			7. Mine ID	56 - 07891 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

The driver of haul truck (Number H-35) did not sound an audible warning or use other means to warn persons in the area prior to moving the vehicle. The haul truck, with its engine running, was parked in the shop area. Two mechanics walked in front of the haul truck and had to jump clear to avoid being hit when it unexpectedly moved into their path.

A Notice of Pattern of Violations, No. 8765931, was issued by MSHA on 06/13/01.

NOTE: Complete Section II, Item 14, with the number of the first 104(e) order. Also, 104(e) orders are required to be evaluated as "S&S".
104(e)(2) orders are written for all "S&S" violations observed on any subsequent inspection after a 104(e)(1) order has been issued.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			56 . 14200

Section II -- Inspector's Evaluation

10. Gravity:

A. Injury or Illness (has) (is): No Likelihood ☐ Unlikely ☐ Reasonably Likely ☒ Highly Likely ☐ Occurred ☐

B. Injury or Illness could reasonably be expected to be: No Lost Workdays ☐ Lost Workdays or Restricted Duty ☐ Permanently Disabling ☐ Fatal ☒

C. Significant and Substantial (See Reverse): Yes ☒ No ☐ D. Number of Persons Affected 002

11. Negligence (check one)

A. None ☐ B. Low ☐ C. Moderate ☒ D. High ☐ E. Reckless Disregard ☐

12. Type of Action 104 - e - 2 , - -

13. Type of Issuance (check one)

Citation ☐ Order ☒ Safeguard ☐

14. Initial Action

A. Citation ☐ B. Order ☒ C. Safeguard ☐ D. Written Notice ☐ E. Citation/Order number 4410177 F. Dated 071201

15. Area or Equipment

Haul Truck H-35

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate

THE WARNING HORN WAS FOUND TO BE OPERABLE AND COULD BE HEARD ABOVE THE SURROUNDING NOISE. THE TRUCK DRIVER WAS REINSTRUCTED TO SOUND THE HORN WHENEVER THE VEHICLE WAS MOVED.

18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)
	0	8	1	3	0
					5

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	1	5	20. Event Number	0	9	7	5	3	1	9	21. Primary or Mill
22. Signature	JANE JONES											23. AR Number
												0
												2
												1
												3
												6

MSHA Form 7000-3 Mar 85 (Revised)

104(e)(2) ORDER - ISSUANCE AND TERMINATION

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 6	Yr 1	3. Citation/Order Number	8	8	3	4	5	6	7	-	0	1
4. Served To JANE SMITHSON, OWNER					5. Operator BEDROCK MINING COMPANY											
6. Mine BEDROCK MINE					7. Mine ID 5 6 - 0 7 8 9 1 - (contractor)											

Section II -- Justification for Action

Bedrock Mine has gone 90 days from the issuance of a Notice of Pattern of Violations without being cited for a violation which could significantly and substantially contribute to the cause and effect of a mine safety or health hazard. Given this action, the mine is no longer subject to orders of withdrawal issued pursuant to Section 104(e) of the Federal Mine Safety and Health Act of 1977. Accordingly, the Notice of Pattern of Violations is terminated.

NOTE: This termination of a 104(e) Notice of Pattern of Violations was issued after the mine operator went 90 days without any "S&S" violations issued.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input checked="" type="checkbox"/>	E. Modified	<input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	0	1	10. Event Number	0	1	3	5	7	9	1	
11. Signature JANE JONES				AR Number		12. Date		Mo	Da	Yr	13. Time (24 Hr. Clock)	
				0 2 1 3 6				0	9	1	3	0 1

MSHA Form 7000-3a, Mar 85 (Revised)

104(e) TERMINATION OF
NOTICE OF PATTERN OF VIOLATIONS

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data																													
1. Date		Mo	Da	Yr	2. Time (24 Hr. Clock)					3. Citation/Order Number																			
		0	7	1	0	0	1	0 7 2 0					4 4 1 0 1 8 3																
4. Served To RICHARD JONES, SAFETY DIRECTOR										5. Operator INTER CEMENT CORPORATION																			
6. Mine ICC MILL										7. Mine ID 7 5 - 0 0 0 2 4 - (contractor)																			
8. Condition or Practice										8a. Written Notice (103g) <input type="checkbox"/>																			
Cement dust accumulations on the roof of the No. 2 kiln building and a loss of structural integrity caused the roof to fail on the north side at 0630 hours on this date. Structural steel and debris were being cleaned up to allow the installation of temporary support at the remaining north side. The buildup of cement dust on the roof of the No. 2 kiln must be removed and a structural integrity survey must be completed and given to MSHA prior to allowing personnel into the area under the roof. An oral 107(a) imminent danger order was issued to James Town, Mill Foreman, on the mill floor at 0720 hours this date.																													
NOTE: Do not complete Section I, Item 9C and Section II, Items 10 and 11.																													
See Continuation Form (MSHA Form 7000-3a) <input type="checkbox"/>																													
9. Violation		A. Health Safety Other		B. Section of Act		C. Part/Section of Title 30 CFR																							
Section II -- Inspector's Evaluation																													
10. Gravity:																													
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>																													
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>																													
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input type="checkbox"/> D. Number of Persons Affected <input type="checkbox"/>																													
11. Negligence (check one)																													
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>																													
12. Type of Action		13. Type of Issuance (check one)																											
		Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>																											
14. Initial Action		D. Written Notice <input type="checkbox"/>										E. Citation/Order Number																	
15. Area or Equipment NUMBER 2 KILN BUILDING																													
16. Termination Due		A. Date					B. Time (24 Hr. Clock)																						
Section III -- Termination Action																													
17. Action to Terminate																													
18. Terminated		A. Date					B. Time (24 Hr Clock)																						
Section IV -- Automated System Data																													
19. Type of Inspection (activity code)		20. Event Number					21. Primary or Mill																						
		E 0 1					0 0 8 8 3 0 1																						
22. Signature JOHN REDWOOD																				23. AR Number					0 3 3 3 3				

MSHA Form 7000-3 Mar 85 (Revised)

107(a) ORDER - IMMINENT DANGER
NO VIOLATION OF A MANDATORY STANDARD

U.S. Department of Labor
Mine Safety and Health Administration

107(a) ORDER - IMMINENT DANGER
VIOLATION OF A MANDATORY STANDARD

U.S. Department of Labor
Mine Safety and Health Administration

104(a) CITATION ISSUED FOR A CONDITION
IN 107(a) ORDER

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)	3. Citation/Order Number
	0	8	1	0915	4410011
4. Served To				5. Operator	
John Smith, Mine Foreman				J and S Coal Company, Inc.	
6. Mine				7. Mine ID	
No. 1				44-03536- (contractor)	
8. Condition or Practice					
8a. Written Notice (103g)					

Safe seating facilities were not provided for repairmen who were riding on top of a 6-ton track locomotive with their legs hanging over the front end. One man was approximately 8 inches from the bare trolley wire. To reach its destination, the locomotive had to pass through a low area (14 inches from the top of the locomotive to the roof) 300 feet ahead of a steep grade. Tom Davis, Chief Electrician, was operating the locomotive (No. 29) near the mouth of the 2 east section. This is an imminent danger. An oral imminent danger order was issued to Tom Davis at 0915 hours. This hazard has been cited on other occasions. The underlying cause was that management was not enforcing its written rule nor the safeguard Notice No. 4011337 dated 4/7/79 which requires that all personnel be safely seated prior to operating or being transported on mobile equipment. A separate citation for a violation of 75.1403 (#4410012) is being issued in conjunction with this order.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR

Section II -- Inspector's Evaluation

10. Gravity:	
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>	
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>	
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input type="checkbox"/>	
D. Number of Persons Affected	
11. Negligence (check one)	
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>	
12. Type of Action	
107-a, -	
13. Type of Issuance (check one)	
Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action	
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order number	
F. Dated	
Mo Da Yr	

15. Area or Equipment

The transportation system used by the maintenance crews.

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate

Suitable transportation was provided for the two repairmen. All the repairmen and supervisory personnel were instructed in safe operating procedures for operating and riding transportation equipment

18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
	081801				0730

Section IV -- Automated System Data

19. Type of Inspection (activity code)	20. Event Number	21. Primary or Mill
E 01	088825	
22. Signature		23. AR Number
James Lee		20777

MSHA Form 7000-3 Mar 85 (Revised)

107(a) ORDER - IMMINENT DANGER WITH UNDERLYING CAUSES

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	8	1	0	1		4
							4
							1
							0
							0
							1
							2

4. Served To	5. Operator
John Smith, Mine Foreman	J and S Coal Company, Inc.
6. Mine No. 1	7. Mine ID
	4 4 - 0 3 5 3 6 -
	(contractor)
8. Condition or Practice	8a. Written Notice (103g)

Safe seating facilities were not provided for the two repairmen who were riding on top of a 6-ton locomotive being operated by Tom Davis, Chief Electrician. This condition was one of the factors that contributed to the issuance of Imminent Danger Order No. 4410011 dated 8/17/01. Therefore, no abatement time was set.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			7 5 1 4 0 3

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is):	No Likelihood	Unlikely	Reasonably Likely	Highly Likely	Occurred
					X	
	B. Injury or Illness could reasonably be expected to be:	No Lost Workdays	Lost Workdays or Restricted Duty	Permanently Disabling	Fatal	
				X		
	C. Significant and Substantial (See Reverse):	Yes	No	D. Number of Persons Affected		
		X		0	0	2
11. Negligence (check one)	A. None	B. Low	C. Moderate	D. High	E. Reckless Disregard	
				X		
12. Type of Action	1	0	4	-	a	-
13. Type of Issuance (check one)	Citation	Order	Safeguard			
	X					
14. Initial Action	A. Citation	B. Order	C. Safeguard	D. Written Notice	E. Citation/Order number	F. Dated
			X		4	0
					1	1
					3	3
					7	
						0
						4
						0
						7
						0
						1

15. Area or Equipment

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate
Suitable transportation with adequate seating facilities was provided for the two repairmen

18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)
		0	8	1	0
					0
					7
					3
					0

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	0	8	8	8	8	2	5	21. Primary or Mill
22. Signature	23. AR Number											
James Lee	2											
	0											
	7											
	7											
	7											

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - CONTRIBUTING FACTOR IN IMMINENT DANGER ORDER
BASED ON PREVIOUSLY ISSUED NOTICE TO PROVIDE SAFEGUARD

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)	3. Citation/Order Number
	0	8	1	0	9
	1	9	0	1	3
4. Served To	5. Operator				
John Smith, Mine Foreman	J and S Coal Company, Inc.				
6. Mine	7. Mine ID				
No. 1	4 4 - 0 3 5 3 6 - (contractor)				
8. Condition or Practice					
8a. Written Notice (103g) <input type="checkbox"/>					

The following conditions, which collectively constitute an imminent danger, existed on 2 left mains section:

- Only 120 cubic feet of air a minute was reaching the working face of No. 2 entry where coal was being mined with a continuous mining machine. [30CFR 75.325(a)(1)]
 - The air at the face of the No. 2 entry contained 2.75 per centum of methane. [30CFR 75.323(b)(ii)]
 - The permissible methane detector carried by the section foreman was inoperative. [30 CFR 75.320]
 - The methane monitor on the continuous mining machine (serial number 26290 SJ) was inoperative. [CFR 75.342]
- An oral imminent danger order was issued to John Smith at 0930 hours. Separate citations and/or orders will be issued for all violations included in this Order of Withdrawal.

(See Example No. 18 for an example of one citation issued for a violation included in this Order of Withdrawal.)

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR

Section II -- Inspector's Evaluation

10. Gravity:			
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>			
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input type="checkbox"/>		D. Number of Persons Affected	
11. Negligence (check one)			
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action		13. Type of Issuance (check one)	
1 0 7 - a - , - -		Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action		E. Citation/Order Number	
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>		F. Dated Mo Da Yr	
15. Area or Equipment			
The 2 left mains section from the dumping point inby to the working faces.			
16. Termination Due			
A. Date Mo Da Yr B. Time (24 Hr. Clock)			

Section III -- Termination Action

17. Action to Terminate			
18. Terminated			
A. Date Mo Da Yr B. Time (24 Hr Clock)			

Section IV -- Automated System Data

19. Type of Inspection (activity code)		20. Event Number		21. Primary or Mill	
E 1 5		0 8 8 8 3 0			
22. Signature				23. AR Number	
James Lee				2 0 7 7	

MSHA Form 7000-3 Mar 85 (Revised)

107(a) WITHDRAWAL ORDER - IMMINENT DANGER AND
MULTIPLE MANDATORY STANDARDS VIOLATED IN THE ORDER

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	8	1	9	0	1	4
4. Served To	John Smith, Mine Foreman					5. Operator	J and S Coal Company, Inc.
6. Mine	No. 1					7. Mine ID	4 4 - 0 3 5 3 6 - (contractor)
8. Condition or Practice						8a. Written Notice (103g)	<input type="checkbox"/>

The only permissible methane detector available on the 2 left mains section was inoperable. According to Billy Bow, Section Foreman, he reported the condition of the detector to his immediate supervisor (John Smith, Mine Foreman) 2 days earlier. This citation was one of the factors that contributed to the issuance of Imminent Danger Order No. 4410013 dated 8/19/01. Therefore, no abatement time was set.

Note: Appropriate action must be taken for all violations contained in a 107(a) Order.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR	7	5	3	2	0
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Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is):		No Likelihood <input type="checkbox"/>	Unlikely <input type="checkbox"/>	Reasonably Likely <input type="checkbox"/>	Highly Likely <input checked="" type="checkbox"/>	Occurred <input type="checkbox"/>
	B. Injury or Illness could reasonably be expected to be:		No Lost Workdays <input type="checkbox"/>	Lost Workdays or Restricted Duty <input checked="" type="checkbox"/>	Permanently Disabling <input type="checkbox"/>	Fatal <input type="checkbox"/>	
	C. Significant and Substantial (See Reverse):		Yes <input checked="" type="checkbox"/>	No <input type="checkbox"/>	D. Number of Persons Affected		0 0 7
11. Negligence (check one)	A. None <input type="checkbox"/>		B. Low <input type="checkbox"/>	C. Moderate <input type="checkbox"/>	D. High <input checked="" type="checkbox"/>	E. Reckless Disregard <input type="checkbox"/>	
12. Type of Action	1	0	4	-	a	-	,
13. Type of Issuance (check one)	Citation <input checked="" type="checkbox"/>		Order <input type="checkbox"/>	Safeguard <input type="checkbox"/>			
14. Initial Action	A. Citation <input type="checkbox"/>	B. Order <input type="checkbox"/>	C. Safeguard <input type="checkbox"/>	D. Written Notice <input type="checkbox"/>	E. Citation/Order number	F. Dated	Mo Da Yr
15. Area or Equipment							

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
---------------------	---------	----	----	----	------------------------

Section III -- Termination Action

17. Action to Terminate							
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)		

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	1	5	20. Event Number	0	8	8	8	3	0	21. Primary or Mill				
22. Signature	James Lee										23. AR Number	2	0	7	7

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - ISSUED FOR A CONDITION IN 107(a) ORDER NO. 4410013

U.S. Department of Labor
Mine Safety and Health Administration

104(d)(1) CITATION ISSUED AS PART OF A
107(a) IMMINENT DANGER ORDER

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	1	0	0	4	4
4. Served To	RICHARD JONES, SAFETY DIRECTOR			5. Operator	INTER CEMENT CORPORATION		
6. Mine	ICC MILL			7. Mine ID	7 5 - 0 0 0 2 4 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

Two mechanics were not wearing life jackets when repairing a broken dredge discharge line approximately 100 yards offshore. The discharge line was located on top of barrels located between the dredge and the shore. The water depth was estimated to be 32 feet. At the broken spot, one mechanic was sitting on a barrel and the other mechanic was next to the first mechanic in a wooden boat. The second mechanic was bending over the side of the boat trying to repair the line. Neither mechanic knew how to swim. Reportedly, Foreman Smith discouraged them from taking the life jackets from the store room. Foreman Smith engaged in aggravated conduct constituting more than ordinary negligence by not allowing the two employees to use the life jackets. This violation is an unwarrantable failure to comply with a mandatory standard. This violation is a factor cited in imminent danger order No. 4410184 issued 7/10/01.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			5 6 . 1 5 0 2 0

Section II -- Inspector's Evaluation

10. Gravity:

A. Injury or Illness (has) (is): No Likelihood ☐ Unlikely ☐ Reasonably Likely ☐ Highly Likely ☒ Occurred ☐

B. Injury or Illness could reasonably be expected to be: No Lost Workdays ☐ Lost Workdays or Restricted Duty ☐ Permanently Disabling ☐ Fatal ☒

C. Significant and Substantial (See Reverse): Yes ☒ No ☐

D. Number of Persons Affected 0 0 2

11. Negligence (check one)

A. None ☐ B. Low ☐ C. Moderate ☐ D. High ☐ E. Reckless Disregard ☒

12. Type of Action 1 0 4 - d - 1

13. Type of Issuance (check one)

Citation ☐ Order ☒ Safeguard ☐

14. Initial Action

A. Citation ☒ B. Order ☐ C. Safeguard ☐ D. Written Notice ☐ E. Citation/Order Number 4 4 1 0 1 4 5 F. Dated 0 6 1 0 0 1

15. Area or Equipment

JOHN JONES, TOM SMITH - MECHANICS

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate					
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E 0 1	20. Event Number	0 0 8 8 3 0 1	21. Primary or Mill
22. Signature	JOHN REDWOOD			
	23. AR Number 0 3 3 3 3			

MSHA Form 7000-3 Mar 85 (Revised)

104(d)(1) ORDER ISSUED AS PART OF 107(a) ORDER

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data																											
1. Date		Mo	Da	Yr	2. Time (24 Hr. Clock)					3. Citation/Order Number																	
		0	8	3	1	0	1						0	9	4	5	4					4	1	0	0	1	8
4. Served To John Smith, Mine Foreman										5. Operator J and S Coal Company, Inc.																	
6. Mine No. 1										7. Mine ID 4 4 - 0 3 5 3 6 - (contractor)																	
8. Condition or Practice															8a. Written Notice (103g)					<input type="checkbox"/>							
<p>The trailing cable to the No. 2 shuttle car on 1st left section contained five poorly made temporary splices. Three of the splices had exposed, uninsulated conductors. The condition of the temporary splices contributes substantially to a fire or electrical shock hazard. The condition of the cable was reported in the preshift examiner's book on 8/28/01 and 8/29/01. The mine operator was engaged in aggravated conduct by acknowledging a safety hazard and not taking corrective action. This violation is an unwarrantable failure to comply with a mandatory standard. This violation is one of the factors cited in Imminent Danger Order No. 4410028 issued 8/31/01.</p>																											
See Continuation Form (MSHA Form 7000-3a)																											
9. Violation		A. Health Safety Other		B. Section of Act		C. Part/Section of Title 30 CFR																					
						7 5 . 6 0 3																					
Section II -- Inspector's Evaluation																											
10. Gravity:																											
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input checked="" type="checkbox"/> Occurred <input type="checkbox"/>																											
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input checked="" type="checkbox"/>																											
C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>																											
D. Number of Persons Affected 0 0 1																											
11. Negligence (check one)																											
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input checked="" type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>																											
12. Type of Action 1 0 4 - d - 1 , - -																											
13. Type of Issuance (check one)																											
Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>																											
14. Initial Action																											
A. Citation <input checked="" type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>																											
E. Citation/Order Number 4 4 1 0 0 1 5																											
F. Dated Mo 0 Da 8 Yr 1 9 0 1																											
15. Area or Equipment																											
No. 2 shuttle car on 1st left section																											
16. Termination Due																											
A. Date Mo Da Yr B. Time (24 Hr. Clock)																											
Section III -- Termination Action																											
17. Action to Terminate																											
18. Terminated																											
A. Date Mo Da Yr B. Time (24 Hr Clock)																											
Section IV -- Automated System Data																											
19. Type of Inspection (activity code) E 0 1																											
20. Event Number 0 8 8 8 8 3 1																											
21. Primary or Mill																											
22. Signature James Lee																											
23. AR Number 2 0 7 7 7																											

MSHA Form 7000-3 Mar 85 (Revised)

104(d)(1) ORDER ISSUED AS PART OF A 107(a) IMMINENT DANGER ORDER

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data																					
1. Date		Mo	Da	Yr	2. Time (24 Hr. Clock)					3. Citation/Order Number											
		0	8	3	0	0	1						0	9	0	0	4 4 1 0 1 8 9				
4. Served To JOHN BANKS, MINER										5. Operator BOGGY MINING COMPANY											
6. Mine CLAIM NUMBER 3										7. Mine ID 9 3 - 0 1 2 3 4 - (contractor)											
8. Condition or Practice										8a. Written Notice (103g) <input type="checkbox"/>											
<p>A miner (John Banks) was smoking a cigarette at the underground explosives magazine. Six open boxes of explosives were within three feet of the miner. Mr. Banks also had one opened pack of cigarettes and a lighter on his person. Company personnel were aware that Mr. Banks routinely smoked in and around the magazine.</p> <p>This is to inform Mr. Banks that he will receive a Notification of Proposed Civil Penalty for violating a mandatory standard relating to smoking near explosives as required by Section 110(g) of the Mine Act.</p> <p>NOTE: The inspector should attempt to obtain the individual's address so that the proposed civil penalty may be mailed to him. A letter (with the miner's address) is attached to the violation and the package is mailed to the Office of Assessments in Wilkes-Barre, Pennsylvania. In this case, a 107(a) imminent danger order and a 104(a) citation for violation of 57.6904 would also be issued to the mine operator.</p>																					
See Continuation Form (MSHA Form 7000-3a) <input type="checkbox"/>																					
9. Violation		A. Health Safety Other		B. Section of Act		C. Part/Section of Title 30 CFR															
						1 1 0 - g					5 7 . 6 9 0 4										
Section II -- Inspector's Evaluation																					
10. Gravity:																					
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>																					
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input checked="" type="checkbox"/>																					
C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>																					
D. Number of Persons Affected 0 0 1																					
11. Negligence (check one)																					
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input checked="" type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>																					
12. Type of Action																					
13. Type of Issuance (check one) Citation <input type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>																					
14. Initial Action																					
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number																					
15. Area or Equipment																					
16. Termination Due																					
A. Date		Mo	Da	Yr	B. Time (24 Hr. Clock)																
Section III -- Termination Action																					
17. Action to Terminate																					
18. Terminated																					
A. Date		Mo	Da	Yr	B. Time (24 Hr Clock)																
Section IV -- Automated System Data																					
19. Type of Inspection (activity code)		E	0	1	20. Event Number					21. Primary or Mill											
					0 0 7 6 3 8 9																
22. Signature																					
TED JOHNSON																					
23. AR Number																					
0 4 7 8 9																					

MSHA Form 7000-3 Mar 85 (Revised)

110(g) NOTICE - MINER SMOKING IN PROHIBITED AREA

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)	3. Citation/Order Number
	0	8	2	0	1
				0	9
				3	0
4. Served To	5. Operator				
Edgar Simms, Locomotive Operator	J and S Coal Company, Inc.				
6. Mine	7. Mine ID				
No. 1	4				4
	-				0
	3				5
	3				6
	-				
					(contractor)
8. Condition or Practice	8a. Written Notice (103g)				<input type="checkbox"/>

Edgar Simms was holding a lighted cigar while operating a track locomotive near the 2 North switch. The switch is located approximately one mile underground. A subsequent search of Simms, by the Mine Foreman, revealed a butane lighter and one other cigar. This is notification that Mr. Simms will receive a civil penalty for violating a mandatory safety standard related to smoking. Mr. Simms address is: PO Box 317, Anytown, USA, 99999.

(A violation will be issued to the mine operator for the same standard.)

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	1	1	0	-	g	C. Part/Section of Title 30 CFR	7	5	-	1	7	0	2
--------------	------------------------	-------------------	---	---	---	---	---	---------------------------------	---	---	---	---	---	---	---

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is):		No Likelihood	<input type="checkbox"/>	Unlikely	<input type="checkbox"/>	Reasonably Likely	<input checked="" type="checkbox"/>	Highly Likely	<input type="checkbox"/>	Occurred	<input type="checkbox"/>
	B. Injury or Illness could reasonably be expected to be:		No Lost Workdays	<input type="checkbox"/>	Lost Workdays or Restricted Duty	<input type="checkbox"/>	Permanently Disabling	<input type="checkbox"/>	Fatal	<input checked="" type="checkbox"/>		
	C. Significant and Substantial (See Reverse):		Yes	<input checked="" type="checkbox"/>	No	<input type="checkbox"/>	D. Number of Persons Affected		0	0	1	
11. Negligence (check one)												
A. None	<input type="checkbox"/>	B. Low	<input type="checkbox"/>	C. Moderate	<input type="checkbox"/>	D. High	<input checked="" type="checkbox"/>	E. Reckless Disregard	<input type="checkbox"/>			
12. Type of Action												
13. Type of Issuance (check one)												
Citation	<input type="checkbox"/>	Order	<input type="checkbox"/>	Safeguard	<input type="checkbox"/>							
14. Initial Action												
A. Citation	<input type="checkbox"/>	B. Order	<input type="checkbox"/>	C. Safeguard	<input type="checkbox"/>	D. Written Notice	<input type="checkbox"/>	E. Citation/Order number				
15. Area or Equipment												

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate												
The Mine Foreman removed Edgar Simms and the smoking materials from the mine												

18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	0	8	8	8	3	1	21. Primary or Mill				
22. Signature	James Lee										23. AR Number	2	0	7	7

MSHA Form 7000-3 Mar 85 (Revised)

110(g) NOTICE - MINER SMOKING IN PROHIBITED AREA

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	1	0	1		4
4. Served To	SYDNEY JONES, PRESIDENT			5. Operator	TMC MINING COMPANY		
6. Mine	TRIANGLE MINE			7. Mine ID	7 2 - 0 0 0 1 2 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

There were no speed limit signs posted along the unbermed portion of the main service road. This is a violation of Condition No. 3 of the Granted Modification of Mandatory Standard 56.9300 (Docket #M-90-20-M), which specifically requires signs to be posted along the unbermed portion. The Assistant Safety Manager stated that signs had been posted but must have been stolen.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			5 6 . 9 3 0 0 d 4

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input checked="" type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>		
	B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input checked="" type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>		
	C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		D. Number of Persons Affected
			0 0 1
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>		
12. Type of Action	1 0 4 - a - , - - Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>		
14. Initial Action	A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number		
15. Area or Equipment	F. Dated Mo Da Yr		

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
	0	7	1	2	0
					0

Section III -- Termination Action

17. Action to Terminate					
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	0	7	6	8	9	8	7	21. Primary or Mill
22. Signature	TED JOHNSON										23. AR Number	
											0	
											4	
											7	
											8	
											9	

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - VIOLATION OF A
GRANTED PETITION FOR MODIFICATION

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	1	0	1		4 4 1 0 1 9 1
4. Served To				5. Operator			
SYDNEY JONES, PRESIDENT				TMC MINING COMPANY			
6. Mine				7. Mine ID			
TRIANGLE MINE				7 2 - 0 0 0 1 2 - (contractor)			
8. Condition or Practice				8a. Written Notice (103g)			

A miner (Payroll #9876) was injured on 04/06/01. This resulted in three lost work days. The company failed to complete and submit an MSHA #7000-1 (Mine, Accident, Injury, and Illness Report) for the injury. This company has received four previous Part 50 violations during the past twelve months for failing to report on-the-job injuries to MSHA and two violations for failure to submit quarterly employment reports (MSHA #7000-2 forms). Additionally, company personnel have been repeatedly instructed in Part 50 rules on completing and submitting the required forms to MSHA.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			5 0 . 2 0 a

Section II -- Inspector's Evaluation

10. Gravity:			
A. Injury or Illness (has) (is): No Likelihood <input checked="" type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input checked="" type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>			
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		D. Number of Persons Affected	
		0 0 0	
11. Negligence (check one)			
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input checked="" type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action		13. Type of Issuance (check one)	
1 0 4 - a - , - -		Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action		D. Written Notice	
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/>		<input type="checkbox"/>	
E. Citation/Order Number		F. Dated	
		Mo Da Yr	
15. Area or Equipment			

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
		0	7	1	0 8 0 0

Section III -- Termination Action

17. Action to Terminate					
18. Terminated					
A. Date		Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	20. Event Number	21. Primary or Mill
E 0 1	0 7 6 8 9 8 7	
22. Signature		23. AR Number
TED JOHNSON		0 4 7 8 9

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - FAILURE TO PREPARE AND
SUBMIT AN MSHA #7000-1 FORM

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	1	0	1		4
4. Served To	SYDNEY JONES, PRESIDENT			5. Operator	TMC MINING COMPANY		
6. Mine	TRIANGLE MINE			7. Mine ID	7 2 - 0 0 0 1 2 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

An MSHA #7000-2 (Quarterly Employment Report) for the 4th Quarter 2000 (October, November, December) was not completed nor mailed to MSHA's Health and Safety Analysis Center prior to January 15, 2001. This company has received four Part 50 violations during the past twelve months for failing to report on-the-job injuries to MSHA and two violations for failing to submit quarterly employment reports. Additionally, company personnel have been repeatedly instructed in Part 50 regulations on completing and submitting the required forms to MSHA.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			5 0 . 3 0 a

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is): No Likelihood <input checked="" type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
	B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input checked="" type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>			
	C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		D. Number of Persons Affected 0 0 0	
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input checked="" type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action	1 0 4 - a - , - -		13. Type of Issuance (check one) Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action	A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>		E. Citation/Order number	
15. Area or Equipment				

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
		0	7	1	0
		2	0	1	0

Section III -- Termination Action

17. Action to Terminate	THE QUARTERLY REPORT WAS FILLED OUT AND SUBMITTED TO MSHA.
-------------------------	--

18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)
		0	7	1	0
		2	0	1	0

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E 0 1	20. Event Number	0 7 6 8 9 8 7	21. Primary or Mill
22. Signature	TED JOHNSON			23. AR Number
				0 4 7 8 9

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - FAILURE TO PREPARE
AND SUBMIT AN MSHA #7000-2 FORM

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	1	0	0	4	4
4. Served To	SYDNEY JONES, PRESIDENT			5. Operator	TMC MINING COMPANY		
6. Mine	TRIANGLE MINE			7. Mine ID	7 2 - 0 0 0 1 2 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

A copy of MSHA Form #7000-1 (Mine Accident, Injury, and Illness Report) for a reported lost-time injury that occurred to a miner (Payroll #45678) on May 4, 2001, was not at the mine office for review by MSHA. This company has received four previous Part 50 violations during the past twelve months for failing to report on-the-job injuries to MSHA and two violations for failing to submit quarterly employment reports. Additionally, company personnel have been repeatedly instructed in Part 50 rules on completing and submitting required forms to MSHA. Those instructions also included keeping copies of the forms at the mine office for review by MSHA.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR	5	0	.	4	0	b
--------------	------------------------	-------------------	---------------------------------	---	---	---	---	---	---

Section II -- Inspector's Evaluation

10. Gravity:

A. Injury or Illness (has) (is): No Likelihood ☒ Unlikely ☐ Reasonably Likely ☐ Highly Likely ☐ Occurred ☐

B. Injury or Illness could reasonably be expected to be: No Lost Workdays ☒ Lost Workdays or Restricted Duty ☐ Permanently Disabling ☐ Fatal ☐

C. Significant and Substantial (See Reverse): Yes ☐ No ☒ D. Number of Persons Affected 0 0 0

11. Negligence (check one)

A. None ☐ B. Low ☐ C. Moderate ☐ D. High ☒ E. Reckless Disregard ☐

12. Type of Action 1 0 4 - a - , - -

13. Type of Issuance (check one)

Citation ☒ Order ☐ Safeguard ☐

14. Initial Action

A. Citation ☐ B. Order ☐ C. Safeguard ☐ D. Written Notice ☐ E. Citation/Order number

15. Area or Equipment

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
	0	7	1	2	0
	0	7	1	2	0

Section III -- Termination Action

17. Action to Terminate

18. Terminated

A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	0	7	6	8	9	8	7	21. Primary or Mill					
22. Signature	TED JOHNSON											23. AR Number	0	4	7	8	9

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - FAILURE TO MAINTAIN PART 50 RECORDS

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data																										
1. Date		Mo	Da	Yr	2. Time (24 Hr. Clock)										3. Citation/Order Number											
		0	8	2	1	0	1	1 3 3 0										4 4 1 0 0 2 1								
4. Served To John Smith, Mine Foreman															5. Operator J and S Coal Company, Inc.											
6. Mine No. 1															7. Mine ID 4 4 - 0 3 5 3 6 - (contractor)											
8. Condition or Practice																										
8a. Written Notice (103g) <input type="checkbox"/>																										
<p>The No. 17 track-mounted self-propelled personnel carrier was not provided with sand-rigging. This mine has wet track, steep grades, and "S" curves. This presents the hazard of derailment and associated injuries. The personnel carrier was transporting 6 miners to an idle section.</p> <p><u>This is a Notice to Provide Safeguard(s) requiring No. 17 personnel carrier and all other personnel carriers at this mine to be equipped with operable sand rigging when transporting 6 or more miners.</u></p>																										
See Continuation Form (MSHA Form 7000-3a) <input type="checkbox"/>																										
9. Violation		A. Health Safety Other		B. Section of Act		C. Part/Section of Title 30 CFR										7 5 1 4 0 3 6 b 3										
Section II -- Inspector's Evaluation																										
10. Gravity:																										
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>																										
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>																										
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input type="checkbox"/> D. Number of Persons Affected <input type="checkbox"/>																										
11. Negligence (check one)																										
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>																										
12. Type of Action		3 1 4 - b - , - -										13. Type of Issuance (check one)														
												Citation <input type="checkbox"/> Order <input type="checkbox"/> Safeguard <input checked="" type="checkbox"/>														
14. Initial Action		A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number										F. Dated Mo Da Yr														
15. Area or Equipment																										
16. Termination Due																										
A. Date		Mo	Da	Yr	B. Time (24 Hr. Clock)																					
		0	8	2	1	0	1	1 5 0 0																		
Section III -- Termination Action																										
17. Action to Terminate																										
<u>New linkage was properly installed and the sand-rigging was working satisfactorily on the No. 17 personnel carrier</u>																										
18. Terminated		A. Date		Mo	Da	Yr	B. Time (24 Hr Clock)																			
				0	8	2	1	0	1	1 4 4 5																
Section IV -- Automated System Data																										
19. Type of Inspection (activity code)		E 0 1		20. Event Number										21. Primary or Mill												
				0 8 8 8 8 3 1																						
22. Signature																										
James Lee																										
23. AR Number																										
2 0 7 7 7																										
MSHA Form 7000-3 Mar 85 (Revised)																										

NOTICE TO PROVIDE SAFEGUARD AND TERMINATION

U.S. Department of Labor
Mine Safety and Health Administration

TITLE I VIOLATION AND TERMINATION

Appendix B

Training Violations

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Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data															
1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)						3. Citation/Order Number					
	0	7	0	2	0	1					4	4	1	0	1
4. Served To TIMOTHY DAWSON, PRESIDENT					5. Operator ABCD MINING COMPANY, INC.										
6. Mine ABCD MINE					7. Mine ID 7 3 - 0 1 2 3 5 - (contractor)										
8. Condition or Practice															
8a. Written Notice (103g) <input type="checkbox"/>															
Michael Martin (Payroll Number 123456), a temporary summer employee, had not received the required MSHA 40-hour new miner training prior to performing maintenance duties underground. The mine operator was aware of the Part 48 training requirements, but believed it was unnecessary for a temporary employee. Mr. Martin had no previous mining experience. The operator is hereby ordered to withdraw Michael Martin from the mine until he has received the required training. The Federal Mine Safety and Health Act of 1977 declares that an untrained miner is a hazard to himself and to others.															
See Continuation Form (MSHA Form 7000-3a) <input type="checkbox"/>															
9. Violation	A. Health Safety Other			B. Section of Act							C. Part/Section of Title 30 CFR				
												4	8	.	5
Section II -- Inspector's Evaluation															
10. Gravity:															
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>															
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input checked="" type="checkbox"/>															
C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>															
D. Number of Persons Affected 0 0 1															
11. Negligence (check one)															
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>															
12. Type of Action															
1 0 4 - g - 1 , - -															
13. Type of Issuance (check one)															
Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>															
14. Initial Action															
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>															
E. Citation/Order Number															
F. Dated															
Mo Da Yr															
15. Area or Equipment															
MICHAEL MARTIN (PAYROLL NUMBER 123456)															
16. Termination Due															
A. Date Mo Da Yr B. Time (24 Hr. Clock)															
Section III -- Termination Action															
17. Action to Terminate															
18. Terminated															
A. Date Mo Da Yr B. Time (24 Hr Clock)															
Section IV -- Automated System Data															
19. Type of Inspection (activity code)															
E 0 1															
20. Event Number															
0 9 7 7 5 3															
21. Primary or Mill															
22. Signature															
JOHN REDWOOD															
23. AR Number															
0 3 3 3 3															

MSHA Form 7000-3 Mar 85 (Revised)

104(g)(1) ORDER - PART 48
SINGLE MINER AND A SINGLE VIOLATION

U.S. Department of Labor
Mine Safety and Health Administration

104(g)(1) ORDER - PART 48
UNTRAINED MINER

U.S. Department of Labor
Mine Safety and Health Administration

MSHA Form 7000-3 Mar 85 (Revised)

Appendix B

U.S. Department of Labor
Mine Safety and Health Administration

MSHA Form 7000-3 Mar 85 (Revised)

Appendix B

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input type="checkbox"/>	1a. Continuation <input checked="" type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 0		3. Citation/Order Number	4	4	1	0	1	8	1	-		
4. Served To JEFF CRIBS, PRESIDENT						5. Operator XYZ MINING COMPANY, INC.											
6. Mine XYZ Mine						7. Mine ID 7 3 - 0 1 2 3 6 - (contractor)											

Section II -- Justification for Action

Continuation of Section II, Inspector's Evaluation, to evaluate 30 CFR 48.8

ITEM 10A - Reasonably Likely

ITEM 10B - Lost Workdays

ITEM 10C - Significant and Substantial - Yes

ITEM 10D - Number of Persons Affected - 001

ITEM 11 - Negligence - High

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)					C. Vacated <input type="checkbox"/>	D. Terminated <input type="checkbox"/>	E. Modified <input type="checkbox"/>
----------------	---------	----	----	----	------------------------	--	--	--	--	-------------------------------------	--	--------------------------------------

Section IV -- Inspection Data

9. Type of Inspection	E	0	1	10. Event Number	0	9	7	7	5	5	4				
11. Signature JOHN SMYTHED					AR Number		12. Date		Mo	Da	Yr	13. Time (24 Hr. Clock)			
					0 3 3 3 4				0	7	0	3	0	1	1 0 0 0

MSHA Form 7000-3a, Mar 85 (Revised)

104(g)(1) ORDER - PART 48 CONTINUATION SHEET
SINGLE MINER AND MULTIPLE VIOLATIONS

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data														
1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)						3. Citation/Order Number				
	0	8	2	0	0	1					8	8	8	3
4. Served To	KEN SWIFT, QUARRY FOREMAN				5. Operator									
					NORTHEAST AGGREGATES									
6. Mine	NORTHEAST				7. Mine ID									
					4	7	-	9	9	1	2	3	-	
					(contractor)									
8. Condition or Practice														
8a. Written Notice (103g) <input type="checkbox"/>														
The training plan did not include the minimum information specified in 30 CFR Part 46.3(b)(1) through 46.3(b)(5), nor has the plan been submitted to MSHA for approval.														
The following items contribute to the ineffectiveness of the plan:														
There was not a general description of the teaching methods to be used.														
No approximate time range was given for the subject areas to be covered.														
No evaluation procedures were included to determine the effectiveness of the training.														
No course material was provided for training new miners in recognizing and avoiding electrical and other hazards at the mine.														
See Continuation Form (MSHA Form 7000-3a) <input type="checkbox"/>														
9. Violation	A. Health Safety Other			B. Section of Act							C. Part/Section of Title 30 CFR			
											4	6	.	3a
Section II -- Inspector's Evaluation														
10. Gravity:														
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input checked="" type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>														
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input checked="" type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>														
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> D. Number of Persons Affected 0 1 2														
11. Negligence (check one)														
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>														
12. Type of Action														
1 0 4 - a , - - Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>														
13. Type of Issuance (check one)														
Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>														
14. Initial Action														
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number F. Dated Mo Da Yr														
15. Area or Equipment														
16. Termination Due														
A. Date Mo Da Yr B. Time (24 Hr. Clock) 0 8 2 7 0 1 0 8 0 0														
Section III -- Termination Action														
17. Action to Terminate														
18. Terminated														
A. Date Mo Da Yr B. Time (24 Hr Clock)														
Section IV -- Automated System Data														
19. Type of Inspection (activity code) E 0 1 20. Event Number 0 9 8 9 3 4 1 21. Primary or Mill														
22. Signature Jay Lowe 23. AR Number 0 3 3 3 2														

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - PART 46
INADEQUATE TRAINING PLAN

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	8	2	1	0	8	8
4. Served To				5. Operator			
KEN SWIFT, QUARRY FOREMAN				NORTHEAST AGGREGATES			
6. Mine				7. Mine ID			
NORTHEAST				4	7	-	9
				9	1	2	3
				(contractor)			
8. Condition or Practice				8a. Written Notice (103g)			

The miners were not provided a copy of a certified training record upon completion of new miner training.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			4
			6
			.
			9
			d
			1

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is):	No Likelihood	Unlikely	Reasonably Likely	Highly Likely	Occurred
			X			
	B. Injury or Illness could reasonably be expected to be:	No Lost Workdays	Lost Workdays or Restricted Duty	Permanently Disabling	Fatal	
			X			
	C. Significant and Substantial (See Reverse):	Yes	No	D. Number of Persons Affected		
			X	0	0	0
11. Negligence (check one)	A. None	B. Low	C. Moderate	D. High	E. Reckless Disregard	
			X			
12. Type of Action	1	0	4	-	a	
13. Type of Issuance (check one)	Citation	Order	Safeguard			
	X					
14. Initial Action	A. Citation	B. Order	C. Safeguard	D. Written Notice	E. Citation/Order Number	F. Dated
15. Area or Equipment						

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
	0	8	2	8	0
	1				0

Section III -- Termination Action

17. Action to Terminate						
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)	

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	0	9	8	9	3	4	1	21. Primary or Mill
22. Signature												
Jay Lowe												
23. AR Number												
0												
3												
3												
3												
2												

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - PART 46
TRAINING CERTIFICATES NOT PROVIDED

U.S. Department of Labor
Mine Safety and Health Administration

MSHA Form 7000-3 Mar 85 (Revised)

Appendix B

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	8	2	1	0	0	0
4. Served To				5. Operator			
KEN SWIFT, QUARRY FOREMAN				NORTHEAST AGGREGATES			
6. Mine				7. Mine ID			
NORTHEAST				4 7 - 9 9 1 2 3 - (contractor)			
8. Condition or Practice				8a. Written Notice (103g)			

The mine operator failed to record and certify that each miner had received the required training.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR	
			4 6 . 9 a	

Section II -- Inspector's Evaluation

10. Gravity:				
A. Injury or Illness (has) (is):	No Likelihood	Unlikely	Reasonably Likely	Highly Likely
		X		
B. Injury or Illness could reasonably be expected to be:	No Lost Workdays	Lost Workdays or Restricted Duty	Permanently Disabling	Fatal
	X			
C. Significant and Substantial (See Reverse):	Yes	No	D. Number of Persons Affected	
		X	0 0 0	
11. Negligence (check one)				
A. None	B. Low	C. Moderate	D. High	E. Reckless Disregard
		X		
12. Type of Action	13. Type of Issuance (check one)			
1 0 4 - a	Citation X Order Safeguard			
14. Initial Action	F. Dated			
A. Citation	B. Order	C. Safeguard	D. Written Notice	Mo Da Yr
15. Area or Equipment				

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
	0	8	2	8	0

Section III -- Termination Action

17. Action to Terminate					
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	0	9	8	9	3	4	1	21. Primary or Mill
22. Signature												23. AR Number
Jay Lowe												0

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - PART 46
TRAINING RECORDS NOT PROVIDED

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data																
1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)						3. Citation/Order Number						
	0	8	2	0	0	1					4	4	1	0		
4. Served To	JAMES DOBSON, PRESIDENT									5. Operator	QRS MINING COMPANY					
6. Mine	WMC MINE AND MILL									7. Mine ID	7	3	-	0	1	
8. Condition or Practice											2	3	6	-		
										8a. Written Notice (103g)					<input type="checkbox"/>	
<u>Chad Scott (payroll number 98342), truck driver, had not received the MSHA-required 24-hour new miner training within 90 days</u>																
after beginning work at the mine. Mr. Scott had no previous mining experience, and he was only provided with 8 hours of training.																
The mine operator was aware of the Part 46 training requirements. The mine operator must withdraw Chad Scott from the mine																
until he receives the required training. The Federal Mine Safety and Health Act of 1977 states that an untrained miner is a hazard																
to himself and to others.																
See Continuation Form (MSHA Form 7000-3a)																
9. Violation	A. Health Safety Other			B. Section of Act						C. Part/Section of Title 30 CFR	4	6	.	5		
											a					
Section II -- Inspector's Evaluation																
10. Gravity:																
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>																
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input checked="" type="checkbox"/>																
C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>																
										D. Number of Persons Affected	0	0	1			
11. Negligence (check one)																
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input checked="" type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>																
12. Type of Action																
1 0 4 - g - 1 , - -																
13. Type of Issuance (check one)																
Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>																
14. Initial Action																
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>																
										E. Citation/Order Number						
15. Area or Equipment																
Chad Scott (payroll number 98342)																
16. Termination Due																
A. Date Mo Da Yr B. Time (24 Hr. Clock)																
Section III -- Termination Action																
17. Action to Terminate																
18. Terminated																
A. Date Mo Da Yr B. Time (24 Hr Clock)																
Section IV -- Automated System Data																
19. Type of Inspection (activity code)																
E 0 1																
										20. Event Number	0	9	7	7	5	5
										21. Primary or Mill						
										22. Signature	John Redwood					
										23. AR Number	0	3	3	3	3	

MSHA Form 7000-3 Mar 85 (Revised)

104(g)(1) ORDER - PART 46
SINGLE MINER AND A SINGLE VIOLATION

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data															
1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)						3. Citation/Order Number					
	0	8	2	0	0	1					4	4	1	0	
4. Served To	JAMES DOBSON, PRESIDENT									5. Operator	QRS MINING COMPANY				
6. Mine	QRS MINE									7. Mine ID	7	3	-	0	1
8. Condition or Practice											2	3	6	-	
										8a. Written Notice (103g)					
Twenty-two miners working at the mine have not received annual refresher training within the last twelve months. Refresher training was last provided to these miners on April 11, 2000. The mine operator was aware of the training requirements. The mine operator must withdraw the 22 miners from the mine until they have received the required training. The Federal Mine Safety and Health Act of 1977 states that an untrained miner is a hazard to himself and to others.															
See Continuation Form (MSHA Form 7000-3a)															
9. Violation	A. Health Safety Other			B. Section of Act						C. Part/Section of Title 30 CFR	4	6	.	8	
											a				
Section II -- Inspector's Evaluation															
10. Gravity:															
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input checked="" type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>															
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input checked="" type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>															
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> D. Number of Persons Affected															
											0	2	2		
11. Negligence (check one)															
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input checked="" type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>															
12. Type of Action															
1 0 4 - g - 1 , - -															
13. Type of Issuance (check one)															
Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>															
14. Initial Action															
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number															
F. Dated															
Mo Da Yr															
15. Area or Equipment															
Joseph Johns, Harry Tee, Mike Mott, Mark Hank, Sam Wright, Harold Moody, et. al.															
16. Termination Due															
A. Date															
Mo Da Yr															
B. Time (24 Hr. Clock)															
Section III -- Termination Action															
17. Action to Terminate															
18. Terminated															
A. Date															
Mo Da Yr															
B. Time (24 Hr Clock)															
Section IV -- Automated System Data															
19. Type of Inspection (activity code)															
E 0 1															
20. Event Number															
0 9 7 7 5 5 5															
21. Primary or Mill															
22. Signature															
John Redwood															
23. AR Number															
0 3 3 3 3															

MSHA Form 7000-3 Mar 85 (Revised)

104(g)(1) ORDER - PART 46
MULTIPLE MINERS AND A SINGLE VIOLATION

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data														
1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)						3. Citation/Order Number				
	0	8	2	0	0	2		0	9	3	0		4	4
4. Served To					5. Operator									
JAMES DOBSON, PRESIDENT					QRS MINING COMPANY									
6. Mine					7. Mine ID									
QRS MINE					7 3 - 0 1 2 3 6 - (contractor)									
8. Condition or Practice														
8a. Written Notice (103g) <input type="checkbox"/>														
<p>Bill Porter (payroll number 98340), haul truck driver, had not received the MSHA-required task training on the haul truck he was operating prior to assuming his duties on June 6, 2000. [30 CFR Part 46.7] Mr. Porter had been driving this truck for two days. He indicated that he had not operated haulage trucks prior to this date. [30 CFR 46.77].</p> <p>Bill Porter had not received annual refresher training within the last 12 months. The last date that he received annual refresher training was February 14, 2001. [30 CFR Part 46.8]</p> <p>The mine operator was aware of the Part 46 training requirements. The Federal Mine Safety and Health Act of 1977 states that an untrained miner is a hazard to himself and to others.</p> <p>Note: The second standard is evaluated for S&S on a mine citation/order continuation form.</p>														
See Continuation Form (MSHA Form 7000-3a) <input checked="" type="checkbox"/>														
9. Violation	A. Health Safety Other			B. Section of Act							C. Part/Section of Title 30 CFR			
												4	6	7 a
Section II -- Inspector's Evaluation														
10. Gravity:														
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>														
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input checked="" type="checkbox"/>														
C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>														
D. Number of Persons Affected 0 0 1														
11. Negligence (check one)														
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input checked="" type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>														
12. Type of Action														
1 0 4 - g - 1 , - -														
13. Type of Issuance (check one)														
Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>														
14. Initial Action														
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number F. Dated Mo Da Yr														
15. Area or Equipment														
Bill Porter (payroll number 98340)														
16. Termination Due														
A. Date Mo Da Yr B. Time (24 Hr. Clock)														
Section III -- Termination Action														
17. Action to Terminate														
18. Terminated														
A. Date Mo Da Yr B. Time (24 Hr Clock)														
Section IV -- Automated System Data														
19. Type of Inspection (activity code)														
E 0 1														
20. Event Number														
0 9 7 7 5 5 5														
21. Primary or Mill														
23. AR Number														
0 3 3 3 3														
22. Signature														
John Redwood														

MSHA Form 7000-3 Mar 85 (Revised)

104(g)(1) ORDER - PART 46
SINGLE MINER AND MULTIPLE VIOLATIONS

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input type="checkbox"/>	1a. Continuation <input checked="" type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 8	Yr 2	0	2	3. Citation/Order Number	4	4	1	0	0	8	9	-	
4. Served To JAMES DOBSON, PRESIDENT						5. Operator QRS MINING											
6. Mine QRS Mine						7. Mine ID 7 3 - 0 1 2 3 6 - (contractor)											

Section II -- Justification for Action

Continuation of Section II, Inspector's Evaluation, to evaluate 30 CFR 46.8a

ITEM 10A - Unlikely

ITEM 10B - Lost Workdays

ITEM 10C - Significant and Substantial - No

ITEM 10D - Number of Persons Affected - 001

ITEM 11 - Negligence - High

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input type="checkbox"/>	E. Modified	<input type="checkbox"/>
----------------	---------	----	----	----	------------------------	------------	--------------------------	---------------	--------------------------	-------------	--------------------------

Section IV -- Inspection Data

9. Type of Inspection	E	0	1	10. Event Number	0	9	7	7	5	5	5					
11. Signature JOHN REDWOOD				AR Number		12. Date		Mo	Da	Yr	13. Time (24 Hr. Clock)	0	9	3	0	
				0 3 3 3 3				0	8	2	0	0	2			

MSHA Form 7000-3a, Mar 85 (Revised)

104(g)(1) ORDER - PART 46 CONTINUATION SHEET
SINGLE MINER AND MULTIPLE VIOLATIONS

Appendix C

Health Violations

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Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data															
1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)						3. Citation/Order Number					
	0	7	1	8	0	1		1	6	0	0		4	4	1
4. Served To SYDNEY JONES, PRESIDENT					5. Operator TMC MINING COMPANY										
6. Mine TRIANGLE MINE					7. Mine ID 7 2 - 0 0 0 1 2 - (contractor)										
8. Condition or Practice															
8a. Written Notice (103g) <input type="checkbox"/>															
The repair shop welder was exposed to a shift-weighted average of 2.35 mg/m ³ of nickel welding fumes when sampled on July 1, 2001. This amount exceeded the Threshold Limit Value (TLV) of 1.0 mg/m ³ times the error factor (1.10*) for welding fume sampling and elemental analysis. The employee was welding tubing in the repair shop and visible fumes were produced during this process. The engineering control in use was one 24-inch exhaust fan in the shop roof. The welder was not wearing a respirator and a respirator program meeting the requirements of ANSI Z88.2-1969 was not in place. The original abatement date is for the institution of a respiratory protection program meeting the requirements of ANSI Z88.2-1969. When a respiratory protection program meeting the minimum requirements is established, the abatement date will be extended to allow the mine operator time to install additional engineering controls.															
* Note: The error factor for metal dust and fume sampling is determined by, and will be supplied by, the Pittsburgh lab.															
See Continuation Form (MSHA Form 7000-3a) <input type="checkbox"/>															
9. Violation	A. Health Safety Other			B. Section of Act						C. Part/Section of Title 30 CFR					
									-		5	6	.	5	0
Section II -- Inspector's Evaluation															
10. Gravity:															
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>															
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input checked="" type="checkbox"/> Fatal <input type="checkbox"/>															
C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>															
D. Number of Persons Affected 0 0 1															
11. Negligence (check one)															
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>															
12. Type of Action 1 0 4 - a - , - -															
13. Type of Issuance (check one) Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>															
14. Initial Action A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number <input type="checkbox"/>															
15. Area or Equipment															
16. Termination Due A. Date 0 7 2 0 0 1 B. Time (24 Hr. Clock) 0 8 0 0															
Section III -- Termination Action															
17. Action to Terminate															
18. Terminated A. Date Mo Da Yr B. Time (24 Hr Clock)															
Section IV -- Automated System Data															
19. Type of Inspection (activity code) E 0 1 20. Event Number 0 7 6 8 9 8 7 21. Primary or Mill															
22. Signature TED JOHNSON 23. AR Number 0 4 7 8 9															

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - "S&S" - OVEREXPOSURE
TO WELDING FUMES

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 07	Da 18	Yr 01	3. Citation/Order Number	4410188-01
4. Served To SYDNEY JONES, PRESIDENT			5. Operator TMC MINING COMPANY				
6. Mine TRIANGLE MINE			7. Mine ID 72-00012- (contractor)				

Section II -- Justification for Action

A respiratory protection program meeting the requirements of ANSI Z88.2-1969 is in place. The welder is wearing a properly fitted and approved respirator (Wilson Model 1212, Approval No. TC-21C-142). The termination due date is extended until August 15, 2001, for the implementation of additional engineering controls. The welder shall continue to wear the respirator when welding until adequate engineering controls have been implemented and further sampling by MSHA confirms the exposure to be less than the permissible exposure limit times the error factor.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo 08	Da 15	Yr 01	B. Time (24 Hr. Clock)	1200	C. Vacated <input type="checkbox"/>	D. Terminated <input type="checkbox"/>	E. Modified <input type="checkbox"/>
----------------	---------	----------	----------	----------	------------------------	------	-------------------------------------	--	--------------------------------------

Section IV -- Inspection Data

9. Type of Inspection	E 15	10. Event Number	0768991				
11. Signature	AR Number	12. Date	Mo 07	Da 21	Yr 01	13. Time (24 Hr. Clock)	1530
TED JOHNSON	04789						

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - EXTENSION AFTER
RESPIRATORY PROTECTION PROVIDED

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 07	Da 18	Yr 01	3. Citation/Order Number	4410188-02
4. Served To SYDNEY JONES, PRESIDENT			5. Operator TMC MINING COMPANY				
6. Mine TRIANGLE MINE			7. Mine ID 72-00012- (contractor)				

Section II -- Justification for Action

The mine operator purchased and installed a local exhaust fume collection system that can be moved close to welding and cutting operations. The welder in the repair shop was exposed to a shift-weighted average of 0.13 mg/m3 of nickel welding fumes after a resample was conducted by MSHA on August 22, 2001. This average is less than the Threshold Limit Value (TLV) times the error factor (1.10) for welding fume sampling and elemental analysis. The analytical results were received and the termination issued on September 1, 2001.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input checked="" type="checkbox"/>	E. Modified	<input type="checkbox"/>
----------------	---------	----	----	----	------------------------	------------	--------------------------	---------------	-------------------------------------	-------------	--------------------------

Section IV -- Inspection Data

9. Type of Inspection	E	1	5	10. Event Number	0768994							
11. Signature	TED JOHNSON			AR Number	04789	12. Date	Mo	Da	Yr	13. Time (24 Hr. Clock)	090101	0915

MSHA Form 7000-3a, Mar 85 (Revised)

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	07	18	01		1600		4410189
4. Served To				5. Operator			
J.B. SMITH, PRESIDENT				S&S MINING COMPANY			
6. Mine				7. Mine ID			
S&S MINE				71 - 03798 - (contractor)			
8. Condition or Practice						8a. Written Notice (103g)	

The primary crusher operator was exposed to a shift-weighted average of 3.30 mg/m³ of respirable silica-bearing dust on 07/02/01. This exceeded the Threshold Limit Value (TLV) of 1.25 mg/m³ times the error factor (1.20 for respirable free silica dust sampling and analysis). Respiratory protection was not being used and a respiratory protection program meeting the requirements of ANSI Z88.2-1969 was not in place. All feasible engineering controls were not in use to control employee's dust exposure. An operator's control booth was in place but a window was broken and a ventilation system had not been provided for the booth. The original abatement date is for the institution of a Respiratory Protection Program. When a Respiratory Protection Program that meets the minimum requirements of ANSI Z88.2-1969 is in place, the abatement date will be extended to allow the mine operator time to install additional and repair existing engineering controls.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act		C. Part/Section of Title 30 CFR	
			-		56.5001a/.5005

Section II -- Inspector's Evaluation

10. Gravity:		A. Injury or Illness (has) (is):		No Likelihood	Unlikely	Reasonably Likely	Highly Likely	Occurred
		B. Injury or Illness could reasonably be expected to be:		No Lost Workdays	Lost Workdays or Restricted Duty	Permanently Disabling	Fatal	
C. Significant and Substantial (See Reverse):		Yes	No	D. Number of Persons Affected		001		
11. Negligence (check one)								
A. None		B. Low	C. Moderate	D. High	E. Reckless Disregard			
12. Type of Action		13. Type of Issuance (check one)						
104 - a - , - -		Citation		Order	Safeguard			
14. Initial Action		D. Written Notice		E. Citation/Order Number		F. Dated		
A. Citation		B. Order	C. Safeguard			Mo Da Yr		
15. Area or Equipment								

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
		07	20	01	0900

Section III -- Termination Action

17. Action to Terminate					
18. Terminated					
A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)	

Section IV -- Automated System Data

19. Type of Inspection (activity code)	20. Event Number	21. Primary or Mill
E 01	3850189	
22. Signature		23. AR Number
TED JOHNSON		04789

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - "S&S" OVEREXPOSURE
TO SILICA-BEARING DUST

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 1	8	0	1	3. Citation/Order Number	4	4	1	0	1	8	9	-	0	1
4. Served To J.B. SMITH, PRESIDENT					5. Operator S&S MINING COMPANY														
6. Mine S&S MINE					7. Mine ID 7 1 - 0 3 7 9 8 - (contractor)														

Section II -- Justification for Action

A Respiratory Protection Program meeting the requirements of ANSI Z88.2-1969 is in place. The primary crusher operator is wearing a properly fitted and approved respirator (MSA COMFO II, Approval Number TC-21C-134). The termination due date is extended until August 15, 2001, for the implementation of additional engineering controls. The crusher operator shall continue to wear the approved respirator while operating the crusher until engineering controls have been implemented and further sampling by MSHA confirms the exposure to be less than the Threshold Limit Value (TLV) times the error factor.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo 0	Da 8	Yr 1	5	0	1	B. Time (24 Hr. Clock)	1	2	0	0	C. Vacated <input type="checkbox"/>	D. Terminated <input type="checkbox"/>	E. Modified <input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	1	5	10. Event Number	3	8	5	0	1	9	6								
11. Signature	TED JOHNSON				AR Number		12. Date		Mo 0	Da 7	Yr 2	1	0	1	13. Time (24 Hr. Clock)	1	5	3	0

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - EXTENSION AFTER RESPIRATORY
PROTECTION PROVIDED FOR SILICA-BEARING DUST

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 1	8	0	1	3. Citation/Order Number	4	4	1	0	1	8	9	-	0	2
4. Served To J.B. SMITH, PRESIDENT					5. Operator S&S MINING COMPANY														
6. Mine S&S MINE					7. Mine ID 7 1 - 0 3 7 9 8 - (contractor)														

Section II -- Justification for Action

The mine operator replaced the control booth's broken window and installed a heating and cooling unit in the booth of the primary crusher. Water sprays were also installed on the crusher which reduced source dust levels. The primary crusher operator was exposed to a shift-weighted average of 0.82 mg/m3 of respirable silica-bearing dust during a resample conducted on August 22, 2001. This shift-weighted average is less than the Threshold Limit Value (TLV) of 1.11 mg/m3.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)				C. Vacated <input type="checkbox"/>	D. Terminated <input checked="" type="checkbox"/>	E. Modified <input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	1	5	10. Event Number	3	8	5	0	2	0	0					
11. Signature TED JOHNSON				AR Number		12. Date		Mo	Da	Yr	13. Time (24 Hr. Clock)	1	1	3	0	
				0 4 7 8 9				0	9	0	1	0	1			

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - TERMINATION AFTER RESAMPLING
FOR SILICA-BEARING DUST

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	07	23	01		0900		4410190
4. Served To				5. Operator			
J.B. SMITH, PRESIDENT				S&S MINING COMPANY			
6. Mine				7. Mine ID			
S&S MINE				71 - 03798 - (contractor)			
8. Condition or Practice						8a. Written Notice (103g)	

The primary crusher operator was not using a respirator as required by Citation No. 4410189 issued on 07/18/01. An approved respirator was in its original container at the crusher and the crusher operator admitted that he seldom used it. The primary crusher shall be taken out of service until the crusher operator has been reinstructed and retrained in the use of the respirator. Management shall ensure that the crusher operator will wear the respirator when the crusher is in operation. After the respirator requirement has been fulfilled, MSHA will modify this order to allow work to resume with the understanding that management will install the needed engineering controls at the primary crusher within two months. Citation No. 4410189 documented a shift-weighted average exposure of 3.30 mg/m3 of respirable silica-bearing dust when allowable exposure was 1.25 mg/m³.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			56.5001a/.5005

Section II -- Inspector's Evaluation

10. Gravity:			
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>			
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input type="checkbox"/>		D. Number of Persons Affected	
11. Negligence (check one)			
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action		13. Type of Issuance (check one)	
104 - b - , - -		Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action		E. Citation/Order Number	
A. Citation <input checked="" type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>		4410189	
F. Dated			
Mo Da Yr			
07 18 01			
15. Area or Equipment			

PRIMARY CRUSHER

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate					
18. Terminated					
A. Date		Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	20. Event Number	21. Primary or Mill
E 15	0123456	
22. Signature		23. AR Number
TED SMITH		02933

MSHA Form 7000-3 Mar 85 (Revised)

104(b) ORDER OF WITHDRAWAL -
ALTERNATE SCENARIO

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 07	Da 23	Yr 01	3. Citation/Order Number	4	4	1	0	1	9	0	-	0	1
4. Served To J.B. SMITH, PRESIDENT						5. Operator S&S MINING COMPANY										
6. Mine S&S MINE						7. Mine ID 71-03798- (contractor)										

Section II -- Justification for Action

The primary crusher operator has been retrained and retrained in the use of his fit-tested respirator. He will use the respirator whenever the crusher is in operation. The foreman and the plant manager will check periodically through the shift to ensure that he continues to use it. All provisions of ANSI Z88.2-1969 will be followed. Management will install the needed engineering controls at the primary crusher within two months and will notify MSHA when the controls are in place. The crusher operator will continue to wear the respirator until a resample analysis indicates that the quartz dust at the crusher is within the Threshold Limit Value (TLV). The Section 104(b) order is modified to include the above provisions and to allow crushing operations to resume. The order will be terminated when the silica dust overexposure has been fully abated.

Note: In this example, the 104(b) Order of Withdrawal is not terminated but modified to allow crushing operations to resume until the quartz dust overexposure is brought to within permissible limits.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input type="checkbox"/>	E. Modified	<input checked="" type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	1	5	10. Event Number	0	1	2	3	4	5	6														
11. Signature	TED SMITH				AR Number	0	2	9	3	3	12. Date	Mo	Da	Yr	13. Time (24 Hr. Clock)	0	7	2	4	0	1	0	8	0	0

MSHA Form 7000-3a, Mar 85 (Revised)

104(b) ORDER MODIFICATION - ALLOWING
MINING OPERATIONS TO RESUME

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	07	24	01		0800		4410191
4. Served To				5. Operator			
J.B. SMITH, PRESIDENT				S&S MINING COMPANY			
6. Mine				7. Mine ID			
S&S MINE				71 - 03798 - (contractor)			
8. Condition or Practice						8a. Written Notice (103g)	

Section 104(b) Order No. 4410190, issued 07/23/01, required that the primary crusher be shut down and not started until the crusher operator was using a respirator for which he had been fit-tested and trained, as required by ANSI Z88.2-1969. The primary crusher is in full operation, the crusher operator is not wearing a respirator, and engineering controls have not been installed to control the dust. The crusher operator is working in visible silica-bearing dust. The condition has not been designated as "significant and substantial" because the conduct violated a provision of the Mine Act rather than a mandatory safety or health standard.

Note: Section I, Item 9B, is completed with 104(b).

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act		C. Part/Section of Title 30 CFR	
			104 - b		

Section II -- Inspector's Evaluation

10. Gravity:		A. Injury or Illness (has) (is):		No Likelihood	Unlikely	Reasonably Likely	Highly Likely	Occurred
		B. Injury or Illness could reasonably be expected to be:		No Lost Workdays	Lost Workdays or Restricted Duty	Permanently Disabling	Fatal	
		C. Significant and Substantial (See Reverse):		Yes	No		D. Number of Persons Affected	001
11. Negligence (check one)		A. None		B. Low	C. Moderate	D. High	E. Reckless Disregard	
					X			
12. Type of Action		104 - a - , - -		13. Type of Issuance (check one)		Citation		
						X Order Safeguard		
14. Initial Action		A. Citation		B. Order	C. Safeguard	D. Written Notice	E. Citation/Order Number	F. Dated
				X			4410190	072301
15. Area or Equipment								

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
		07	24	01	0900

Section III -- Termination Action

17. Action to Terminate

18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)
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Section IV -- Automated System Data

19. Type of Inspection (activity code)	E01	20. Event Number	0123456	21. Primary or Mill
22. Signature				23. AR Number
TED SMITH				02933

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - ALTERNATE SCENARIO -
FAILURE TO COMPLY WITH 104(b) ORDER

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	07	16	01		1600		4410192
4. Served To				5. Operator			
RICHARD JONES, SAFETY DIRECTOR				INTERNATIONAL CEMENT CORPORATION			
6. Mine				7. Mine ID			
ICC MILL				75 - 00024 - (contractor)			
8. Condition or Practice						8a. Written Notice (103g)	

The #2 bagging machine operator was exposed to a shift-weighted average of 1.56 mg/m³ of respirable silica-bearing dust when sampled for a full shift on 07/03/01. This exceeded the Threshold Limit Value (TLV) of 0.33 mg/m³ times the error factor (1.20) for respirable free silica sampling and analysis. The operator was wearing an approved fit-tested respirator and a respiratory protection program meeting the requirements of ANSI Z88.2-1969 was in place.

All feasible engineering controls were not used to reduce the bagger operator's exposure. A dust collection system was being used, but numerous holes and leaks in the duct work reduced the system's efficiency. Accumulations of dust, 1/2 to 1 inch deep, indicated poor cleanup. Cleanup was done with shovels and brooms causing more dust to become airborne.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
		-	56.5001a/.5005

Section II -- Inspector's Evaluation

10. Gravity:			
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input checked="" type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input checked="" type="checkbox"/> Fatal <input type="checkbox"/>			
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		D. Number of Persons Affected 001	
11. Negligence (check one)			
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action		13. Type of Issuance (check one)	
104 - a - , - -		Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action		D. Written Notice	
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/>		<input type="checkbox"/>	
E. Citation/Order Number		F. Dated	
		Mo Da Yr	
15. Area or Equipment			

16. Termination Due	A. Date	B. Time (24 Hr. Clock)
	080301	0800

Section III -- Termination Action

17. Action to Terminate			
18. Terminated			
A. Date	B. Time (24 Hr Clock)		
Mo Da Yr			

Section IV -- Automated System Data

19. Type of Inspection (activity code)	20. Event Number	21. Primary or Mill
E01	0088301	
22. Signature		23. AR Number
JOHN REDWOOD		03333

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - "NON-S&S" OVEREXPOSURE
TO SILICA-BEARING DUST

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 1	6	0	1	3. Citation/Order Number	4	4	1	0	1	9	2	-	0	1
4. Served To RICHARD JONES, SAFETY DIRECTOR					5. Operator INTERNATIONAL CEMENT CORPORATION														
6. Mine ICC MILL					7. Mine ID 7 5 - 0 0 0 2 4 - (contractor)														

Section II -- Justification for Action

The mine operator had repaired the holes and leaks in the dust control system duct work for the #2 bagging machine. The mine operator had also purchased and was using a vacuum system to clean up dust spills. The machine operator was found to be exposed to a shift-weighted average of 0.25 mg/m3 of respirable silica-bearing dust during a resample conducted on August 7, 2001. This shift-weighted average is less than the Threshold Limit Value (TLV) of 0.33 mg/m3.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)					C. Vacated	<input type="checkbox"/>	D. Terminated	<input checked="" type="checkbox"/>	E. Modified	<input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	1	5	10. Event Number	0	0	8	8	3	1	2				
11. Signature	TED SMITH				AR Number		12. Date		Mo	Da	Yr	13. Time (24 Hr. Clock)			
					0 2 9 3 3				0	8	1	9	0	1	1 2 3 0

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - TERMINATION AFTER
RESAMPLING FOR SILICA-BEARING DUST

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 1	6	0	1	3. Citation/Order Number	4	4	1	0	1	9	2	-	0	2
4. Served To RICHARD JONES, SAFETY DIRECTOR					5. Operator INTERNATIONAL CEMENT CORPORATION														
6. Mine ICC MILL					7. Mine ID 7 5 - 0 0 0 2 4 - (contractor)														

Section II -- Justification for Action

The bagging machine was shut down and removed from service by disconnecting the electrical leads to the machine and wrapping it in plastic. The mill foreman stated that they would not be using the machine anymore as a new machine was being installed. The mine operator is notified that the basis for this termination is the removal of the bagging machine from service. Prior to the resumption of milling activities with this machine they are required to comply with the cited standard. Failure to comply will be considered by MSHA to be aggravated conduct constituting more than ordinary negligence.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)					C. Vacated	<input type="checkbox"/>	D. Terminated	<input checked="" type="checkbox"/>	E. Modified	<input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	1	5	10. Event Number	0	0	8	8	3	1	2														
11. Signature	TED SMITH				AR Number	0	2	9	3	3	12. Date	Mo	Da	Yr	13. Time (24 Hr. Clock)	0	8	1	9	0	1	1	2	3	0

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - TERMINATION AFTER
REMOVAL OF EQUIPMENT FROM SERVICE

U.S. Department of Labor
Mine Safety and Health Administration

104(a) CITATION - "S&S" -
OVEREXPOSURE TO RADON DAUGHTERS

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 0		3. Citation/Order Number	4	4	1	0	1	9	4	-	0	1
4. Served To SAMUEL ADAMS, LEVEL FOREMAN					5. Operator ABLE MINING, INC.												
6. Mine THE DOUBLE M MINE					7. Mine ID 5 7 - 0 6 7 8 9 - (contractor)												

Section II -- Justification for Action

Repairs to the ventilation tube and the stope regulator door have brought the concentration of radon daughters under the exposure limit.
The 1402 stope driller was exposed to air containing 0.22 WL of radon daughters when resampled on July 7, 2001. During resampling the driller was wearing a fit-tested and approved respirator. The amount is less than the maximum permissible exposure limit of 1.00 WL times the error factor (1.20) for radon daughter sampling.

See Continuation Form

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)		C. Vacated	<input type="checkbox"/>	D. Terminated	<input checked="" type="checkbox"/>	E. Modified	<input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	0	1	10. Event Number	0	3	4	5	6	7	8								
11. Signature	BILL WILLIAMS				AR Number	0	2	5	5	5	12. Date	Mo	Da	Yr	13. Time (24 Hr. Clock)	1	6	0	0

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - TERMINATION AFTER
RESAMPLING FOR RADON DAUGHTERS

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	07	16	01		1600		4410196
4. Served To				5. Operator			
RICHARD JONES, SAFETY DIRECTOR				INTERNATIONAL CEMENT CORPORATION			
6. Mine				7. Mine ID			
ICC MILL				75 - 00024 - (contractor)			
8. Condition or Practice						8a. Written Notice (103g)	

The #2 bagging machine operator was exposed to a shift-weighted average of 1.56 mg/m³ of respirable silica-bearing dust when sampled for a full shift on 07/03/01. This amount exceeded the Threshold Limit Value (TLV) of 0.33 mg/m³ times the error factor (1.20) for respirable free silica sampling and analysis. The operator was not wearing an approved fit-tested respirator nor was a respiratory protection program meeting the requirements of ANSI Z88.2-1969 in place. Also, all feasible engineering controls were not used to reduce the bagger operator's exposure. A dust collection system was being used but numerous holes and leaks in the ductwork reduced the system's efficiency. Accumulations of dust, 5 to 6 inches deep in the area, were indicative of these leaks and the poor cleanup methods. Cleanup was done sporadically, when production permitted, with shovels and brooms causing more dust to become airborne. The workplace safety reports, for the week preceding this sample, indicated that the malfunctioning dust collection system had been noted and reported to the foreman. The foreman stated that he had production to worry about and that when customer orders slowed down he might get the collection system repaired. He also said that he had not purchased any respirators for the employees because they were too expensive. This company has received four previous violations for over-exposure to silica-bearing dust at the bagging plant within the past year. Management engaged in aggravated conduct constituting more than ordinary negligence by deeming production more important than the miner's health. This violation is an unwarrantable failure to comply with a mandatory standard.

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
		-	56.5001a/.5005

Section II -- Inspector's Evaluation

10. Gravity:			
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input checked="" type="checkbox"/>			
C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		D. Number of Persons Affected	
		001	
11. Negligence (check one)			
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input checked="" type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action		13. Type of Issuance (check one)	
104 - d - 1, - -		Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action		D. Written Notice	
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/>		<input type="checkbox"/>	
E. Citation/Order Number		F. Dated	
		Mo Da Yr	
15. Area or Equipment			

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
	071801				1600

Section III -- Termination Action

17. Action to Terminate			
18. Terminated			
A. Date	Mo	Da	Yr
B. Time (24 Hr Clock)			

Section IV -- Automated System Data

19. Type of Inspection (activity code)	20. Event Number	21. Primary or Mill
E01	0088301	
22. Signature		23. AR Number
JOHN REDWOOD		03333

MSHA Form 7000-3 Mar 85 (Revised)

104(d)(1) CITATION - "S&S" - OVEREXPOSURE
TO SILICA-BEARING DUST

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	0	6	0	1	1
4. Served To	SAMUEL ADAMS, LEVEL FOREMAN			5. Operator	ABLE MINING, INC.		
6. Mine	THE DOUBLE M MINE			7. Mine ID	5 7 - 0 6 7 8 9 - (contractor)		
8. Condition or Practice	8a. Written Notice (103g)						

The 1402 stope driller was exposed to air containing 1.90 WL of radon daughters on July 6, 2001. This amount exceeded the maximum permissible concentration (exposure limit) of 1.00 WL times the error factor (1.20) for radon daughter sampling. Several sections of ventilation tubing had large holes and the 1402 stope regulator door was damaged. The driller was not wearing a respirator. The damaged regulator door and the malfunctioning ventilation tubing had been noted and turned in to the foreman, Joe Jones, two weeks preceding this sampling. Additionally, company samples taken for the week preceding the MSHA samples indicated over-exposure to radon daughters in this area. Foreman Jones stated that it was too expensive to repair the defective items. Management engaged in aggravated conduct constituting more than ordinary negligence in deeming production more important than a miner's health. This violation is an unwarrantable failure to comply with a mandatory standard.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act		C. Part/Section of Title 30 CFR	
			-		5 7 . 5 0 3 9

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>					
	B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input checked="" type="checkbox"/>					
	C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>				D. Number of Persons Affected	
					0 0 1	
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input checked="" type="checkbox"/>					
12. Type of Action	1 0 4 - d - 1 , - -				13. Type of Issuance (check one)	
					Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action	A. Citation <input checked="" type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>				E. Citation/Order Number	
					4 4 1 0 1 1 1	
F. Dated				Mo		Da
				0		5
				2		7
				0		1

15. Area or Equipment

1402 STOPE DRILLER

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate

18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	0	3	4	5	6	7	8	21. Primary or Mill					
22. Signature	BILL WILLIAMS											23. AR Number	0	2	5	5	5

MSHA Form 7000-3 Mar 85 (Revised)

104(d)(1) ORDER - "S&S" - OVEREXPOSURE
TO RADON DAUGHTERS

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	0	4	0	1	0
4. Served To	J.R. JOHNSON, SAFETY DIRECTOR			5. Operator	ABC MINING COMPANY		
6. Mine	ABC MINE AND MILL			7. Mine ID	7 5 - 0 9 1 3 3 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

An underground tram operator is working in area nine east where the measured carbon monoxide level was 800 ppm (parts per million). The operator has been in the area for over two hours and has the following symptoms: headache, nausea, dizziness, and some mental confusion. Sampling was conducted in the operator's work area with eight drager tubes. The operator was not wearing respiratory protection. The TLV for a full shift is 50 ppm, and the STEL is 400 ppm. Exposure to carbon monoxide over this length of time can result in death. An oral imminent danger order was issued to Jim Smith, foreman, at 0800 hours this date.

Citation No. 4410199 is being issued in conjunction with this order.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
	B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>			
	C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input type="checkbox"/>		D. Number of Persons Affected	
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action	1 0 7 - a - , - -		13. Type of Issuance (check one) Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action	A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>		E. Citation/Order Number	
15. Area or Equipment	F. Dated			

TRAM OPERATOR (NINE EAST)

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate					
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E 0 1	20. Event Number	0 7 3 5 1 1 4	21. Primary or Mill	
22. Signature	JOHN SMITH			23. AR Number	0 1 9 9 9

MSHA Form 7000-3 Mar 85 (Revised)

107(a) ORDER - "S&S" IMMINENT DANGER ORDER
OVEREXPOSURE TO CARBON MONOXIDE

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	0	4	0	1	0
							8
							3
							0
4. Served To	J.R. JOHNSON, SAFETY DIRECTOR				5. Operator	ABC MINING COMPANY	
6. Mine	ABC MINE AND MILL				7. Mine ID	7 5 - 0 9 1 3 3 - (contractor)	
8. Condition or Practice	8a. Written Notice (103g)						

An underground tram operator is working in area nine east where the measured carbon monoxide level was 800 ppm (parts per million). The operator has been in the area for over two hours and has the following symptoms: headache, nausea, dizziness, and some mental confusion. Sampling was conducted in the operator's work area with eight drager tubes. The operator was not wearing respiratory protection. The TLV for a full shift is 50 ppm, and the STEL is 400 ppm. Exposure to carbon monoxide over this length of time can result in death. An oral imminent danger order was issued to Jim Smith, foreman, at 0800 hours this date.

This violation is a factor cited in imminent danger order No. 4410198

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR	
				5 7 . 5 0 0 1 a / . 5 0 0 5

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is):		No Likelihood	<input type="checkbox"/> Unlikely	<input type="checkbox"/> Reasonably Likely	<input type="checkbox"/> Highly Likely	<input checked="" type="checkbox"/> Occurred
	B. Injury or Illness could reasonably be expected to be:		No Lost Workdays	<input type="checkbox"/> Lost Workdays or Restricted Duty	<input type="checkbox"/> Permanently Disabling	<input type="checkbox"/> Fatal	<input checked="" type="checkbox"/>
	C. Significant and Substantial (See Reverse):		Yes	<input checked="" type="checkbox"/> No	D. Number of Persons Affected		0 0 1
11. Negligence (check one)	A. None		<input type="checkbox"/> B. Low	<input type="checkbox"/> C. Moderate	<input checked="" type="checkbox"/> D. High	<input type="checkbox"/> E. Reckless Disregard	<input type="checkbox"/>
12. Type of Action	1 0 4 - a - , - -		13. Type of Issuance (check one)		Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>		
14. Initial Action	A. Citation		<input type="checkbox"/> B. Order	<input type="checkbox"/> C. Safeguard	<input type="checkbox"/> D. Written Notice	E. Citation/Order Number	F. Dated Mo Da Yr
15. Area or Equipment							

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	

Section III -- Termination Action

17. Action to Terminate						
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)	

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E 0 1	20. Event Number	0 7 3 5 1 1 4	21. Primary or Mill		
22. Signature	JOHN SMITH				23. AR Number	0 1 9 9 9

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION ISSUED FOR A CONDITION IN 107(a) ORDER

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	0	4	0	1	0
4. Served To	J.R. JOHNSON, SAFETY DIRECTOR			5. Operator	ABC MINING COMPANY		
6. Mine	ABC MINE AND MILL			7. Mine ID	7 5 - 0 9 1 3 3 - (contractor)		
8. Condition or Practice	8a. Written Notice (103g) <input type="checkbox"/>						

A laboratory technician was handling hot acid in the assay laboratory where the measured hydrogen chloride levels were 35 ppm (parts per million), which is almost at the immediately dangerous to life and health (IDLH) level of 50 ppm. A sample was taken in the operator's work area for an hour with a Drager diffusion tube. The measured exposures are almost at levels that are fatal. The ceiling limit for hydrogen chloride is 5 ppm. The technician was removed from the lab when levels exceeded the ceiling limit of 5 ppm, and an oral imminent danger order was issued to Becky Mills, laboratory supervisor, at 1045 hours this date. The technician was not wearing respiratory protection and complained of eye and throat irritation.

Citation No. 4410201 is being issued in conjunction with this order.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
	B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>			
	C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input type="checkbox"/>		D. Number of Persons Affected <input type="text"/>	
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action	1 0 7 - a - , - -			
	13. Type of Issuance (check one) Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>			
14. Initial Action	A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number <input type="text"/>			
	F. Dated Mo Da Yr			

15. Area or Equipment
THE ASSAY LABORATORY

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate					
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	0	7	3	5	1	1	4	21. Primary or Mill				
22. Signature	JOHN SMITH											23. AR Number	0	1	9	9

MSHA Form 7000-3 Mar 85 (Revised)

107(a) ORDER - "S&S" IMMINENT DANGER
OVEREXPOSURE TO HYDROGEN CHLORIDE

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	0	4	0	1	1 1 0 0
4. Served To	J.R. JOHNSON, SAFETY DIRECTOR			5. Operator	ABC MINING COMPANY		
6. Mine	ABC MINE AND MILL			7. Mine ID	7 5 - 0 9 1 3 3 - (contractor)		
8. Condition or Practice	8a. Written Notice (103g) <input type="checkbox"/>						

A laboratory technician was handling hot acid in the assay laboratory where the measured hydrogen chloride levels were 35 ppm (parts per million), which is almost at the immediately dangerous to life and health (IDLH) level of 50 ppm. A sample was taken in the operator's work area for an hour with a Drager diffusion tube. The measured exposures are almost at levels that are fatal. The ceiling limit for hydrogen chloride is 5 ppm. The technician was removed from the lab when levels exceeded the ceiling limit of 5 ppm, and an oral imminent danger order was issued to Becky Mills, laboratory supervisor, at 1045 hours this date. The technician was not wearing respiratory protection and complained of eye and throat irritation.

[This violation is a factor cited in imminent danger order No. 4410200.](#)

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR	
		-	5 7 . 5 0 0 1 a / . 5 0 0 5	

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input checked="" type="checkbox"/> Occurred <input type="checkbox"/>			
	B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input checked="" type="checkbox"/>			
	C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>		D. Number of Persons Affected 0 0 1	
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action	1 0 4 - a - , - - 13. Type of Issuance (check one) Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>			
14. Initial Action	A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number <input type="checkbox"/> F. Dated Mo Da Yr			
15. Area or Equipment				

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate					
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	0	7	3	5	1	1	4	21. Primary or Mill				
22. Signature	JOHN SMITH											23. AR Number	0	1	9	9

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION ISSUED FOR A CONDITION IN 107(a) ORDER

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	1	1	0	7	0	1	1 0 0 0
4. Served To	JANE DOE, SAFETY DIRECTOR				5. Operator	ABC ROCK COMPANY	
6. Mine	ABC MINE				7. Mine ID	7 0 - 7 7 7 7 7 7 - (contractor)	
8. Condition or Practice	8a. Written Notice (103g) <input type="checkbox"/>						

Clouds of dust were being produced by the No. 4 Ingersoll Rand air track drill when it was being used to drill blast holes in the south end of the quarry. The dust control system on the drill was not being used. The system functioned by water feeding through a hollow drill steel and out the drill bit. The water tank for the system was empty. No other dust control was being used. The drill operator was not wearing a respirator, and his clothing was covered with dust. He was drilling in non-water-soluble material. A respiratory protection program meeting all requirements of ANSI Z88.2 - 1969 was not in place.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act		C. Part/Section of Title 30 CFR	5	8	.	6	2	0							
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Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/> B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input checked="" type="checkbox"/> Fatal <input type="checkbox"/> C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> D. Number of Persons Affected 0 0 1																
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>																
12. Type of Action	1	0	4	-	a	-	,										
13. Type of Issuance (check one)	Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>																
14. Initial Action	A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number <input type="checkbox"/> F. Dated Mo Da Yr																
15. Area or Equipment																	

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	
	1	1	0	7	0	1
	1	2	0	0		

Section III -- Termination Action

17. Action to Terminate	The water tank on air trac was filled and the dust control system was activated. After the dust control system was activated, there was no visible dust when the drill was operated.																
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)												
	1	1	0	7	0	1											
	1	1	3	0													

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	1	2	3	4	5	6	9	21. Primary or Mill	
22. Signature	TED OLSON												
23. AR Number	9	9	9	9									

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - DRILL DUST

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	1	1	0	7	0	2	1
4. Served To	SYDNEY JONES, PRESIDENT			5. Operator	TMC MINING COMPANY		
6. Mine	TRIANGLE MINE			7. Mine ID	7 2 - 0 0 0 1 2 - (contractor)		
8. Condition or Practice	8a. Written Notice (103g) <input type="checkbox"/>						

The results of an MSHA full shift noise sample taken on 11/07/02 showed the driver (occupation code xxx) of the No. 31 Euclid Haul Truck received an action level noise dose of 76%. This exceeds the action level dose or 50% plus the error factor (or 66%).
 The miner was not enrolled in a hearing conservation program as required by 30 CFR 62.120.

The abatement date for this citation is to allow the mine operator time to enroll the miner into a formal hearing conservation program which meets all the requirements of 30 CFR 62.150.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			6 2 . 1 2 0

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input checked="" type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>		
	B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input checked="" type="checkbox"/> Fatal <input type="checkbox"/>		
	C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		D. Number of Persons Affected 0 0 1
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>		
12. Type of Action	1 0 4 - a - , - -		
	13. Type of Issuance (check one) Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>		
14. Initial Action	A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number <input type="checkbox"/>		
15. Area or Equipment			

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
	1	1	2	9	0

Section III -- Termination Action

17. Action to Terminate					
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	1	2	3	4	5	6	7	21. Primary or Mill				
22. Signature	TED JOHNSON											23. AR Number	0	5	5	5

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - 62.120 NOISE

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 1	Da 1	Yr 0		3. Citation/Order Number	4	4	4	4	4	4	4	-	0	1
4. Served To SYDNEY JONES, PRESIDENT						5. Operator TMC MINING COMPANY											
6. Mine TRIANGLE MINE						7. Mine ID 7 2 - 0 0 0 1 2 - (contractor)											

Section II -- Justification for Action

The driver (occupation code xxx) of the No. 31 Euclid Haul Truck has been enrolled in a formal hearing conservation program meeting all provisions of 30 CFR 62.150.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)		C. Vacated <input type="checkbox"/>	D. Terminated <input checked="" type="checkbox"/>	E. Modified <input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	0	1	10. Event Number	1	2	3	4	5	6	7
11. Signature TED JOHNSON				AR Number		12. Date		Mo	Da	Yr	13. Time (24 Hr. Clock)
				0 5 5 5 5				1	1	2	9 0 2
											1 1 0 0

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - TERMINATED

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	1	2	0	3	0	1	1
4. Served To	GEORGE JONES, SAFETY DIRECTOR			5. Operator	XYZ MINING COMPANY		
6. Mine	XYZ MINE			7. Mine ID	8 1 - 1 2 3 4 5 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

The results of an MSHA full shift noise sample taken on 12/03/01 showed the operator (occupation code xxx) of the front-end loader received a permissible exposure level noise dose of 152%. This exceeds the permissible exposure level noise dose of 100% plus error factor (or 132%). The end loader operator was wearing a hearing protector.

The abatement date for this citation is to allow time for the mine operator to install all feasible engineering and administrative controls.

The mine operator must ensure continued use of a hearing protector until the noise dose is reduced to or below the permissible exposure level.

Equipment: Caterpillar 880 front-end loader, S/N 00764762

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			6 2 . 1 3 0 a

Section II -- Inspector's Evaluation

10. Gravity:	A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input checked="" type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
	B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input checked="" type="checkbox"/> Fatal <input type="checkbox"/>			
	C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		D. Number of Persons Affected	
			0 0 1	
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action	1 0 4 - a - , - -			
	13. Type of Issuance (check one) Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>			
14. Initial Action	A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number			
15. Area or Equipment				

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
		1	2	1	6

Section III -- Termination Action

17. Action to Terminate					
18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	7	6	5	4	3	2	1	21. Primary or Mill					
22. Signature	JOE SOUTH											23. AR Number	0	5	5	5	6

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - 62.130 NOISE

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 1	Da 2	Yr 0	3	0	1	3. Citation/Order Number	4	4	4	4	4	5	-	0	1
4. Served To GEORGE JONES, SAFETY DIRECTOR									5. Operator XYZ MINING COMPANY									
6. Mine XYZ MINE									7. Mine ID 8 1 - 1 2 3 4 5 - (contractor)									

Section II -- Justification for Action

The mine operator installed a new muffler, put in a noise insulating floor mat, and plugged all openings between the operator's compartment and the engine compartment with noise attenuating material on the Caterpillar front-end loader.

Based on an MSHA full shift noise sample taken on 12/16/01, the operator (occupation code xxx) of the front-end loader received a permissible exposure level noise dose of 76%. This dose is less than the permissible exposure level of 100% plus error factor (or 132%).

The front-end loader operator identified above must remain enrolled in a hearing conservation program which complies with the provisions of 30 CFR 62.150 until the noise dose is reduced below the action level.

Equipment: Caterpillar 880 front-end loader, S/N 00764762

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input checked="" type="checkbox"/>	E. Modified	<input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	0	1	10. Event Number	7	6	5	4	3	2	1						
11. Signature JOE SOUTH					AR Number		12. Date		Mo	Da	Yr	13. Time (24 Hr. Clock)		1	7	3	0
					0		5		1	2	0						

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - TERMINATED

U.S. Department of Labor
Mine Safety and Health Administration

MSHA Form 7000-3 Mar 85 (Revised)

U.S. Department of Labor
Mine Safety and Health Administration

[illegible]MSHA Form 7000-3a, Mar 85 (Revised)

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 1	Yr 0	3. Citation/Order Number	4	4	4	4	7	6	-	0	1
4. Served To JOHN MILTON, PRESIDENT					5. Operator ONLY THE BEST ROCK										
6. Mine HARD ROCK MINE					7. Mine ID 9 0 - 8 8 8 8 8 - (contractor)										

Section II -- Justification for Action

The mine operator has provided the miner with both a muff and a plug-type hearing protector of the miner's choosing.

The mine operator has trained the miner in the use of the dual hearing protection, and ensured that they are being used concurrently.

The mine operator has ordered a manufacturer's muffler for the jack leg drill. The miner operating the jack leg drill must continue to wear the dual hearing protection until the noise dose has been reduced to or below the dual hearing protection level.

This citation is being extended to allow time for the mine operator to install and implement all feasible engineering and administrative controls.

Equipment: Model 2 B-Barber Jack Leg Drill, No. 10

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo 0	Da 1	Yr 1	B. Time (24 Hr. Clock)	1	2	0	0	C. Vacated	<input type="checkbox"/>	D. Terminated	<input type="checkbox"/>	E. Modified	<input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	0	1	10. Event Number	1	2	3	4	5	6	8					
11. Signature HORATIO ALGER				AR Number		12. Date		Mo 0	Da 1	Yr 1	13. Time (24 Hr. Clock)		1	3	0	0

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - EXTENSION 1 - 62.140 NOISE

U.S. Department of Labor
Mine Safety and Health Administration

104(a) CITATION - EXTENSION 2 - 62.140 NOISE

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 1	Yr 0		3. Citation/Order Number	4	4	4	4	7	6	-	0	3
4. Served To JOHN MILTON, PRESIDENT					5. Operator ONLY THE BEST ROCK											
6. Mine HARD ROCK MINE					7. Mine ID 9 0 - 8 8 8 8 - (contractor)											

Section II -- Justification for Action

The District Manager and field enforcement staff believe a P-code is warranted for this jack leg drill occupation, and have initiated the review process.

This citation is extended to allow time for the review.

The mine operator must continue to comply with provisions of 30 CFR 62.130.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo 0	Da 4	Yr 0		B. Time (24 Hr. Clock)	1	2	0	0	C. Vacated <input type="checkbox"/>	D. Terminated <input type="checkbox"/>	E. Modified <input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	0	1	10. Event Number	1	2	3	4	5	6	8				
11. Signature HORATIO ALGER				AR Number		12. Date		Mo 0	Da 1	Yr 3	13. Time (24 Hr. Clock)	1	0	0	0

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - EXTENSION "P" CODE

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 1	Yr 0		3. Citation/Order Number	4	4	4	4	7	6	-	0	4
4. Served To JOHN MILTON, PRESIDENT					5. Operator ONLY THE BEST ROCK											
6. Mine HARD ROCK MINE					7. Mine ID 9 0 - 8 8 8 8 8 - (contractor)											

Section II -- Justification for Action

A "P" code for the occupation operating the No. 10, Model 2B Barber jack leg drill has been assigned as number XXXX.

All feasible engineering and administrative controls must continue to be used and maintained. This includes the muffler for the jack leg drill. In addition, if new feasible engineering and/or administrative controls become available, they must be implemented.

The mine operator must continue to meet requirements of 30 CFR Part 62.

This citation is terminated.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)					C. Vacated <input type="checkbox"/>	D. Terminated <input checked="" type="checkbox"/>	E. Modified <input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	0	1	10. Event Number	1	2	3	4	5	6	8	
11. Signature HORATIO ALGER				AR Number		12. Date		Mo	Da	Yr	13. Time (24 Hr. Clock)	
				6 0 0 0 0				0	3	0	5	0 2
											1 3 0 0	

MSHA Form 7000-3a, Mar 85 (Revised)

104(a) CITATION - TERMINATION "P" CODE

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	8	2	3	0	1	0
4. Served To				5. Operator			
William Smith, Superintendent				R and R Contracting			
6. Mine No. 1				7. Mine ID			
				4	4	-	0
				3	5	3	6
				-	C	2	4
				(contractor)			
8. Condition or Practice				8a. Written Notice (103g)			

A contract laborer was wearing tennis shoes instead of suitable protective footwear. He was carrying heavy machine parts in the area of the addition to the plant. The laborer said that he forgot to bring his hard-toed boots to work, but neglected to inform his supervisor.

Note: Some violations by contractors will also involve the mine operator. In these cases, it is appropriate to cite the mine operator separately.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act		C. Part/Section of Title 30 CFR	
			-		7
					7
					.
					1
					7
					1
					0
					e

Section II -- Inspector's Evaluation

10. Gravity:	
A. Injury or Illness (has) (is):	No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>
B. Injury or Illness could reasonably be expected to be:	No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input checked="" type="checkbox"/> Fatal <input type="checkbox"/>
C. Significant and Substantial (See Reverse):	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
D. Number of Persons Affected	0
11. Negligence (check one)	A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>
12. Type of Action	1
13. Type of Issuance (check one)	Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>
14. Initial Action	A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number <input type="checkbox"/>
15. Area or Equipment	F. Dated <input type="checkbox"/>

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
	0	8	2	3	0
	1	0	3	0	

Section III -- Termination Action

17. Action to Terminate
The miner was provided and wearing suitable protective footwear.

18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)
	0	8	2	3	0
	1	0	3	0	

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	1	5	20. Event Number	0	8	8	8	8	3	2	21. Primary or Mill
22. Signature												23. AR Number
John Jones												2
												0
												7
												7
												8

MSHA Form 7000-3 Mar 85 (Revised)

CONTRACTOR VIOLATION AND TERMINATION

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)	3. Citation/Order Number
	0	7	0	1	1 6 0 0
4. Served To	John Brown, Superintendent				5. Operator
6. Mine	No. 2				XYZ Company
7. Mine ID	4 4 - 0 1 7 0 1 -				(contractor)
8. Condition or Practice	8a. Written Notice (103g)				

The average concentration of respirable dust in the working environment of the designated work position was 13.0 mg/m³, which exceeds the 2.0 mg/m³ standard. This finding was based on the results of five valid dust samples collected by the operator [or inspector]. Management must take corrective action to lower the respirable dust, and then sample each production shift until five valid samples are taken. The samples must be submitted to the Pittsburgh Respirable Dust Processing Laboratory.

Designated work position: 001-0 368

Advisory No. 0001 dated 7/6/01

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
		-	7 1 . 1 0 0

Section II -- Inspector's Evaluation

10. Gravity:

A. Injury or Illness (has) (is): No Likelihood ☐ Unlikely ☐ Reasonably Likely ☐ Highly Likely ☒ Occurred ☐B. Injury or Illness could reasonably be expected to be: No Lost Workdays ☐ Lost Workdays or Restricted Duty ☐ Permanently Disabling ☒ Fatal ☐C. Significant and Substantial (See Reverse): Yes ☒ No ☐ D. Number of Persons Affected 0 0 1

11. Negligence (check one)

A. None ☐ B. Low ☐ C. Moderate ☒ D. High ☐ E. Reckless Disregard ☐

12. Type of Action

1 0 4 - a - , - -

13. Type of Issuance (check one)

Citation ☒ Order ☐ Safeguard ☐

14. Initial Action

A. Citation ☐ B. Order ☐ C. Safeguard ☐ D. Written Notice ☐

E. Citation/Order Number

F. Dated

Mo Da Yr

15. Area or Equipment

16. Termination Due

A. Date Mo Da Yr 0 7 2 7 0 1

B. Time (24 Hr. Clock) 0 8 0 0

Section III -- Termination Action

17. Action to Terminate

18. Terminated

A. Date Mo Da Yr

B. Time (24 Hr Clock)

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E 1 6	20. Event Number	5 0 3 2 4 0 8	21. Primary or Mill
22. Signature	Thomas Morris			
23. AR Number	0 2 4 2 0			

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION BASED ON MSHA OR OPERATOR SAMPLES

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)	3. Citation/Order Number
	0	7	0	1	8 0 0
4. Served To	John Brown, Superintendent				5. Operator
6. Mine No. 3	XYZ Company				7. Mine ID
8. Condition or Practice	4 4 - 0 1 7 0 2 -				(contractor)
					8a. Written Notice (103g)

The average concentration of respirable dust in the working environment of the designated occupation was 3.0 mg/m³, which exceeded the 1.2 mg/m³ applicable limit. This finding was based on the results of five valid dust samples collected by the operator [or inspector]. Management must take corrective action to lower the respirable dust, and then sample each production shift until five valid samples are taken. The samples must be submitted to the Pittsburgh Respirable Dust Processing Laboratory.

Designated occupation: continuous miner operator -036

Location: MMU 001-0

Advisory No. 0001 dated 7/6/01

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
		-	7 0 . 1 0 1

Section II -- Inspector's Evaluation

10. Gravity:

A. Injury or Illness (has) (is): No Likelihood ☐ Unlikely ☐ Reasonably Likely ☒ Highly Likely ☐ Occurred ☐B. Injury or Illness could reasonably be expected to be: No Lost Workdays ☐ Lost Workdays or Restricted Duty ☐ Permanently Disabling ☒ Fatal ☐C. Significant and Substantial (See Reverse): Yes ☒ No ☐ D. Number of Persons Affected 0 0 2

11. Negligence (check one)

A. None ☐ B. Low ☐ C. Moderate ☒ D. High ☐ E. Reckless Disregard ☐

12. Type of Action	1 0 4 - a - , - -	13. Type of Issuance (check one)
		Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>

14. Initial Action	A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/>	E. Citation/Order Number	F. Dated	Mo	Da	Yr
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15. Area or Equipment

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
	0 7 2 7 0 1				0 8 0 0

Section III -- Termination Action

17. Action to Terminate

18. Terminated	A. Date	Mo	Da	Yr	B. Time (24 Hr Clock)
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Section IV -- Automated System Data

19. Type of Inspection (activity code)	E 1 6	20. Event Number	5 0 3 2 4 0 9	21. Primary or Mill
22. Signature	Thomas Morris			
				23. AR Number
				0 2 4 2 0

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION BASED ON REDUCED DUST STANDARDS

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	1	0	0	5	0	1	0
4. Served To	John Brown, Superintendent			5. Operator	TR Company		
6. Mine No. 40				7. Mine ID	4 5 - 5 1 2 3 2 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

The mine operator did not collect the required bimonthly valid respirable dust samples in the designated area, as identified in Advisory No. 0001, dated October 5, 2001.

Bimonthly cycle missed: August - September

Designated area: 212-0

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			7 0 . 2 0 8

Section II -- Inspector's Evaluation

10. Gravity:			
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input checked="" type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input checked="" type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>			
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		D. Number of Persons Affected 0 0 1	
11. Negligence (check one)			
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action		13. Type of Issuance (check one)	
1 0 4 - a - , - -		Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action		D. Written Notice	
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/>		<input type="checkbox"/>	
15. Area or Equipment			
16. Termination Due			
A. Date		B. Time (24 Hr. Clock)	
1 0 0 5 0 1		0 9 1 0	

Section III -- Termination Action

17. Action to Terminate
No action required because bimonthly sampling requirements can only be satisfied during the established bimonthly period.

18. Terminated	A. Date	B. Time (24 Hr Clock)
	1 0 0 5 0 1	0 9 1 0

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E 2 6	20. Event Number	5 1 2 3 4 5 6	21. Primary or Mill	
22. Signature	Thomas Morris			23. AR Number	0 2 4 2 0

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION BASED ON FAILURE TO TAKE RESPIRABLE DUST SAMPLES

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 0	1	3. Citation/Order Number	2	0	7	1	0	0	2	-	0	1
4. Served To John Brown, Superintendent							5. Operator XYZ Company										
6. Mine No. 3							7. Mine ID 4 4 - 0 1 7 0 2 - (contractor)										

Section II -- Justification for Action

MMU - 001 was idle for a period of 10 days during the period for abatement. Samples have been collected during each working shift, and MSHA has received two samples. Additional time is granted to allow more samples to be sent to MSHA so compliance or noncompliance can be determined.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo 0	Da 8	Yr 0	1	B. Time (24 Hr. Clock)	0	9	0	0	C. Vacated	<input type="checkbox"/>	D. Terminated	<input type="checkbox"/>	E. Modified	<input type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	2	6	10. Event Number	5	0	3	2	4	1	9						
11. Signature Thomas Morris					AR Number		12. Date		Mo 0	Da 7	Yr 2	7	0	1	13. Time (24 Hr. Clock)		
					0		2		4		2		0		1		

MSHA Form 7000-3a, Mar 85 (Revised)

EXTENSION OF ABATEMENT TIME

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)	3. Citation/Order Number														
	0	7	2	7	0	1	1	8	0	0	2	0	7	1	0	0	3		
4. Served To				5. Operator															
John Brown, Superintendent				XYZ Company															
6. Mine				7. Mine ID															
No. 3				4 4 - 0 1 7 0 2 - (contractor)															
8. Condition or Practice														8a. Written Notice (103g)				<input type="checkbox"/>	

MSHA's analysis of the five most recent respirable dust samples collected from the working environment of the designated occupation shows an average concentration of 5.7 mg/m3. Due to the obvious lack of effort by the operator to control respirable dust, the reasonable time period for the abatement of the original violation will not be further extended. All miners working on this section must be withdrawn until the condition is corrected.

Designated occupation: continuous miner operator -036 in mechanized mining unit 001-0

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			7 0 . 1 0 1

Section II -- Inspector's Evaluation

10. Gravity:													
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>													
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>													
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input type="checkbox"/> D. Number of Persons Affected													
11. Negligence (check one)													
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>													
12. Type of Action													
1 0 4 - b - , - -													
13. Type of Issuance (check one)													
Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>													
14. Initial Action													
A. Citation <input checked="" type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number													
2 0 7 1 0 0 2 F. Dated													
Mo Da Yr													
0 7 0 7 0 1													
15. Area or Equipment													
The mechanized mining unit 001-0													

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)

Section III -- Termination Action

17. Action to Terminate													
18. Terminated													
A. Date													
Mo Da Yr													
B. Time (24 Hr Clock)													

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	1	6	20. Event Number	5	0	3	2	4	1	0	21. Primary or Mill	
22. Signature													23. AR Number
Thomas Morris													0 2 4 2 0

MSHA Form 7000-3 Mar 85 (Revised)

104(b) ORDER

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 2	0	1	3. Citation/Order Number	2	0	7	1	0	0	3	-	0	1
4. Served To John Brown, Superintendent								5. Operator XYZ Company										
6. Mine No. 3								7. Mine ID 44 - 01702 - (contractor)										

Section II -- Justification for Action

The operator has submitted and implemented a revised respirable dust control plan. Therefore, the order is modified to permit MSHA to collect respirable dust samples on MMU 001-0 to determine compliance.

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input type="checkbox"/>	E. Modified	<input checked="" type="checkbox"/>
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Section IV -- Inspection Data

9. Type of Inspection	E	2	6	10. Event Number	5	0	3	2	4	2	0		
11. Signature Thomas Morris	AR Number				12. Date	Mo	Da	Yr	13. Time (24 Hr. Clock)	0	8	0	0
	0	2	4	2	0		0	7	2	8	0	1	

MSHA Form 7000-3a, Mar 85 (Revised)

104(b) ORDER - MODIFICATION

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 2	0	1	3. Citation/Order Number	2	0	7	1	0	0	3	-	0	2
4. Served To John Brown, Superintendent								5. Operator XYZ Company										
6. Mine No. 3								7. Mine ID 4 4 - 0 1 7 0 2 - (contractor)										

Section II -- Justification for Action

The average concentration of respirable dust in the working environment of the designated occupation was 1.0 mg/m³, which is within the applicable limit of 1.2 mg/m³. This finding was based on the results of five valid dust samples collected during an MSHA inspection.

Designated occupation: continuous miner operator -036

Location: MMU 001-0

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input checked="" type="checkbox"/>	E. Modified	<input type="checkbox"/>
----------------	---------	----	----	----	------------------------	------------	--------------------------	---------------	-------------------------------------	-------------	--------------------------

Section IV -- Inspection Data

9. Type of Inspection	E	2	2	10. Event Number	5	0	3	2	4	2	3					
11. Signature Thomas Morris	AR Number				12. Date			Mo	Da	Yr	13. Time (24 Hr. Clock)	1	4	0	0	
	0	2	4	2	0			0	8	1	0	0	1			

MSHA Form 7000-3a, Mar 85 (Revised)

104(b) ORDER - TERMINATION

Mine Citation/Order
Continuation

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Subsequent Action/Continuation Data

1. Subsequent Action <input checked="" type="checkbox"/>	1a. Continuation <input type="checkbox"/>	2. Dated (Original Issue)	Mo 0	Da 7	Yr 2	0	1	3. Citation/Order Number	2	0	7	1	0	0	3	-	0	2
4. Served To John Brown, Superintendent								5. Operator XYZ Company										
6. Mine No. 3								7. Mine ID 4 4 - 0 1 7 0 2 - (contractor)										

Section II -- Justification for Action

The average concentration of respirable dust in the working environment of the designated occupation was 4.9 mg/m³, which exceeded the applicable limit of 1.2 mg/m³. This finding was based on the results of five valid dust samples collected during an MSHA inspection.
The order is now in effect as originally issued.

Designated occupation: continuous miner operator -036
Location: MMU 001-0

See Continuation Form ☐

Section III -- Subsequent Action Taken

8. Extended To	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)	C. Vacated	<input type="checkbox"/>	D. Terminated	<input type="checkbox"/>	E. Modified	<input checked="" type="checkbox"/>
----------------	---------	----	----	----	------------------------	------------	--------------------------	---------------	--------------------------	-------------	-------------------------------------

Section IV -- Inspection Data

9. Type of Inspection	E	2	2	10. Event Number	5	0	3	2	4	2	1					
11. Signature Thomas Morris	AR Number				12. Date			Mo	Da	Yr	13. Time (24 Hr. Clock)	0	8	0	0	
	0	2	4	2	0			0	8	2	0	0	1			

MSHA Form 7000-3a, Mar 85 (Revised)

MODIFICATION (REINSTATING) 104(b) ORDER AS ORIGINALLY ISSUED.

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo 07	Da 15	Yr 01	2. Time (24 Hr. Clock)	0920	3. Citation/Order Number	9071004
4. Served To Ralph Bunny, Safety Director				5. Operator Bambu Company			
6. Mine No. 300				7. Mine ID 45 - 00020 - (contractor)			
8. Condition or Practice				8a. Written Notice (103g) <input type="checkbox"/>			

The Part 90 miner identified in Advisory No. 0012, dated 07/15/01, was exposed to an average respirable dust concentration of 1.4 mg/m³.
This finding was based on the results of five valid dust samples collected by the operator [or inspector]. 30 CFR 90.201(d) requires management to take corrective action, and to collect five valid respirable dust samples in the Part 90 miner's work position. These samples must be submitted to the Pittsburgh Respirable Dust Processing Laboratory by the date of termination.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR	90100
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Section II -- Inspector's Evaluation

10. Gravity:		A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input checked="" type="checkbox"/> Occurred <input type="checkbox"/>	
B. Injury or Illness could reasonably be expected to be:		No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input checked="" type="checkbox"/> Fatal <input type="checkbox"/>	
C. Significant and Substantial (See Reverse):		Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	
D. Number of Persons Affected		001	
11. Negligence (check one)			
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action		13. Type of Issuance (check one)	
104 - a - , - -		Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>	
14. Initial Action		D. Written Notice <input type="checkbox"/>	
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/>		E. Citation/Order Number	
F. Dated		Mo Da Yr	
15. Area or Equipment			

16. Termination Due	A. Date	Mo 08	Da 05	Yr 01	B. Time (24 Hr. Clock)	0800
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Section III -- Termination Action

17. Action to Terminate						
18. Terminated						
A. Date		Mo	Da	Yr	B. Time (24 Hr Clock)	

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E26	20. Event Number	5032424	21. Primary or Mill	
22. Signature Thomas Morris					23. AR Number 02420

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - PART 90 RESPIRABLE DUST STANDARD

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo 08	Da 05	Yr 01	2. Time (24 Hr. Clock)	1400	3. Citation/Order Number	9071005
4. Served To Ralph Bunny, Safety Director				5. Operator Bambu Company			
6. Mine No. 300				7. Mine ID 45 - 00020 - (contractor)			
8. Condition or Practice							8a. Written Notice (103g) <input type="checkbox"/>

The Part 90 miner identified in Advisory No. 0012, dated 07/15/01, was exposed to an average respirable dust concentration of 1.4 mg/m³.
This finding was based on the results of five valid dust samples collected by the operator [or inspector]. 30 CFR 90.201(d) requires management to take corrective action, and to collect five valid respirable dust samples in the Part 90 miner's work position. These samples must be submitted to the Pittsburgh Respirable Dust Processing Laboratory by the date of termination.

The operator failed to take corrective actions, and also failed to submit additional samples.

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR	90100
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Section II -- Inspector's Evaluation

10. Gravity:									
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>									
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>									
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input type="checkbox"/> D. Number of Persons Affected <input type="text"/>									
11. Negligence (check one)									
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>									
12. Type of Action									
104 - b - , - - Citation <input type="checkbox"/> Order <input checked="" type="checkbox"/> Safeguard <input type="checkbox"/>									
13. Type of Issuance (check one)									
14. Initial Action									
A. Citation <input checked="" type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number 9071004 F. Dated 071501									
15. Area or Equipment									
The Part 90 miner identified in the attached Advisory No. 11									
16. Termination Due									
A. Date Mo Da Yr B. Time (24 Hr. Clock)									

Section III -- Termination Action

17. Action to Terminate									
18. Terminated									
A. Date Mo Da Yr B. Time (24 Hr Clock)									

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E22	20. Event Number	5032425	21. Primary or Mill	
22. Signature Thomas Morris					23. AR Number 02420

MSHA Form 7000-3 Mar 85 (Revised)

104(b) ORDER - FAILURE TO COMPLY WITH PART 90 RESPIRABLE DUST STANDARD

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)					3. Citation/Order Number											
	0	8	2	8	0	1		1	2	0	0		9	0	7	1	0	0	6	
4. Served To					5. Operator															
Ralph Bunny, Safety Director					Bambu Company															
6. Mine					7. Mine ID															
No. 300					4 5 - 0 0 0 2 0 - (contractor)															
8. Condition or Practice															8a. Written Notice (103g)					<input type="checkbox"/>

The operator failed to submit for approval a written respirable dust control plan for the Part 90 miner identified in Advisory No. 0012, dated 07/15/01. Citation No. 9071004, based on this Advisory, was issued on 7/15/01.

See Continuation Form (MSHA Form 7000-3a)

9. Violation	A. Health Safety Other	B. Section of Act					C. Part/Section of Title 30 CFR												
						-		9	0	.	3	0	0	-	a				

Section II -- Inspector's Evaluation

10. Gravity:														
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input checked="" type="checkbox"/> Reasonably Likely <input type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>														
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input checked="" type="checkbox"/> Permanently Disabling <input type="checkbox"/> Fatal <input type="checkbox"/>														
C. Significant and Substantial (See Reverse): Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> D. Number of Persons Affected														
11. Negligence (check one)														
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>														
12. Type of Action														
1 0 4 - a - , - -														
13. Type of Issuance (check one)														
Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>														
14. Initial Action														
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number														
F. Dated														
Mo Da Yr														
15. Area or Equipment														

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)							
		0	9	0	4	0	1		0	8	0	0

Section III -- Termination Action

17. Action to Terminate														
18. Terminated														
A. Date														
Mo Da Yr														
B. Time (24 Hr Clock)														

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	2	2	20. Event Number	5	0	3	2	4	2	6	21. Primary or Mill				
22. Signature													23. AR Number			
Thomas Morris													0 2 4 2 0			

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - FAILURE TO SUBMIT RESPIRABLE DUST CONTROL PLAN

Mine Citation/Order

U.S. Department of Labor
Mine Safety and Health Administration

Section I -- Violation Data

1. Date	Mo	Da	Yr	2. Time (24 Hr. Clock)		3. Citation/Order Number	
	0	7	2	1	0	1	0
4. Served To	John Smith, Assistant Foreman			5. Operator	J & S Coal Company		
6. Mine No. 1				7. Mine ID	4 4 - 0 3 5 3 6 - (contractor)		
8. Condition or Practice				8a. Written Notice (103g)	<input type="checkbox"/>		

The highwall drill operator was not protected from the inhalation of respirable drill dust. Visual observation indicated an excessive amount of dust was being generated during drilling. The highwall drill does not have an environmental cab, and the water-holding tank was empty. DWP is on a reduced dust standard of 1.0 mg/m3. The drill operator was not wearing a respirator, and his clothing was covered with dust.

Drill operator: DWP 001-0, occupational code 384

See Continuation Form (MSHA Form 7000-3a) ☐

9. Violation	A. Health Safety Other	B. Section of Act	C. Part/Section of Title 30 CFR
			7 2 . 6 2 0

Section II -- Inspector's Evaluation

10. Gravity:			
A. Injury or Illness (has) (is): No Likelihood <input type="checkbox"/> Unlikely <input type="checkbox"/> Reasonably Likely <input checked="" type="checkbox"/> Highly Likely <input type="checkbox"/> Occurred <input type="checkbox"/>			
B. Injury or Illness could reasonably be expected to be: No Lost Workdays <input type="checkbox"/> Lost Workdays or Restricted Duty <input type="checkbox"/> Permanently Disabling <input checked="" type="checkbox"/> Fatal <input type="checkbox"/>			
C. Significant and Substantial (See Reverse): Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>			
D. Number of Persons Affected 0 0 1			
11. Negligence (check one)			
A. None <input type="checkbox"/> B. Low <input type="checkbox"/> C. Moderate <input checked="" type="checkbox"/> D. High <input type="checkbox"/> E. Reckless Disregard <input type="checkbox"/>			
12. Type of Action 1 0 4 - a - , - -			
13. Type of Issuance (check one)			
Citation <input checked="" type="checkbox"/> Order <input type="checkbox"/> Safeguard <input type="checkbox"/>			
14. Initial Action			
A. Citation <input type="checkbox"/> B. Order <input type="checkbox"/> C. Safeguard <input type="checkbox"/> D. Written Notice <input type="checkbox"/> E. Citation/Order Number <input type="checkbox"/>			
F. Dated Mo Da Yr			
15. Area or Equipment			

16. Termination Due	A. Date	Mo	Da	Yr	B. Time (24 Hr. Clock)
	0	7	2	2	0

Section III -- Termination Action

17. Action to Terminate					
18. Terminated					
A. Date Mo Da Yr B. Time (24 Hr Clock)					

Section IV -- Automated System Data

19. Type of Inspection (activity code)	E	0	1	20. Event Number	0	8	8	8	8	0	0	21. Primary or Mill
22. Signature James Lee												
23. AR Number 2 0 7 7 7												

MSHA Form 7000-3 Mar 85 (Revised)

104(a) CITATION - DRILL DUST VIOLATION

Appendix D

Miscellaneous Forms and Codes

TABLE OF CONTENTS - MISCELLANEOUS FORMS AND CODES

Special Assessment Review Form -----	1
Possible Knowing/Willful Violation Review Form -----	2
Enforcement Activity Codes -----	3

Special Assessment
Review Form

U.S. Department of Labor
Mine Safety and Health Administration

1. MSHA District Office	2. Field Office	
3. Mine ID/Contractor ID	4. Mine Name	
5. Operator Name	6. Citation/Order Number	6. Citation/Order Issue Date

8. Accident Related Violation? ☐ Yes ☐ No If yes, all violations must be submitted together with any accident report or memorandum

9. A.) Operator Notified of Special Assessment? ☐ Yes ☐ No B.) Health and Safety Conference Held on Special Assessment? ☐ Yes ☐ No

10. Inspector's Recommendation:

Special Assessment? ☐ Yes ☐ No If yes, explain below the serious or aggravating circumstances involved.

☐ See Continuation Sheet

Signature

Date

11. Supervisor's Review:

Special Assessment? ☐ Yes ☐ No

Comments

☐ See Continuation Sheet

Signature

Date

12. Subdistrict Manager's/Assistant District Manager's Review:

Special Assessment? ☐ Yes ☐ No

Comments

☐ See Continuation Sheet

Signature

Date

13. District Manager's Review (Mandatory for Metal/Nonmetal, Optional for Coal):

Special Assessment? ☐ Yes ☐ No

Comments

☐ See Continuation Sheet

Signature

Date

POSSIBLE KNOWING/WILLFUL VIOLATION REVIEW FORM
(Confidential, Pre-decisional Information)

U.S. Department of Labor
Mine Safety and Health Administration

MINE ID _____ MSHA OFFICE _____

MINE NAME _____

COMPANY NAME _____

Citation/Order Number _____ Date _____

ACCIDENT INFORMATION

Was this violation associated with an accident which caused an injury? Yes ☐ No ☐ If yes: Fatal? ☐ Non-fatal? ☐

REVIEW CRITERIA (Attach supplemental information if needed)

1. Did the condition or practice cited create the presence of a high degree of risk to the health and safety of miners? Yes ☐ No ☐

a) Who was exposed to the hazard? (Name and Occupation) _____

b) How were they exposed to the hazard? _____

c) When and over what period of time did the exposure occur? _____

d) Is this first hand information? _____

If not, who provided the information? (Name and Occupation) _____

2. Did the operator or agent have actual knowledge, or reason to know, of the facts or conditions constituting the violation?

a) Who had this knowledge? (Name and Title) _____

b) How was this knowledge evidenced? _____

c) Is this first hand information? Yes ☐ No ☐ _____

If not, who provided the information? (Name and Occupation) _____

3. Any other pertinent information: _____

INSPECTORS CONCLUSION:

Based on this review, does this appear to be a possible knowing and/or willful violaton of the Act or mandatory health or safety standard?

Inspector AR Number: _____ Signature: _____ Date: _____

Supervisor: Do you agree with the inspector's conclusion? Yes ☐ No ☐

Signature: _____ Date: _____

POSSIBLE RECOMMENDED ACTIONS:

A. Conduct a special investigation. ☐ C. No further action. ☐

Assistant District Manager: Recommendation _____ (A or C from the list above)

Signature: _____ Date: _____

Supervisory Special Investigator: Recommendation: _____ (A or C from the list above)

Signature: _____ Date: _____

District Manager: Action Decision: _____ (A or C from the list above)

Signature: _____ Date: _____

ADDITIONAL COMMENTS OR REMARKS _____

CASE ASSIGNMENT INFORMATION:

Investigation Case No. _____ Date Assigned _____

Investigator Assigned _____ ID No. _____

Enforcement Activity Codes

MSIS Code	Activity	Description
E01	Regular Safety and Health Inspection	Mandatory Safety and Health Inspections of a mine, surface facility, or other entity having a mine I.D. number in its entirety.
E02	103(i) Spot Inspections	Mandatory spot inspections of mines that qualify under 103(i) of the Act and are inspected for gases liberation, serious ignition or explosions hazards, or other especially hazardous conditions or problems.
E03	103(g) Written Notification Hazard Complaint Inspection	Special inspections that respond to a written notice filed pursuant to 103(g) of the Act alleging a violation or imminent danger exists at a mine.
E04	Verbal Hazard Complaint Inspections	Special inspections that result from a verbal or otherwise written complaint where a violation or hazardous condition is alleged and is not a 103(g) request or a code-a-phone complaint.
E05	108 Injunctive Actions or Other SI Activities	All investigative activities conducted pursuant to 108 of the Act regarding injunctions or any other Special Investigation activities.
E06	Fatal Accident Investigation	Investigation of a death of an individual at a mine.
E07	Non-Fatal Accident Investigation	Investigation of a serious non-fatal injury accident at a mine.
E08	Non-Injury Accident Investigation	Investigation of non-injury accidents as defined in 30 CFR, Part 50.2
E09	Mine Emergency Operations	Includes all rescue and recovery operations during a mine emergency. No citations or orders should be issued against this type of activity. Also, includes time monitoring the mine environment during mine fires determined to be mine emergencies.
E10	Petition for Modification Investigation	All investigative activities conducted pursuant to 101 (c) and 101 (d) of the Act.
E11	105(c) Investigation (Discrimination)	All investigative activities conducted pursuant to 105 (c) of the Act where a complaint of discrimination is alleged.
E12	110(c), 110(d) Investigation (Willful or Knowing Violations)	All investigative activities conducted pursuant to 110 of the Act regarding citations and orders where there is possible knowing and willful intent.

MSIS Code	Activity	Description
E13 <i>(Coal Only)</i>	Re-opening Inspection	This is a non-penalty inspection of an entire mine after having been abandoned or declared inactive. This code is restricted to Coal Mine program area use only.
E14 <i>(Metal Only)</i>	Compliance Assistance Visit	A visit to a new mine, a visit prior to re-opening a mine, a visit to inspect new facilities at an operating mine, or the installation of new equipment at an operating mine to point out potential violations without monetary civil penalties being proposed.
E15	Compliance Follow-up Inspection	An inspection conducted for the primary purpose of ascertaining the abatement status of previously cited violations.
E16	Spot Inspection	The inspection of a mine or part(s) of a mine to determine whether there is compliance with safety and health standards
E17	Special Emphasis Programs	Activity for a specialized purpose that may be unique for the agency, coal or metal. An example would be the “Focus on Safe Work” outreach. Each activity may be assigned a unique identifier.
E18	Shaft, Slope or Major Construction Spot Inspection	An inspection of a shaft, slope, or major construction site to determine whether an imminent danger exists and whether there is compliance with safety and health standards or any issued violations.
E19	Electrical Technical Investigation	An investigation of all or part of a mine’s electrical components and systems. Includes field changes on electrical face equipment, trolley surveys, circuit breaker studies, ground monitor checks and other special electrical inspections/investigations.
E20	Roof Control Technical Investigation	An investigation of a mine’s roof conditions that may include engineering and in-depth studies of roof problems or potential problems, roof control surveys, and pull tests.
E21	Ventilation Technical Investigation	An investigation of a mine’s ventilation system that may include detailed engineering studies of current or potential problems, surveys, and waiver requests.
E22	Health Technical Investigation	Includes a toxic substance or harmful physical agent investigation of a reported problem or potential problem with any toxic substance or harmful physical agent. An investigation of an operator’s sampling program where samples may or may not be collected and investigations of Part 90 miners and their occupations (Coal). Includes free silica technical investigations dictating additional respirable dust samples for further analysis.

MSIS Code	Activity	Description
E23	Impoundment Spot Inspection	An inspection of an impoundment to determine whether an imminent danger exists and whether there is compliance with approved plans and safety and health standards.
E24	Other Technical Compliance Investigations	Other technical investigations not described by any other code.
E25	Part 50 Audit	Part 50 Audit of a mine's accident, injury, illness, and employment records. Includes all activity in pursuit of the audit.
E26	Other Contacts	Includes industry assistance, technical assistance visits for plan evaluations, and other contacts not classified by one of the other codes. Although this is a visit to a mine, these are <i>not</i> mine inspections or investigations.
E27	Attempted Inspection (Denial of Entry)	A mine visit specifically for the purpose of conducting an enforcement activity, but the activity could not be accomplished because of direct or indirect denial of entry.
E28	Mine Idle Activity	A mine visit specifically for the purpose of conducting an enforcement activity, but the activity could not be accomplished because the mine was not operating.
E29	Program in Accident Reduction/Compliance Analysis Program	A program aimed at injury reduction in selected mines with high accident and injury levels. This includes accident reduction surveys conducted by teams. In Coal, includes all time working in a non-AR capacity.
E30	Accident Reduction Program	All activities in the field related to the accident reduction and prevention programs, and formal walk and talk activities. These are activities not conducted as part of any other event.
E31	Training Plan Approval and Revisions (Field)	All field activities regarding the initial approval or revision of training plans.
E32	On-site Training Program Evaluation (Field)	All field activities related to evaluating MSHA approved instructors, training plans, course content, task outline, 5000-23 forms, records of certified and qualified persons, learning environment, etc., not conducted as part of another event.
E33	Non-Chargeable Accident Investigation	Investigation of any death, serious non-fatal injury, or non-injury accident at a mine that is not charged to the mine, contractor, or the mining industry. NOTE: If the accident is subsequently deemed chargeable, the activity may be changed to another event type. Issuances can be issued during an E33 event.

MSIS Code	Activity	Description
T01	Investigative Case Review	Time spent in an MSHA office reviewing reports of investigations.
T02 <i>(Coal only)</i>	Office Generated Violation Activity	All time associated with office generated violations not coded under another code.
T03	Legal Hearing/Document	Time involved in legal hearings, MSHA hearings, or testifying in court, including the prep time for these activities unless covered by another activity code. Does not include time spent by the CLR's.
T04	Safety and Health Conference	All time spent on operator / miners' representative requested conferences related to cited violations of the Act or regulations and the subsequent assessment of civil penalties. These are not inspectors' closeout conferences.
T05	Contested Case Activities	All CLR activities (office, mine site, hearings, etc.) relating to ACRI case resolutions.
T06	Plan Approvals and Reviews	Time spent in an MSHA office reviewing and approving plans
T07	Technical Assistance to Industry/Union Personnel or Interested Parties	All time associated with providing technical assistance to company or union personnel or other interested parties. This includes groups requiring our technical expertise but that are not specifically under MSHA jurisdiction.
T08	Instructor Approval	All activities, other than training instructors, required to produce approved instructors.
T09	Evaluating Cooperative Instructors	All time evaluating cooperative instructors in the office or field.
T10	Qualification and Certification	All activities related to qualifying and certifying people. Includes noise, dust, methane, oxygen deficiency, impoundments, electrical, mine rescue and first aid instructors, and so forth.
T11	Holmes Safety Association (Assistance to Chapters/Councils)	All activities of HSA chapters. Include all time spent in forming and operating HSA chapters or councils, preparing for and making presentations at meetings, and time involving Joseph A. Holmes Awards.
T12	State Grants Assistance and State Plans	Includes time spent attending State Grants meetings, as well as meetings with state and headquarters personnel regarding State Grant assistance and plans.

MSIS Code	Activity	Description
T13	Other Education and Training Field Activities	Includes work on accident reduction and prevention programs that are not mine specific, as well as other activities providing assistance to the mining community that are not coded as an education and training event or that are not covered by another activity code. These activities are at locations other than the MSHA office.
T14	Informational Meetings, Seminars, and Training Classes Given to Industry	All activities relating to training, demonstrations, meetings, seminars, conferences, association meetings and informational meetings for industry (off-site meetings, excluding Holmes Safety).
T15	Instructing Mine Rescue and First Aid (non-MSHA)	All activities, excluding mine-rescue and first-aid-instructor training, related to mine rescue and first aid training, including team training, judges training, and so forth.
T16	Supervisory Duties (Office)	All supervisory-related duties in an MSHA Office.
T17	National Committee Meetings and Assignments	Includes time spent attending committee meetings at the national level and assignments from headquarters, such as the development or revision of standards and /or regulations.
T18	Review of Documents/ Laboratory Duties / Vehicle Maintenance	Time spent reviewing such documents as regulations, policy, accident investigations, conducting laboratory duties (not associated with an event), and vehicle maintenance.
T19	Official Union Duties	Personnel participating in official union duties.
T20	Staff Meetings	Personnel participating in staff or safety meetings in or outside MSHA offices.
T21	Instructing MSHA Personnel in Training Classes	Time of MSHA personnel instructing classes, in information meetings, seminars, and so forth.
T22	Informational Meetings, Seminars and Training Classes Received	Personnel receiving training other than Mine Rescue and First Aid from both MSHA and outside sources.
T23	Mine Rescue/MERD Activities	Includes time spent training and preparing for or participating in the National or regional contests. Also includes time spent preparing for or participating in MERD exercises.
T24	FOIA Request/Congressional Inquiries	Includes all time spent researching and responding to the request.

MSIS Code	Activity	Description
T25	Medical Accommodation -- On- the-Job Injury	Include all time charged by the individuals who were injured or incapacitated on the job and who are performing meaningful work for MSHA in a capacity that meets their physician's prescribed limitations or restrictions. These assignments must be of limited duration.
T26	Medical Accommodation— Personal Injury	Include all time charged by the individuals who were injured or incapacitated outside of Government time and who are performing meaningful work for MSHA in a capacity that meets their physician's prescribed limitations or restrictions. These assignments must be of limited duration.
T27	Special and Miscellaneous Assignments	Duties of a temporary nature outside of regularly assigned job-related duties and any other activity not covered by other codes.
T28	Annual Leave	Self explanatory
T29	Administrative Leave	Self explanatory
T30	Compensatory Leave	Self explanatory
T31	Holiday	Self explanatory
T32	LWOP	Leave Without Pay
T33	AWOL	Absent Without Leave
T34	Military Leave	Self explanatory
T35	Sick Leave	Self explanatory
T36	OWCP	Worker's Compensation
T37	Jury Duty	Self explanatory
T38	Furlough (non-pay status)	Self explanatory
T39	Suspension Without Pay	Self explanatory

New Enforcement Task Codes

Task Code	Task Title
I	General I nspection Activity
S	S upervisory Duties (Field)
T	Inspector T rainee
R	R oof Control (on-site and plans)(Specialists only)
V	V entilation (on-site and plans)(Specialists only)
H	H health (on-site and plans)(Specialists only)
W	Impoundments / W aste Piles (on-site and plans)(Specialists only)
E	E lectrical (on-site)
L	Hau L age Technical (special activities; does not include routine checks)
C	Self- C ontained Self-Rescuers Evaluation (Field)
U	Ed U cation and Training (including walk & talk activities)
A	Compliance A ssistance
D	Respirable D ust Sampling
N	N oise Sampling
Y	Industrial H Ygiene
M	Diesel E M issions Work (does not include routine gas checks and ventilations checks)
Z	Ha Z ard Communication

